



# ICICI Prudential Asset Management Company Limited

Corporate Identity Number: U99999DL1993PLC054135

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## Notice-cum-Addendum to the Scheme Information Documents (SID)/ Key Information Memoranda (KIM) of Sensex Prudential ICICI Exchange Traded Fund (SPICe), ICICI Prudential Nifty ETF and ICICI Prudential CNX 100 ETF (the Schemes)

Notice is hereby given to all the unitholders of Sensex Prudential ICICI Exchange Traded Fund (SPICe), ICICI Prudential Nifty ETF and ICICI Prudential CNX 100 ETF hereinafter collectively referred as "the Schemes" of ICICI Prudential Mutual Fund (the Fund).

It is clarified that as the Schemes are Exchange Traded funds (ETFs) and the units of the Schemes are listed on the stock exchanges, in the interest of the investors/unitholders, the operational processes of the Schemes with respect to all the provisions of "Uniform cut-off timings for applicability of Net Asset Value (NAV)" issued by SEBI from time to time shall stand modified as stated below. The said changes shall be effective from March 20, 2015.

### A. APPLICABLE NAV:

Net Asset Value per Unit of the Schemes as declared by the Fund and applicable for valid Purchase/Redemption/Switch of Units of the Scheme, based on the Business Day and Cut-off time at which the application is received and accepted and also subject to compliance with other conditions as mentioned in this document.

### B. CUT-OFF TIMING FOR SUBSCRIPTIONS/REDEMPTIONS/SWITCHES FOR THE SCHEMES:

The Fund may allow subscription/redemption/switches in 'Creation Unit' Size and in multiples thereof by large investors/authorised participants based on the Portfolio Deposit/equivalent amount of cash and Cash Component as defined by the Fund for that respective Business Day.

The Cut-off time for receipt of valid application for subscriptions/redemptions/switches is 3.00 p.m. on any business day.

### C. PROCEDURE FOR PURCHASING AND REDEMING IN CREATION UNIT SIZE:

#### a. Creation of Units

The requisite securities constituting the Portfolio Deposit have to be transferred to the DP account of the respective Scheme on the day of receipt of the application, while the Cash Component, as applicable on that business day; has to be paid to the Fund. On confirmation of the receipt of Portfolio Deposit/equivalent amount of cash by the Custodian/AMC, the AMC will credit the equivalent number of units of the Scheme into the investor's DP account.

In case of cash subscription of units of the Schemes in 'Creation Unit' Size, the purchase request for creation of units shall be made by such investor to the Fund/AMC where upon the Fund/AMC will arrange to buy the underlying portfolio of securities on behalf of the investor. The cost of purchase of securities including brokerage charges, transaction handling charges and all other incidental costs/profits/losses arising out of market movement during the purchase of securities shall be borne by the investor.

The AMC may at its discretion create "Creation Unit" prior to receipt of all or a portion of the relevant Portfolio Deposit or equivalent amount in cash and Cash Component, wherein, amongst other things, the AMC may ask investor to post collateral to secure the obligation to deliver such outstanding Portfolio Deposit Securities or equivalent amount of cash and Cash Component.

#### b. Redemption of Units

The requisite number of units of the Scheme equivalent to the Creation Unit lot size has to be transferred to the DP account of the respective Scheme, while the Cash Component, as applicable on that business day to be paid to the Scheme. On confirmation of the receipt of unit of the Schemes by the Custodian/AMC, the AMC shall extinguish the units and credit the Portfolio Deposit to the investor's DP account and pay the Cash Component, as applicable.

The Fund may allow cash redemption of the units of the Scheme in Creation Unit Size. Redemption request shall be made by such investor to the Fund before the stipulated cut-off time whereupon the Fund shall arrange to sell the underlying portfolio of securities on behalf of the investor. Accordingly, the cost of sale of securities including brokerage charges, transaction handling charges and any other incidental costs/profits/losses arising out of market movement during the sale of securities shall be borne by investor. Payment will then be made to the Investor net of all the above mentioned charges.

### D. LIQUIDITY PROVISIONS FOR SUBSCRIPTION (PURCHASE)/REDEMPTION (SALE)/SWITCHES BY INVESTORS ON THE ONGOING BASIS:

#### Directly with the Fund:

#### a. Subscription (Purchase)/Switch-in

The Fund may allow purchases of units of the Schemes in 'Creation Unit' size or multiples thereof in exchange of the Portfolio Deposit/equivalent amount of cash and Cash Component by Large Investors/Authorised Participants.

#### b. Redemption (Sale)/Switch-out

The Fund will redeem units only in Creation Unit size or in multiples thereof, in exchange of the Portfolio Deposit/equivalent amount of Cash and Cash Component.

Note: For the purpose of this addendum, the terms 'Cash' means RTGS, NEFT or transfer Cheque.

With reference to the above mentioned details, the Scheme Information Documents ("SID") and Key Information Memoranda ("KIM") of the Schemes shall inter alia stand modified, wherever the implications of the said provisions are applicable across various sections of the said documents.

This notice-cum-addendum forms an integral part of the SID/KIM of the Schemes. All the other terms and conditions of the aforesaid documents read with the addenda issued from time to time will remain unchanged.

**For ICICI Prudential Asset Management Company Limited**

Place: Mumbai

Sd/-

Date : March 19, 2015

**Authorised Signatory**

No. 021/03/2015

**CALL MTNL/BSNL: 1800 222 999 • Others : 1800 200 6666 • Or, apply online at [www.icicipruamc.com](http://www.icicipruamc.com)**

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**