

# REQUEST FOR CHANGE OF BROKER/RIA CODE

(Please use separate form for each Scheme/Folio)



Investor Name:

Folio No.:

Scheme Name, Plan & Option:

I/We, hereby request you to please change the Broker/RIA code as below:

Old Broker/RIA Code	Old Broker/RIA Name

New Broker/RIA Code	New Broker/RIA Name

New Sub-Broker Code (As allotted by ARN holder)	New Sub-Broker ARN Code	Employee Unique Identification No. (EUIN)

First / Sole Applicant / Guardian

Second Applicant

Third Applicant

Note:

- i) The change of broker code will be applicable on prospective basis.
- ii) Change of broker code is not applicable in Direct option of any schemes
- iii) In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC), the AMC reserves the right to reject the request, in case the investor(s) has/have not countersigned in every place where such corrections/overwriting has/have been made.
- iv) Where no scheme is specified the broker code change will be processed for all schemes in the given folio.