

# CHANGE OF SCHEME REQUEST IN EXISTING SIP / CHANGE OF BANK FOR EXISTING SIP(S)



BROKER CODE (ARN CODE) / RIA CODE#	SUB-BROKER ARN CODE	Employee Unique Identification No. (EUIN)	SUB-BROKER CODE (As allotted by ARN holder)
#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.			
Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor.			
Declaration for "execution-only" transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			
SIGNATURE OF SOLE/FIRST APPLICANT	SIGNATURE OF SECOND APPLICANT	SIGNATURE OF THIRD APPLICANT	
The Trustee, ICICI Prudential Mutual Fund, I/We have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.			

NAME OF THE SOLE/FIRST UNIT HOLDER

FIRST NAME	MIDDLE NAME	LAST NAME	FOLIO No.
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[Please tick (✓) as applicable.]

CHANGE OF SCHEME IN EXISTING SIP       CHANGE OF BANK (COB) FOR EXISTING SIP

**DETAILS OF EXISTING SIP INVESTMENT**

Scheme Name & Plan: **ICICI Prudential**

Each SIP Amount: ₹ \_\_\_\_\_ Rupees in words: \_\_\_\_\_ SIP Date:

SIP Frequency (✓):  Daily  Weekly  Monthly  Quarterly  Fortnightly      SIP Start Month/Year:            SIP End Month/Year:

**Existing SIP TOP UP Details**      TOP UP Amount: Rs. \_\_\_\_\_      Percentage:  10%  15%  20%  other \_\_\_\_\_ (multiples of 5% only)

TOP UP Frequency:  Half Yearly  Yearly      SIP TOP UP CAP: Amount: Rs. \_\_\_\_\_      OR      Month-Year:

**NEW SCHEME DETAILS**

Scheme Name & Plan: **ICICI Prudential**

Option: \_\_\_\_\_      Sub Option: \_\_\_\_\_      Dividend Frequency: \_\_\_\_\_

Signature of Sole/First Applicant	Signature of Second Applicant	Signature of Third Applicant
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**To the Trustee, ICICI Prudential Mutual Fund,**  
I/We have read and understood the contents of the Scheme Information Document(s)/Key Information Memorandum(s) & Statement of Additional Information(s) of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the Scheme(s) as on the date of this transaction. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We hereby confirm that I/we have not been offered/communicated any indicative portfolio and/or any indicative yield for this investment. I/We hereby declare that I am/we are not US Person(s). The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).

## PAN BASED MANDATE

	UMRN	<input type="text"/>	Date	<input type="text"/>
Tick (✓)	Sponsor Bank Code	<input type="text"/>	Utility Code	<input type="text"/>
<input checked="" type="checkbox"/> CREATE	I/We hereby authorize <b>ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED</b>		to debit (tick ✓) <input type="checkbox"/> SB/CA/CC/SB-NRE/SB-NRO/Other	
<input type="checkbox"/> MODIFY	Bank a/c number <input type="text"/>			
<input type="checkbox"/> CANCEL	with Bank <input type="text"/> Name of customers bank <input type="text"/> IFSC <input type="text"/> or MICR <input type="text"/>			
an amount of Rupees <input type="text"/>		Maximum Amount (Rupees in words) <input type="text"/>		
FREQUENCY	<input checked="" type="checkbox"/> Mthly <input checked="" type="checkbox"/> Qtrly <input checked="" type="checkbox"/> H-Yrly <input checked="" type="checkbox"/> Yrly	<input checked="" type="checkbox"/> As & when presented		
PAN	<input type="text"/>	DEBIT TYPE	<input checked="" type="checkbox"/> Fixed Amount <input checked="" type="checkbox"/> Maximum Amount	
Reference	<input type="text"/>	Mobile No.	<input type="text"/>	
	<input type="text"/>	Email ID	<input type="text"/>	
I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.				
PERIOD	From <input type="text"/>	Sign: _____	Sign: _____	Sign: _____
	To <input type="text"/>	1. <input type="text"/> Name as in bank records      2. <input type="text"/> Name as in bank records      3. <input type="text"/> Name as in bank records		
	Or <input checked="" type="checkbox"/> Until Cancelled			

**Declaration:** I/We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participants in NACH/SI/any other mode as may be preferred by the AMC from time to time. I/We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration of OTM/PAN Based Mandate Facility and amended from time to time and of NACH (Debits). **Authorization to Bank:** This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/We have understood that I/we authorized to cancel/amend this mandate by appropriately communicating/amendment to the User entity/corporate or the or the bank where I have authorized the debit. This is to inform that I/we have registered for this facility and that my/our investment in ICICI Prudential Mutual Fund shall be made from my/our above mentioned bank account with your Bank and to debit my/our account for any charges towards mandate verification, registration, transactions, transactions, returns, etc, as applicable.

CHANGE OF SCHEME IN EXISTING SIP       CHANGE OF BANK (COB) IN EXISTING SIP      [Tick (✓) any one and fill in the respective areas below.]

**ACKNOWLEDGEMENT SLIP**      FOLIO No.

Scheme Name & Plan: **ICICI Prudential**

Each SIP Amount: ₹ \_\_\_\_\_ Rupees in words: \_\_\_\_\_ SIP Date (✓):

SIP Frequency (✓):  Daily  Weekly  Monthly  Quarterly  Fortnightly      SIP Start Month/Year:            SIP End Month/Year:

**Instructions for Change of bank in SIP and Change of Scheme in SIP (NACH/OTM/Auto debit/ other modes which AMC may includes from time to time) :**

- All requests for registering the change of scheme or bank details of SIP shall be subject to an advance notice of 30 (thirty) days.
- There should be a minimum of six installments for Monthly, daily, weekly, fortnightly and four installments for Quarterly SIP post modification. Investor may note that the installment will be processed under new scheme, as the case may be, after changing the scheme for respective SIP. All other SIP details like amount, end date, top up, frequency , etc will remain the same.
- For Change of bank or Change of scheme investor has to fill the PAN based mandate details through which he/she wish to route the future installments for the ongoing SIPs. In change of scheme request if the investor already has a one-time mandate(OTM) registered for the SIP then the existing SIP will be ceased and new SIP in the desired scheme will be registered under the existing OTM, else a new OTM will be registered.
- In change of scheme all conditions like exit load, transaction charges, etc will be applicable as per the change request date and new scheme. If existing scheme is having SIP plus then SIP plus will be provided to the new scheme subject to eligibility criteria's as on the date of request.
- Investor has to submit a cancelled cheque leaf with the name printed for the bank mandate to be registered.
- In case the new OTM is rejected by the banker, the SIP will also be rejected.
- Investor has option to change the bank mandate either for all SIP's in the folio or only for investor specified SIP registered in the folio.
- AMC reserves the right to reject the request in case of multiple selection in mandate and SIP details. Incomplete form is liable to be rejected.
- Change in scheme will not be eligible for Multi Manager Combo plan , retirement fund or any other special products as decided by AMC from time to time.
- Requests once submitted/processed cannot be withdrawn or reversed.
- Broker code provision is only for change on scheme and will not be applicable for change of bank details.
- All conditions for OTM registration will be applied.
- For minor investor folios, investor may write folio number instead of PAN no in the pan based mandate.
- Incase of online investment, the COB request will be processed only if the signature of the investor is updated in the folio.
- Cancelled cheque leaf /bank proof needs to be provided along with the COB request to register new mandate.