



## Liquity Facility

(Please read the Terms and Conditions overleaf carefully before filling up the form)

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED InK and in BLOCK LETTERS. Date: \_\_\_\_\_

BROKER CODE (ARN CODE)/ RIA CODE#	SUB-BROKER ARN CODE	Employee Unique Identification No. (EUIN)	SUB-BROKER CODE (As allotted by ARN holder)
#By mentioning RIA code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. Declaration for "execution-only" transaction (only where EUIN box is left blank) I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.			
Signature of Sole/First Holder	Signature of Second Holder	Signature of Third Holder	

**FOR OFFICIAL USE ONLY**

SERIAL NUMBER, DATE & TIME OF RECEIPT

FOLIO NO. / APPLICATION No.:	TAX STATUS:	MODE OF HOLDING:
------------------------------	-------------	------------------

\* New investors who wish to enroll **Liquity** facility are required to fill in this enrollment form alongwith the respective Scheme Application Form.

1st Applicant	Name of the Unitholder(s)	PAN*	KYC Status ^
2nd Applicant			
3rd Applicant			

\* PAN is mandatory for all applicants including NRIs. ^ KYC Compliance is mandatory.

**SOURCE SCHEMES & OPTIONS (Appreciation/Dividend amount to be transferred from - Please tick (✓) any one of the schemes/options):**

<input type="checkbox"/> <b>ICICI Prudential Savings Fund</b>	Plan:
Options:	<input type="checkbox"/> Growth Option <input type="checkbox"/> Dividend Option** - <input type="radio"/> Payout <input type="radio"/> Reinvestment
Dividend Frequencies:	<input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Fortnightly <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Dividend Others
<input type="checkbox"/> <b>ICICI Prudential Liquid Fund</b>	Plan:
Options:	<input type="checkbox"/> Growth Option <input type="checkbox"/> Dividend Option** - <input type="radio"/> Payout <input type="radio"/> Reinvestment
Dividend Frequencies:	<input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Half Yearly <input type="radio"/> Annual <input type="radio"/> Dividend Others
<input type="checkbox"/> <b>ICICI Prudential Floating Interest Fund</b>	Plan:
Options:	<input type="checkbox"/> Growth Option <input type="checkbox"/> Dividend Option** - <input type="radio"/> Payout <input type="radio"/> Reinvestment
Dividend Frequencies:	<input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Fortnightly <input type="radio"/> Monthly <input type="radio"/> Quarterly <input type="radio"/> Dividend Others

\*\*In case the unit holder has opted for dividend payout option in any open ended scheme where subscription is allowed, the minimum amount for dividend payout shall be ₹ 100 (net of dividend distribution tax and other statutory levy, if any), else the dividend would be mandatorily reinvested, except for ICICI Prudential Long Term Equity Fund (Tax Saving).

**TARGET SCHEMES & OPTIONS (Appreciation/Dividend amount to be transferred to - Please write the scheme name, plan & option)**

ICICI Prudential _____ _____
---------------------------------

**YOUR CONFIRMATION/DECLARATION**

I/We have read and understood the contents of the Scheme Information Document(s)/ Key Information Memorandum(s) & Statement of Additional Information of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations of the scheme(s) as on the date of this transaction. I/We hereby declare that I am/we are not US Person(s). If the transfer is not effected due to reasons which are beyond the control of ICICI Prudential Asset Management Company Limited (the AMC)/ICICI Prudential Mutual Fund (the Fund)/ICICI Prudential Trust Limited (the Trust), the AMC, the Fund, the Trust or any of its affiliates/directors would not be held liable for the same. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. <b>If you do not wish to receive, please call on tollfree no. 1800 222 999 (MTNL/BSNL) or 1800 200 6666 (Others).</b>	DD	MM	YYYY	<b>Signature(s)</b>
				First Applicant
				Second Applicant
				Third Applicant

**Acknowledgement Slip**

Received from: Name of the Unitholder \_\_\_\_\_ Folio/Application No. \_\_\_\_\_

Facility Opted: **Liquity Facility**; Source Scheme \_\_\_\_\_ Option: \_\_\_\_\_

Target Scheme: \_\_\_\_\_ Option: \_\_\_\_\_

## Terms & Conditions of the Liquity Facility

1. **“Liquity”** is a facility through which investors can transfer the dividend payout or appreciation or dividend reinvestment or specified amount, if any, from the Source Schemes to the Target Schemes.

### Source Schemes:

- ICICI Prudential Liquid Fund - Growth & Dividend Options
- ICICI Prudential Savings Fund - Growth & Dividend Options
- ICICI Prudential Floating Interest Fund - Growth & Dividend Options

### Target Schemes:

- ICICI Prudential Bluechip Fund - Growth Option
- ICICI Prudential Multi-Asset Fund - Growth Option
- ICICI Prudential Infrastructure Fund - Growth Option
- ICICI Prudential Multicap Fund - Growth Option
- ICICI Prudential Value Discovery Fund - Growth Option
- ICICI Prudential Midcap Fund - Growth Option
- ICICI Prudential Large & Mid Cap Fund - Growth Option
- ICICI Prudential Exports and Services Fund - Growth Option
- ICICI Prudential Banking & Financial Services Fund - Growth Option
- ICICI Prudential Prudential Equity & Debt Fund - Growth Option
- ICICI Prudential Dividend Yield Equity Fund - Growth Option
- ICICI Prudential Equity Arbitrage Fund - Growth Option
- ICICI Prudential Balanced Advantage Fund - Growth Option
- ICICI Prudential Equity Savings Fund - Growth Option
- ICICI Prudential FMCG Fund - Growth Option
- ICICI Prudential Smallcap Fund - Growth Option
- ICICI Prudential Nifty Index Fund - Growth Option
- ICICI Prudential Nifty Next 50 Index Fund - Growth Option
- ICICI Prudential Focused Equity Fund - Growth Option
- ICICI Prudential Technology Fund - Growth Option

2. For the investors under the dividend option of the respective source schemes, either the dividend payout or the dividend that is being reinvested will be transferred to the Growth Option of the Target schemes as per the dividend frequency.
3. For investors under the Growth Option of the respective source schemes, the daily appreciation in NAV will be switched to the Growth Option of the Target Schemes. To affect the switch, the Units in the Growth Option will automatically be redeemed to the extent of the daily appreciation amount.
4. To avail the Liquity facility under any folio, the investor shall have units valuing Rs. 100,000/- or more. ICICI Prudential Asset Management Company Limited (the AMC) reserves the right to discontinue this facility, if the minimum balance under any folio goes below Rs. 1,00,000/-.
5. In case the dividend declared or the appreciation is on a Non business day, the applicable NAV in the Target scheme, in such a case shall be the next business day's NAV.
6. The criteria for minimum application amount/minimum additional application amount in case of Target Schemes and minimum redemption amount in case of Source Schemes shall not be applicable to investors opting for this facility.
7. Investors must use separate Enrollment Forms for availing the Liquidity Facility in each source scheme and only one enrollment form per source scheme is permitted.
8. Enrollment forms incomplete in any respect shall be liable to be rejected.
9. The facility will be activated / deactivated within 7 working days from the date of receipt of request from the investor.
10. Liquity Facility in any manner whatsoever is not an assurance or promise or guarantee on part of Fund/ AMC to the Unit holders in terms of returns or capital appreciation or minimization of loss of capital or otherwise.
11. The Trustee reserves the right to withdraw the Liquity Facility at its sole discretion.
12. The list of Source schemes and/or Target Schemes is subject to change from time to time.

The Trustee reserves the right to change /modify//add/delete any of the terms & condition of the Liquity Facility.