



# Capital Appreciation STP facility

(Please read the Terms and Conditions overleaf carefully before filling up the form)

**Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.**

Date: \_\_\_\_\_

**FOR OFFICIAL USE ONLY**

BROKER CODE (ARN CODE)/ RIA CODE#	SUB-BROKER ARN CODE	Employee Unique Identification No. (EUIN)	SUB-BROKER CODE (As allotted by ARN holder)
<small>#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.                  Uprfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.                  Declaration for "execution-only" transaction (only where EUIN box is left blank)                  I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.</small>			

SERIAL NUMBER, DATE & TIME OF RECEIPT

Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder
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FOLIO No. _____	APPLICATION No. <sup>s</sup> _____
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<sup>s</sup>New investors who wish to enroll Capital Appreciation STP facility are required to fill in this enrollment form alongwith the respective Scheme Application Form.

1st Applicant	<i>Name of the Unitholder(s)</i>	<i>PAN*</i>	<i>KYC Status ^</i>
2nd Applicant			
3rd Applicant			

\* PAN is mandatory for all applicants including NRIs. ^ KYC Compliance is mandatory.

**SOURCE SCHEME & OPTION**

<b>Scheme Name &amp; Plan:</b>	
<b>Option:</b>	<b>GROWTH OPTION</b>

**TARGET SCHEME & OPTION**

<b>Scheme Name &amp; Plan:</b>	
<b>Option:</b>	<b>GROWTH OPTION</b>

<b>FREQUENCY</b>	<input type="checkbox"/> DAILY	<input type="checkbox"/> WEEKLY	<input type="checkbox"/> FORTNIGHTLY	<input type="checkbox"/> MONTHLY	<input type="checkbox"/> QUARTERLY
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**YOUR CONFIRMATION/DECLARATION**

<small>I/We have read and understood the contents of the Scheme Information Document(s)/ Key Information Memorandum(s) &amp; Statement of Additional Information of the Scheme(s) _____ DD MM YYYY and agree to abide by the terms, conditions, rules and regulations of the scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s). If the transfer is not effected due to reasons which are beyond the control of ICICI Prudential Asset Management Company Limited (the AMC)/ICICI Prudential Mutual Fund (the Fund)/ICICI Prudential Trust Limited (the Trust), the AMC, the Fund, the Trust or any of its affiliates/directors would not be held liable for the same. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. <b>If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).</b></small>	<b>SIGNATURE(S)</b>	<b>First Applicant</b>  <b>Second Applicant</b>  <b>Third Applicant</b>
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**ACKNOWLEDGEMENT**

Received from: Name of the Unitholder \_\_\_\_\_ Folio/Application No. \_\_\_\_\_

Facility Opted: **Capital Appreciation STP facility** – Source Scheme: \_\_\_\_\_ Option: GROWTH OPTION

Target Scheme: \_\_\_\_\_ Option: GROWTH OPTION

Frequency:  DAILY  WEEKLY  FORTNIGHTLY  MONTHLY  QUARTERLY

## Terms & Conditions of the Capital Appreciation STP facility

1. **Capital Appreciation STP** is a facility where the daily appreciation in NAV, if any, from the growth option of the source schemes will be switched to the growth option of the target schemes.

**Source Schemes:**

- ICICI Prudential Liquid Fund,
- ICICI Prudential Money Market Fund,
- ICICI Prudential Ultra Short Term Fund,
- ICICI Prudential Savings Fund,
- ICICI Prudential Floating Interest Fund,
- ICICI Prudential Short Term Fund,
- ICICI Prudential Bond Fund,
- ICICI Prudential Long Term Bond Fund,
- ICICI Prudential Corporate Bond Fund,
- ICICI Prudential Constant Maturity Gilt Fund,
- ICICI Prudential Credit Risk Fund,
- ICICI Prudential Medium Term Bond Fund,
- ICICI Prudential All Seasons Bond Fund,
- ICICI Prudential Banking & PSU Debt Fund,
- ICICI Prudential Gilt Fund,
- ICICI Prudential Overnight Fund and
- ICICI Prudential Regular Savings Fund

**Target Schemes:** All open ended equity oriented schemes of ICICI Prudential Mutual Fund.

2. The daily appreciation in NAV will be switched to the Growth Option of the Target Schemes. To affect the switch, the Units in the Growth Option will automatically be redeemed to the extent of the daily appreciation amount.
3. The criteria for minimum application amount/minimum additional application amount in case of Target Schemes and minimum redemption amount in case of Source Schemes shall not be applicable to investors opting for this facility.
4. Investors must use separate Enrollment Forms for availing Capital Appreciation STP Facility in each source scheme and only one enrollment form per source scheme is permitted.
5. Enrollment forms incomplete in any respect shall be liable to be rejected.
6. The facility will be activated / deactivated within 7 working days from the date of receipt of request from the investor.
7. Capital Appreciation STP Facility in any manner whatsoever is not an assurance or promise or guarantee on part of Fund/ AMC to the Unit holders in terms of returns or capital appreciation or minimization of loss of capital or otherwise.
8. The Trustee reserves the right to withdraw the Capital Appreciation STP Facility at its sole discretion.
9. The list of Source schemes and/or Target Schemes is subject to change from time to time.

The Trustee reserves the right to change /modify/add/delete any of the terms & conditions of the Capital Appreciation STP Facility.