This PRODUCT IS SUITABLE FOR INVESTORS WHO ARE SEEKING*:  

- Long term wealth creation solution  
- An Equity Linked Savings Scheme that aims to generate long term capital appreciation by primarily investing in equity and related securities and provides tax benefit under section 80C of Income Tax Act, 1961.

* Investors should consult their financial advisers if in doubt about whether the product is suitable for them.

It may be noted that risk-meter specified above is based on the scheme’s monthly portfolio as on September 30, 2022. The same shall be updated in accordance with provisions of SEBI circular dated October 5, 2020 on Product labelling

### INVESTMENT OBJECTIVE

To generate long-term capital appreciation through investments made primarily in equity and equity related securities of companies. However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

### ASSET ALLOCATION PATTERN

(For additional details, refer to the Scheme Information Documents.)

<table>
<thead>
<tr>
<th>Types of Instrument</th>
<th>Normal Allocation (% of Net Assets)</th>
<th>Risk Profile</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equities &amp; Equity related securities</td>
<td>90% to 100%</td>
<td>Very high</td>
</tr>
<tr>
<td>Debt securities &amp; Money Market instruments &amp; Cash</td>
<td>0% to 10%</td>
<td>Low to medium</td>
</tr>
</tbody>
</table>

The AMC may, from time to time, at its absolute discretion, alter, modify or delete any of the above restrictions on investments subject to, however, such modifications, changes, alterations, deletions are in conformity with the Regulations and the guidelines governing the Equity Linked Savings Scheme.

The Margin may be placed in the form of such securities / instruments / deposits as may be permitted/eligible to be placed as margin from the assets of the Scheme. The securities / instruments / deposits so placed as margin shall be classified under the applicable category of assets for the purposes of asset allocation.

The Marginal Exposure across Equity, Debt, Derivatives and such other securities/assets as may be permitted by the Board from time to time, subject to prior approval from SEBI, if required, should not exceed 100% of the net assets of the scheme.

It may be noted that no prior intimation/indication would be given to investors when the fund manager deviates from the asset allocation mentioned above for short term or for defensive considerations, including factors such as market conditions, market opportunities, applicable regulations and political and economic factors. In case such deviations are carried, the fund manager shall endeavor to rebalance the asset allocation within 30 calendar days of the deviation. The investors/unit holders can ascertain details of asset allocation of the scheme as on the last date of each month on AMC’s website at www.icicipruamc.com that will display the asset allocation of the scheme as on the given day.

Considering the inherent characteristics of the Scheme, equity positions would have to build-up gradually and also sold off gradually. This would necessarily entail having large cash position before the portfolio is fully invested and during periods when equity positions are being sold off to book profits/losses or to meet redemption needs. Such positions shall be subject to rebalancing period and in line with SEBI circular dated March 4, 2021.

In case the portfolio of the scheme is not rebalanced as per the above time line, the AMC would place an update thereof before the Executive Equity Investment Committee. The Executive Equity Investment Committee, if so desires, can extend the timeline up to Sixty (60) business days from the date of completion of mandated rebalancing period.

The AMC shall comply with the provisions of the applicable regulatory guidelines for all reporting and disclosure requirements, etc. as may be specified from time to time.

### INVESTMENT STRATEGY

Refer to page no. 2 for Investment Strategy

### RISK PROFILE OF THE SCHEMES

Mutual Fund Units involve investment risks including the possible loss of principal. Please read Scheme Information Document (SID) carefully for details on risk factors before investment. Please refer to page no. 9 for Scheme Specific Risk Factors.

### RISK MITIGATION FACTORS

Please refer to page 10 for Risk Mitigation Factors
### Key Scheme Features of ICICI Prudential Long Term Equity Fund (Tax Saving)

<table>
<thead>
<tr>
<th>Plans and Options</th>
<th>Plans: ICICI Prudential Long Term Equity Fund (Tax Saving) - Direct Plan and ICICI Prudential Long Term Equity Fund (Tax Saving). Options: Growth Option and Income Distribution cum capital withdrawal option (IDCW) with Payout of Income Distribution cum capital withdrawal (IDCW Payout) sub-option and Reinvestment of Income Distribution cum capital withdrawal (IDCW Reinvestment) sub-options. The Scheme will not accept any fresh subscriptions/switch-ins in any other plan than mentioned above. The other plans under the Scheme will continue till the existing investors remain invested in such plans.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Default Plan &amp; Option</td>
<td>Default Plan: ICICI Prudential Long Term Equity Fund (Tax Saving) - Direct Plan (Application without distributor code), ICICI Prudential Long Term Equity Fund (Tax Saving) (Application with distributor code) • Default Option: Growth option</td>
</tr>
<tr>
<td>Systematic Investment Plan</td>
<td>Daily, Weekly, Fortnightly and Monthly: Minimum Rs. 500/- in multiples of Rs. 1 (Minimum number of installments - 8) Quarterly*: Minimum Rs. 500/- in multiples of Rs. 1. (Minimum number of installments - 4)</td>
</tr>
<tr>
<td>Systematic Withdrawal Plan</td>
<td>Available. Please refer to note 6 for further details.</td>
</tr>
<tr>
<td>Switch Facility**</td>
<td>Available after lock-in period of 3 years</td>
</tr>
<tr>
<td>STP/Booster STP/Booster SIP</td>
<td>Available. STP - Out is available only after lock-in period of 3 years. Please refer to note no. 13 on page no. 2 For Booster SIP and Booster STP, the Scheme is a target scheme under both the facilities. Please read SAI for more details.</td>
</tr>
</tbody>
</table>

### Minimum Application Amount / Number of Units

<table>
<thead>
<tr>
<th>Minimum Application Amount / Number of Units</th>
<th>Purchase</th>
<th>Additional Purchase</th>
<th>Repurchase/Redemption</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rs. 500 (plus in multiples of Rs. 500)</td>
<td>Rs. 500 (plus in multiples of Rs. 500)</td>
<td>Any Amount (Post completion of Lock-In period)</td>
<td></td>
</tr>
</tbody>
</table>

### Switches-Ins

Minimum Application (switch-ins): Rs. 500/- (plus in multiples of Rs.500) (Post completion of 3 years Lock-In period) Additional Application (switch-ins): Rs. 500/- (plus in multiples of Rs.500) (Post completion of 3 years Lock-In period)

### Despatch of Repurchase (Redemption) Request**

The fund shall despatch redemption proceeds within 10 business days/(working days) of receiving of the redemption request at the authorised centre for accepting such request.

### Benchmark Index

Nifty 500 TRI

### Policy for Declaration of Income Distribution Cum Capital Withdrawal (IDCW Policy)

The Trustee may approve the distribution of dividends by the AMC out of the distributable surplus of the Scheme. To the extent the net surplus is not distributed, the same will remain invested in the Scheme and be reflected in the NAV. For further details, refer SID.

### Number of Folios & Assets under Management (AUM) as on September 30, 2022

<table>
<thead>
<tr>
<th>Folios:</th>
<th>7,40,548</th>
</tr>
</thead>
<tbody>
<tr>
<td>Assets:</td>
<td>Rs. 9,929.49 crores</td>
</tr>
</tbody>
</table>

### Scheme Performance

Please refer to page 11 for performance

### Exit Load on applicable NAV on the basis of the investment period from the date of allotment of units $$$

Exit Load on applicable NAV for the previous financial year ended March 31, 2022 (% of NAV)

#### a) Load Structure: Entry Load

Nil

#### b) Actual Recurring Expenses for the previous financial year ended March 31, 2022 (% of NAV)

<table>
<thead>
<tr>
<th>Waiver of Load for Direct Applications: Not applicable. (Refer note 13 on page 2)</th>
<th>Tax treatment for the Investors (Unitholders): Refer to page 11</th>
<th>Daily Net Asset Value (NAV) Publication: Refer to page 12</th>
<th>For Investor Grievances please contact: Refer to page 12</th>
<th>Unitholders' Information: Refer to page 11-12</th>
</tr>
</thead>
<tbody>
<tr>
<td>ICICI Prudential Long Term Equity Fund (Tax Saving) : 1.77%</td>
<td>ICICI Prudential Long Term Equity Fund (Tax Saving) - Direct Plan : 1.07%</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Scheme’s Portfolio Holdings (top 10 holdings by issuer and fund allocation towards various sectors).

For monthly portfolio holding click on the link http://www.iciciprulamc.com/Downloads/MonthlyPortfolioDisclosure. For monthly portfolio holding click on the link http://www.iciciprulamc.com/Downloads/MonthlyPortfolioDisclosure. Scheme’s Portfolio Turnover Ratio as on September 30, 2022: 0.28 times

### APPLICABLE NAV FOR PURCHASE, INCLUDING SWITCH IN:

The below cut-off times and applicability of NAV shall be applicable in respect of valid applications received at the Official Point(s) of Acceptance on a Business Day:

For Purchase of any amount:

- In respect of valid applications received upto 3.00 p.m. and where the funds for the entire amount are available for utilization before the cut-off time i.e. 3.00 p.m. - the closing NAV of the day shall be applicable.
- In respect of valid applications received after 3.00 p.m. and where the funds for the entire amount are available for utilization on the same day or before the cut-off time of the next business day - the closing NAV of the next Business Day shall be applicable.

For Switch-ins of any amount:

In case of switch from one scheme to another scheme received before cut-off i.e. upto 3 p.m. having business day for both the schemes, closing NAV of the Business Day shall be applicable for switch-out scheme and for Switch-in scheme, the closing NAV of the Business Day shall be applicable, on which funds are available for utilization in the switch-in scheme (allocation shall be in line with the redemption payout). To clarify, for investments through systematic investment routes such as Systematic Investment Plans (SIP), Systematic Transfer Plans (STP), Flex STP, Capital Appreciation STP, IDCW Transfer, Trigger etc. the units will be allotted as per the closing NAV of the day on which the funds are available for utilization by the Target Scheme irrespective of the installment date of the SIP, STP or record date of IDCW etc.

- “Switch Out” shall be treated as redemption application and accordingly, closing NAV of the day will be applicable based on the cut-off time for redemption followed for various type of schemes.
- “Switch In” shall be treated as purchase application and accordingly for unit allotment, closing NAV of the day will be applicable on which the funds are available for utilization.

### APPLICABLE NAV FOR REDEMPTION, INCLUDING SWITCH OUT:

In respect of valid applications received upto 3.00 pm on a business day by the Mutual Fund, same day’s closing NAV shall be applicable.

In respect of valid applications received after the cut off time by the Mutual
Notes:

1) Saturday is a Non-Business Day for the Scheme.
2) For all web-based transactions, entered through the official web portal of the AMC viz. www.icicigrowamu.com, the cut-offs timings for applying at applicable Net Asset Value (NAV) shall be:
   - The timing at which, the transaction is confirmed at the webserver of AMC, such time shall be considered as final and binding for determining the cut off timings.
3) There can be no assurance that the investment objective of the Scheme will be realized.
4) In the interest of the investors and in order to protect the portfolio from market volatility, the Trustees reserve the right to discontinue subscriptions under the scheme(s) for a specified period of time or till further notice.
5) Processing of Systematic Investment Plan (SIP) cancellation request(s): The AMC will endeavour to have the cancellation of registered SIP mandate within 30 days from the date of acceptance of the cancellation request from the investor. The existing instructions/mandate will remain in force till such date that it is confirmed to have been cancelled
6) SWP (Option 1): Any Amount. Monthly, Quarterly, Half Yearly and Annual frequencies are available in Systematic Withdrawal Plan (SWP). The minimum number of installments for all the frequencies will be 2.
7) SWP (Option 2): Investors opting for the facility can withdraw their investments on a monthly basis. SWP Amount per month will be fixed at 0.75% of the amount specified by the investor and will be rounded-off to the nearest highest multiple of Rs.1
   Processing of Systematic Withdrawal Plan (SWP)/ Trigger facility request(s): Registration /cancellation of SWP and Trigger facility request(s) will be processed within 7 working days from the date of acceptance of the said request(s) or the date of registration if the same is accepted. Any existing registration will continue to remain in force unless the instructions as applicable are confirmed to have been effected.
8) Submission of separate forms/transaction slips for Trigger Option/ Systematic Withdrawal Plan (SWP)/Systematic Transfer Plan (STP) facility: Investors who wish to opt for Trigger Option/ Systematic Withdrawal Plan/ Systematic Transfer Plan facility have to submit their request(s) in a separate designated forms/transaction slips. In case, if AMC do not receive such request in separate designated forms/transaction slips, it reserves the right to reject such request(s).
9) Processing of Transfer Plan facility: The investor(s) may request for a physical account statement by writing or providing the account statement to the investor(s) within 5 working days from the receipt of such request.
10) There can be no assurance that the investment objective of the Scheme will be realized.
11) Significant risk factors for equity oriented schemes: Investments in the scheme(s) may be affected by trading volumes, settlement periods, volatility, price fluctuations and risks such as liquidity, derivative, market, currency, lending & borrowing, credit & interest rate.
12) Pursuant to SEBI circular no. SEBI/IMD/CIR No.4/168230/09 dated June 30, 2009 no entry load shall be charged for all mutual fund schemes. Therefore, the procedure for waiver of load for direct applications is no longer applicable.
13) At the time of registration the minimum amount for SIP facility is Rs. 1,000/- and in multiples of Rs.1 for daily, weekly and monthly frequency and Rs. 250/- for Rs.1 for daily frequency. Minimum no. of installments for daily, weekly and monthly frequency will be 6 and for quarterly frequency will be 4.

Investment Strategy:

The AMC believes that equities outperform all other asset classes in the long run. It further believes that anomalies exist in the valuation of stocks and that consistent application of fundamental research can identify such opportunities. The AMC will follow an active, value based investment style supported by in-house research. External research will be used whenever necessary.

A value approach to stock picking will be the dominant theme in stock selection for the Scheme(s). The emphasis of the investment strategy of the AMC will also be on the following investment guidelines:

1. **Security Selection:**
   - The AMC will select stocks in the universe of companies covered by the research team of the AMC. The AMC will select companies based on criteria that the research team believes will result in a company that has a sustainable competitive advantage.
   - The AMC will analyze companies using both qualitative and quantitative measures. Qualitative measures include the quality of management, the quality of the company's financial statements, and the company's management of its business activities. Quantitative measures include the company's financial ratios, the company's earnings per share, and the company's price-earnings ratio.
   - The AMC will also consider market capitalization, liquidity, and the company's stock price in selecting companies.

2. **Portfolio Construction:**
   - The AMC will construct a diversified portfolio of stocks that is designed to provide long-term capital growth.
   - The AMC will diversify the portfolio by investing in companies from different industries and sectors.
   - The AMC will also consider the company's size, the company's financial condition, and the company's stock price in selecting companies.

3. **Portfolio Management:**
   - The AMC will actively manage the portfolio to ensure that it remains consistent with the investment strategy.
   - The AMC will monitor the performance of the companies in the portfolio and make changes as necessary to maintain the portfolio's performance.
   - The AMC will also consider market conditions and economic factors in managing the portfolio.

4. **Risk Management:**
   - The AMC will manage risk in the portfolio to ensure that it is consistent with the investment strategy.
   - The AMC will diversify the portfolio to reduce risk.
   - The AMC will also consider market conditions and economic factors in managing risk.

**Fixed Income Securities:**

The AMC aims to identify securities, which offer superior levels of yield at lower levels of risks. With the aim of controlling risks rigorously in depth credit evaluation of the securities proposed to be invested in will be carried out by the investment team of the AMC. The credit evaluation includes a study of the operating environment of the issuer, the short as well as long-term financial health of the issuer. Rated debt instruments in which the Scheme invests will be of investment grade as rated by a credit rating agency. The AMC will be guided by the ratings of such Rating Agencies as approved by SEBI to carry out the functioning of rating agencies. In case a debt instrument is not rated, such investments shall be made by an internal committee constituted by AMC to approve the investment in unrated debt securities in terms of the parameters approved by the Board of Trustees and the Board of Asset Management Company.

In addition, the investment team of the AMC will study the macro economic conditions, including the political, economic environment and factors affecting liquidity and interest rates. The AMC would use this analysis to attempt to predict the likely direction of interest rates and position the portfolio appropriately to take advantage of the same.

The Schemes could invest in Fixed Income Securities issued by government, quasi government entities, corporate issuers, structured notes and multilateral agencies in line with the investment objectives of the Scheme and as permitted by SEBI from time to time.

The AMC will monitor and control maximum exposures to any one security vis-à-vis its weightage in the benchmark. Risk will also be reduced through adequate diversification of the portfolio. For a corpus size of up to Rs.100 crores, the AMC intends to invest in about 20-30 scrips.

Diversification will also be achieved by spreading the investments over a diverse range of industries/sectors. The Scheme, under most market conditions does not intend investing in illiquid equity and equity related securities.

The Scheme may however, invest in unlisted and/or privately placed and/or unrated debt securities subject to the limits indicated above, from issuers of reputable and sound financial standing. If investment is made in unrated debt securities, the same would be done as per the parameters laid down by the Board of Directors of AMC. Otherwise approval of the Board of the AMC and Trustee shall be obtained, as per the Regulations.

Subject to the limits indicated above for investment in debt securities and money market instruments, the Scheme may invest a part of the portfolio in securities issued and/or guaranteed by State and Central Governments. The Scheme may also invest in Securities of issuers supported by Government of India or State Governments subject to such securities satisfying the criteria relating to rating etc.

The Scheme may invest in other schemes managed by the AMC or in the Schemes of any other Mutual Funds, provided it is in conformity to the investment objectives of the Scheme and in terms of the prevailing Regulations and guidelines governing Equity Linked Savings Schemes. As per the Regulations, no investment management fees will be charged for such investments.

For the present, the Scheme does not intend to enter into underwriting obligations. However, if the Scheme does enter into an underwriting agreement, it would do so after complying with the Regulations and with the prior approval of the Board of the AMC/ Trustee.

The scheme may undertake repos transactions in corporate debt securities in accordance with the directions issued by RBI and SEBI from time to time. Such investment shall be made subject to the guidelines which may be prescribed.

The AMC believes that equities outperform all other asset classes in the long run. It further believes that anomalies exist in the valuation of stocks and that consistent application of fundamental research can identify such opportunities. The AMC will follow an active, value based investment style supported by in-house research. External research will be used whenever necessary.
<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Bluechip Fund</th>
<th>ICICI Prudential Focused Equity Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme predominantly investing in large cap stocks.</td>
<td>An open ended equity scheme investing in maximum 30 stocks across market-capitalization i.e. focus on multicap.</td>
</tr>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equities &amp; Equity related securities of large cap companies</td>
<td>Equities &amp; Equity related securities of other than large cap companies</td>
</tr>
<tr>
<td></td>
<td>Debt &amp; Money market instruments</td>
<td>Equity &amp; Equity related securities</td>
</tr>
<tr>
<td></td>
<td>80-100</td>
<td>65 – 100</td>
</tr>
<tr>
<td></td>
<td>0-20</td>
<td>0 – 35</td>
</tr>
<tr>
<td>For the purpose of identification of large cap companies, communication provided by SEBI/AMFI shall be considered. Currently, as per SEBI circular SEBI/HO/IMD/DF3/CIR/P/2017/ 114 dated October 06, 2017, large cap companies are defined as 1st -100th company in terms of full market capitalization. Mutual Funds are required to adopt list of stocks prepared by AMFI in this regard.</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate long term capital appreciation and income distribution to investors from a portfolio that is predominantly invested in equity and equity related securities of large cap companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>To generate capital appreciation by investing in a concentrated portfolio of equity and equity related securities of up to 30 companies across market capitalization i.e. focus on multicap. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 3,281.66 crores</td>
<td>Rs. 3,626.25 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>16,05,787</td>
<td>1,18,675</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Value Discovery Fund</th>
<th>ICICI Prudential Large &amp; Mid cap Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme following a value investment strategy.</td>
<td>An open ended equity scheme investing in both large cap and mid cap stocks.</td>
</tr>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equity &amp; Equity related instruments</td>
<td>Debt and Money Market Instruments including Units of Debt oriented mutual fund schemes*@$</td>
</tr>
<tr>
<td></td>
<td>65 – 100</td>
<td>35 – 65</td>
</tr>
<tr>
<td></td>
<td>0 – 35</td>
<td>35 – 65</td>
</tr>
<tr>
<td>For the purpose of identification of large cap and mid cap companies, communication provided by SEBI/AMFI shall be considered. Currently, as per SEBI circular SEBI/HO/IMD/DF3/CIR/P/2017/ 114 dated October 06, 2017, large cap companies are defined as 1st -100th company and mid cap companies are defined as 101st-250th company in terms of full market capitalization. Mutual Funds are required to adopt list of stocks prepared by AMFI in this regard.</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate returns through a combination of dividend income and capital appreciation by investing primarily in a well-diversified portfolio of value stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>To generate long-term capital appreciation from a portfolio that is invested predominantly in equity and equity related securities of large-cap and mid-cap companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 25,191.64 crores</td>
<td>Rs. 5,689.59 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>10,24,646</td>
<td>2,17,608</td>
</tr>
</tbody>
</table>

**Comparison of existing schemes with ICICI Prudential Long Term Equity Fund (Tax Saving)**

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Banking &amp; Financial Services Fund</th>
<th>ICICI Prudential Dividend Yield Equity Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme investing in Banking &amp; Financial Services sector.</td>
<td>An open ended equity scheme predominantly investing in dividend yielding stocks.</td>
</tr>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equity &amp; Equity related securities of companies engaged in Banking and Financial Services Sector</td>
<td>Equity &amp; Equity related securities of other than those engaged in the Banking and Financial Services Sector</td>
</tr>
<tr>
<td></td>
<td>Debt &amp; Money market instruments</td>
<td>Equity &amp; Equity related securities of dividend yielding companies</td>
</tr>
<tr>
<td></td>
<td>80-100</td>
<td>65-100</td>
</tr>
<tr>
<td></td>
<td>0-20</td>
<td>0 - 35</td>
</tr>
<tr>
<td></td>
<td>20-20</td>
<td>0 – 10</td>
</tr>
<tr>
<td>Investment Objective</td>
<td>To generate long-term capital appreciation to unit holders from a portfolio that is invested predominantly in equity and equity related securities of companies engaged in banking and financial services. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>The investment objective of ICICI Prudential Dividend Yield Equity Fund is to provide medium to long term capital gains and/or dividend distribution by predominantly investing in a well-diversified portfolio of equity and equity related instruments of dividend yielding companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 5,407.68 crores</td>
<td>Rs. 1,110.15 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>4,01,018</td>
<td>40,114</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Exports &amp; Services Fund</th>
<th>ICICI Prudential FMCG Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme following Exports &amp; Services theme.</td>
<td>An open ended equity scheme investing in FMCG sector.</td>
</tr>
</tbody>
</table>
## ICICI Prudential Exports & Services Fund

**Features of the Scheme**

<table>
<thead>
<tr>
<th>Asset Allocation as per SID (in %)</th>
<th>Equity &amp; Equity related securities of companies engaged in services theme</th>
<th>Equity &amp; equity related securities of companies other than those engaged in Exports &amp; Services theme</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of companies forming part of FMCG sector</th>
<th>Equity &amp; Equity related securities of companies other than those engaged in FMCG sector</th>
<th>Debt &amp; Money market instruments</th>
</tr>
</thead>
<tbody>
<tr>
<td>80-100</td>
<td>0-20</td>
<td>0-20</td>
<td>80-100</td>
<td>0-20</td>
<td>0-20</td>
<td>0-20</td>
</tr>
</tbody>
</table>

**Investment Objective**

To generate capital appreciation and income distribution to unit holders by investing predominantly in equity/equity related securities of the companies belonging to Exports & Services industry. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management (as on September 30, 2022)**

Rs. 1,043.62 crores

**No. of folios as on September 30, 2022**

41,886

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## ICICI Prudential Technology Fund

**Features of the Scheme**

<table>
<thead>
<tr>
<th>Type of Scheme</th>
<th>An open ended equity scheme investing in Technology and technology related sectors.</th>
</tr>
</thead>
</table>

**Investment Objective**

To generate long term capital appreciation through investments made primarily in equity & equity related securities forming part of FMCG sector. However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 892.79 crores

**No. of folios**

41,869

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## ICICI Prudential US Bluechip Equity Fund

**Features of the Scheme**

<table>
<thead>
<tr>
<th>Type of Scheme</th>
<th>An open ended equity scheme investing predominantly in securities of large cap companies listed in the United States of America.</th>
</tr>
</thead>
</table>

---

## ICICI Prudential Smallcap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme predominantly investing in small cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of Small Cap Companies</th>
<th>Equity &amp; Equity related securities of other than Small Cap Companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of companies engaged in Infrastructure theme</th>
<th>Equity &amp; Equity related securities of companies other than those engaged in Infrastructure theme</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>80-100</td>
<td>0-20</td>
</tr>
</tbody>
</table>

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of small cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management (as on September 30, 2022)**

Rs. 4,238.94 crores

**No. of folios as on September 30, 2022**

2,80,009

---

## ICICI Prudential Midcap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme predominantly investing in mid cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of mid cap companies</th>
<th>Equity &amp; Equity related securities of other than mid cap companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of large cap companies</th>
<th>Debt &amp; Money market instruments</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>75 – 100</td>
<td>0 – 25</td>
</tr>
</tbody>
</table>

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by actively investing in diversified mid cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management (as on September 30, 2022)**

Rs. 3,571.67 crores

**No. of folios as on September 30, 2022**

2,05,380

---

## ICICI Prudential Multicap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme investing across large cap, mid cap, small cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of large cap companies</th>
<th>Equity &amp; Equity related securities of mid cap companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of small cap companies</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>75 – 100</td>
</tr>
</tbody>
</table>

**Investment Objective**

To generate capital appreciation through investments in equity & equity related instrument across large cap, mid cap, and small cap stocks of various industries. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management (as on September 30, 2022)**

Rs. 6,790.70 crores

**No. of folios as on September 30, 2022**

3,41,869

---

## ICICI Prudential Infrastructure Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme following Infrastructure theme. |

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of mid cap companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 2,025.80 crores

**No. of folios**

1,27,702

---

## ICICI Prudential FMCG Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme following FMCG theme. |

**Investment Objective**

To generate long term capital appreciation through investments made primarily in equity & equity related securities forming part of FMCG sector. However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 2,80,009 crores

**No. of folios**

1,27,702

---

## ICICI Prudential FMCG Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme following FMCG theme. |

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of mid cap companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 2,025.80 crores

**No. of folios**

1,27,702

---

## ICICI Prudential Smallcap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme predominantly investing in small cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of Small Cap Companies</th>
<th>Equity &amp; Equity related securities of other than Small Cap Companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of companies engaged in Infrastructure theme</th>
<th>Equity &amp; Equity related securities of companies other than those engaged in Infrastructure theme</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>80-100</td>
<td>0-20</td>
</tr>
</tbody>
</table>

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of small cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 4,238.94 crores

**No. of folios**

2,80,009

---

## ICICI Prudential Midcap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme predominantly investing in mid cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of mid cap companies</th>
<th>Equity &amp; Equity related securities of other than mid cap companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of large cap companies</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>75 – 100</td>
</tr>
</tbody>
</table>

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by actively investing in diversified mid cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 3,571.67 crores

**No. of folios**

2,05,380

---

## ICICI Prudential Multicap Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme investing across large cap, mid cap, small cap stocks. |

**Asset Allocation as per SID (in %)**

<table>
<thead>
<tr>
<th>Equity &amp; Equity related securities of large cap companies</th>
<th>Equity &amp; Equity related securities of mid cap companies</th>
<th>Debt &amp; Money market instruments</th>
<th>Equity &amp; Equity related securities of small cap companies</th>
</tr>
</thead>
<tbody>
<tr>
<td>65-100</td>
<td>0-35</td>
<td>0-35</td>
<td>75 – 100</td>
</tr>
</tbody>
</table>

**Investment Objective**

The primary objective of the Scheme is to seek to generate capital appreciation by actively investing in diversified mid cap stocks. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 3,571.67 crores

**No. of folios**

2,05,380

---

## ICICI Prudential Technology Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme investing in Technology and technology related sectors. |

**Investment Objective**

To generate long term capital appreciation through investments made primarily in equity & equity related securities forming part of FMCG sector. However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

**Assets under Management**

Rs. 892.79 crores

**No. of folios**

41,869

---

## ICICI Prudential US Bluechip Equity Fund

**Features of the Scheme**

| Type of Scheme | An open ended equity scheme investing predominantly in securities of large cap companies listed in the United States of America. |

---
<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Technology Fund</th>
<th>ICICI Prudential US Bluechip Equity Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity linked savings scheme with a statutory lock in of 3 years and tax benefit.</td>
<td>An Open Ended Equity Scheme following Pharma, Healthcare, Diagnostic and allied Theme.</td>
</tr>
<tr>
<td><strong>Asset Allocation per SID (in %)</strong></td>
<td>Equity &amp; Equity related securities of companies engaged in Technology and Technology dependent companies</td>
<td>Debt &amp; Money market instruments</td>
</tr>
<tr>
<td></td>
<td>Debt securities &amp; Money Market instruments &amp; Cash</td>
<td>Equity &amp; Equity related securities*</td>
</tr>
<tr>
<td></td>
<td>80 – 100</td>
<td>0 – 20</td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate long-term capital appreciation by creating a portfolio that is invested in equity and equity related securities of technology and technology dependent companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>The investment objective of ICICI Prudential US Bluechip Equity Fund is to provide long term capital appreciation to investors by primarily investing in equity and equity related securities of companies listed on recognized stock exchanges in the United States of America. The Scheme shall also invest in ADRs/GDRs issued by Indian and foreign companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 8,705.49 crores</td>
<td>Rs. 2,162.42 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>16,34,880</td>
<td>1,47,078</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Long Term Equity Fund (Tax Saving)</th>
<th>ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme following manufacturing theme.</td>
<td>An Open Ended Equity Scheme following Pharma, Healthcare, Diagnostic and allied Theme.</td>
</tr>
<tr>
<td><strong>Asset Allocation per SID (in %)</strong></td>
<td>Equity &amp; Equity related securities of manufacturing companies</td>
<td>Debt, Units of Mutual Fund schemes</td>
</tr>
<tr>
<td></td>
<td>Other Equity &amp; Equity related securities</td>
<td>Equity &amp; Equity related instruments of other than Pharma, healthcare, diagnostics and allied companies.</td>
</tr>
<tr>
<td></td>
<td>Debt and Money Market Instruments, including Units of Debt oriented mutual fund schemes@$</td>
<td>Debt, Units of Mutual Fund schemes, Money market instruments, Cash &amp; Cash Equivalents</td>
</tr>
<tr>
<td></td>
<td>80 – 100</td>
<td>0 – 20</td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate long-term capital appreciation through investments made primarily in equity and equity related securities of companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>To generate long-term capital appreciation by creating a portfolio that is invested in Equity and Equity related securities of pharma, healthcare, hospitals, diagnostics, wellness and allied companies. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 9,929.49 crores</td>
<td>Rs. 5,386.64 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>7,40,548</td>
<td>1,29,751</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Manufacturing Fund</th>
<th>ICICI Prudential India Opportunities Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme following manufacturing theme.</td>
<td>An open ended equity scheme following special situations theme.</td>
</tr>
<tr>
<td><strong>Asset Allocation per SID (in %)</strong></td>
<td>Equity &amp; Equity related securities of manufacturing companies</td>
<td>Other equity and equity related instruments</td>
</tr>
<tr>
<td></td>
<td>Other Equity &amp; Equity related securities</td>
<td>Debt, Units of Mutual Fund schemes, Money market instruments, Cash &amp; Cash Equivalents</td>
</tr>
<tr>
<td></td>
<td>Debt and Money Market Instruments, including Units of Debt oriented mutual fund schemes@$</td>
<td>Equity &amp; Equity related instruments of special situations theme.</td>
</tr>
<tr>
<td></td>
<td>80 – 100</td>
<td>0 – 20</td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>The investment objective of the Scheme is to generate long term capital appreciation by creating a portfolio that is invested predominantly in equity and equity related securities of companies engaged in manufacturing theme. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>To generate long-term capital appreciation by investing in opportunities presented by special situations such as corporate restructuring, Government policy and/or regulatory changes, companies going through temporary unique challenges and other similar instances. However there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 616.03 crores</td>
<td>Rs. 6,024.56 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>35,588</td>
<td>2,89,552</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Bharat Consumption Fund</th>
<th>ICICI Prudential MNC Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An Open Ended Equity Scheme following Consumption theme.</td>
<td>An open ended equity scheme following MNC theme.</td>
</tr>
</tbody>
</table>
### Features of the Scheme

#### ICICI Prudential Bharat Consumption Fund
- **Asset Allocation as per SID (in %)**
  - Equity and Equity Related Instruments of companies engaged in consumption and consumption related activities or allied sectors: 80-100
  - Other equity and equity related securities: 0-20
  - Debt, units of debt Mutual fund schemes and Money market instruments: 0-20
  - Gold/Gold ETF/ Units issued by REITs/InvITs such other asset classes as may be permitted by SEBI from time to time (subject to applicable SEBI limits): 0-20

#### ICICI Prudential MNC Fund
- **Asset Allocation as per SID (in %)**
  - Equity and equity related instruments within MNC space: 80-100
  - Other equity and equity related instruments: 0-20
  - Debt, Units of debt Mutual fund schemes and Money market instruments: 0-20
  - Gold/Gold ETF/ Units issued by REITs/InvITs such other asset classes as may be permitted by SEBI from time to time (subject to applicable SEBI limits): 0-20

#### Investment Objective
- To generate long-term capital appreciation by investing in a diversified portfolio that is invested predominantly in Equity and Equity related securities of companies engaged in commodity and commodity related sectors.
- However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.
- The primary objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities within MNC space.
- However there can be no assurance or guarantee that the investment objective of the scheme would be achieved.

#### Assets under Management (as on September 30, 2022)
- Rs. 1,887.60 crores

#### No. of folios as on September 30, 2022
- 60,740

### Features of the Scheme

#### ICICI Prudential Commodities Fund
- **Type of Scheme**
  - An open ended equity scheme investing in companies classified under the Commodity theme.

#### ICICI Prudential ESG Fund
- **Type of Scheme**
  - An open ended equity scheme investing in companies identified based on the Environmental, Social and Governance (ESG) theme.

#### Asset Allocation as per SID (in %)
- Equity & Equity related instruments of companies engaged in commodity and commodity related sectors: 80-100
- Other equity and equity related securities: 0-20
- Debt, Units of debt Mutual fund schemes and Money market instruments: 0-20
- Units issued by REITs/InvITs: 0-20
- Gold ETF/ other asset classes as may be permitted by SEBI from time to time (subject to applicable SEBI limits): 0-20
- Preference shares: 0-20

#### Investment Objective
- To generate long-term capital appreciation by investing in companies identified based on the Environmental, Social and Governance (ESG) theme.

#### Assets under Management (as on September 30, 2022)
- Rs. 715.29 crores

#### No. of folios as on September 30, 2022
- 73,721

### Features of the Scheme

#### ICICI Prudential Quant Fund
- **Type of Scheme**
  - An open ended equity scheme following Quant based investing theme.

#### ICICI Prudential Business Cycle Fund
- **Type of Scheme**
  - An open ended equity scheme following business cycles based investing theme.

### Notes
- *Indicative list of sectors/industries falling under consumption and consumption related activities or allied sectors.
  1. Automobile including auto components companies,
  2. Consumer Goods including consumer durables, consumer non-durables, retail etc.
  3. Energy,
  4. Healthcare Services,
  5. Media & Entertainment,
  6. Pharma,
  7. Services such as Commercial and Engineering Services, Hotels Resorts and Recreational Activities, Transportation, Trading, etc.
  8. Telecom,
  9. Textiles

Please note that the above list is indicative and the Fund Manager may add such other sector/industries which satisfy the consumption theme. The Fund Manager may also add other sectors as may be added in Nifty Consumption Index from time to time.

- The Scheme will invest in companies classified under ‘Commodities’ as per Industry classification issued by AMFI from time to time (subject to applicable SEBI limits).
- The investment objective of the scheme would be achieved.
- The primary objective of the scheme is to generate long term capital appreciation by investing in companies classified under ‘Commodities’ as per Industry classification issued by AMFI from time to time.
- Examples of sectors classified under ‘Commodities’ are as follows:
  1. Paper
  2. Cement and Cement Products,
  3. Metals (including, Ferrous Metals, Non- Ferrous Metals, Minerals & Mining etc.),
  4. Chemicals,
  5. Fertilizers and Pesticides, etc.

- To generate long-term capital appreciation by investing in a diversified basket of companies identified based on the Environmental, Social and Governance (ESG) criteria.
- However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.
<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Quant Fund</th>
<th>ICICI Prudential Business Cycle Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equity and equity related instruments based on quant model</td>
<td>Equity and equity related instruments selected on the basis of business cycle</td>
</tr>
<tr>
<td></td>
<td>Other Equity and Equity related instruments</td>
<td>Other equity and equity related instruments*</td>
</tr>
<tr>
<td></td>
<td>Debt and Money market instruments</td>
<td>Debt and Money market instruments, including Units of Debt oriented mutual fund schemes</td>
</tr>
<tr>
<td></td>
<td>Units of Mutual Fund Schemes (including ETFs)*</td>
<td>Preference shares or any other asset as may be permitted by SEBI from time to time</td>
</tr>
<tr>
<td></td>
<td>Units issued by REITs and InvITs</td>
<td>Units issued by REITs and InvITs</td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate long-term capital appreciation by predominantly investing in equity and equity related instruments selected based on a quantitative model. However there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</td>
<td>To generate long-term capital appreciation by investing with focus on riding business cycles through allocation between sectors and stocks at different stages of business cycles. However there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 62.82 crores</td>
<td>Rs. 4,856.03 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>6,977</td>
<td>1,63,990</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Flexicap Fund</th>
<th>ICICI Prudential Housing Opportunities Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open-ended dynamic equity Scheme investing across large cap, midcap &amp; smallcap companies</td>
<td>An open ended equity scheme following housing theme.</td>
</tr>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equity &amp; Equity related instruments of largecap, midcap and smallcap companies</td>
<td>Equity &amp; Equity related instruments of entities involved in Housing theme</td>
</tr>
<tr>
<td></td>
<td>Other Equity &amp; Equity related instruments</td>
<td>Other Equity &amp; Equity related instruments</td>
</tr>
<tr>
<td></td>
<td>Debt instruments, Units of Debt Mutual Fund schemes* and Money market instruments @</td>
<td>Debt instruments, Units of Debt Mutual Fund schemes*, Money market instruments @ and Preference Shares</td>
</tr>
<tr>
<td></td>
<td>Preference shares</td>
<td>Units issued by REITs and InvITs</td>
</tr>
<tr>
<td></td>
<td>Units issued by REITs and InvITs</td>
<td>@ Excluding subscription money in transit before deployment / payout</td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>To generate long-term capital appreciation by investing predominantly in equity &amp; equity related instruments across market capitalization. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
<td>To generate long-term capital appreciation by investing in equity and equity related instruments of entities engaged in and/or expected to benefit from the growth in housing theme. However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Rs. 11,702.98 crores</td>
<td>Rs. 2,781.84 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>5,08,463</td>
<td>1,03,308</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Features of the Scheme</th>
<th>ICICI Prudential Transportation &amp; Logistics Fund</th>
<th>ICICI Prudential PSU Equity Fund</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Type of Scheme</strong></td>
<td>An open ended equity scheme following transportation and logistics theme.</td>
<td>An open ended equity scheme following the PSU theme.</td>
</tr>
<tr>
<td><strong>Asset Allocation as per SID (in %)</strong></td>
<td>Equity &amp; Equity related securities of entities in the transportation and logistics theme</td>
<td>Equity &amp; Equity related instruments of Public Sector Undertakings</td>
</tr>
<tr>
<td></td>
<td>Other Equity &amp; Equity related instruments</td>
<td>Other Equity &amp; Equity related instruments</td>
</tr>
<tr>
<td></td>
<td>Debt instruments, Units of Debt Mutual Fund schemes* and Money market instruments @ and Preference Shares</td>
<td>Debt instruments, Units of Debt Mutual Fund schemes*, Money market instruments @ and Preference Shares</td>
</tr>
<tr>
<td></td>
<td>Units issued by REITs and InvITs</td>
<td>Units issued by REITs and InvITs</td>
</tr>
<tr>
<td></td>
<td>@ Excluding subscription money in transit before deployment / payout</td>
<td></td>
</tr>
<tr>
<td><strong>Investment Objective</strong></td>
<td>The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of companies engaged in transportation and logistics sectors. However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.</td>
<td>The objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities of Public Sector Undertakings (PSUs). However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.</td>
</tr>
<tr>
<td><strong>Assets under Management (as on September 30, 2022)</strong></td>
<td>Since the Scheme is a new scheme, these details are not available.</td>
<td>Rs. 1,224.11 crores</td>
</tr>
<tr>
<td><strong>No. of folios as on September 30, 2022</strong></td>
<td>Since the Scheme is a new scheme, these details are not available.</td>
<td>56,019</td>
</tr>
</tbody>
</table>
RISK PROFILE OF THE SCHEME:

Mutual Fund Units involve investment risks including the possible loss of principal. Please read the Risk factor section before investing. Scheme Specific Risk Factors summarised below.

Scheme Specific Risk Factors and Risk Management Strategies:

Investing in Equities

- **Investors may note that AMC/Fund Manager’s investment decisions may not be always profitable, as actual market movements may be at variance with the theoretical volume changes and period-to-period. Investment procedures may restrict the liquidity of these investments. Different segments of the financial markets have different settlement periods and such practices may significantly affect the settlement procedures of a Security. The inability of the Schemes to make intended securities purchases due to settlement problems could cause the Schemes to miss certain investment opportunities.**

- **The value of the Schemes’ investments, may be affected generally by factors affecting securities markets, such as price and volume volatility in the capital markets, interest rates, currency exchange rates, changes in policies of the Government and regulatory authorities, instability of market perceptions, changes in economic and political developments which may have an adverse bearing on individual securities, a specific sector or all sectors including equity and debt perceptions of the creditworthiness of the issuer. The NAV of the Units of the Schemes may fluctuate and can go up or down.**

Risks associated with investments in Fixed Income Securities:

- **Changes in government policy in general and changes in tax benefits are subject to greater price fluctuations, less liquidity and greater risk than traditional investments.**

- **The prices which are seen on the screen need not be the same as the actual price at which the security can be traded.**

- **The inability of the Scheme to make intended securities purchases due to settlement problems could cause the Schemes to miss certain investment opportunities.**

- **The AMC may, considering the overall level of risk of the portfolio, invest in lower rated instruments, which are subject to greater price fluctuations, less liquidity and greater risk than traditional investments, thus increasing the risk of the portfolio.**

- **The AMC may choose to invest in zero coupon securities that offer attractive yields. This may increase the absolute level of risk of the portfolio.**

- **The AMC may choose to invest in zero coupon securities that offer attractive yields.**

- **The performance of the schemes may get affected if there is a change in the said trend. There can be no assurance that such historical trends will continue.**

- **In case of abnormal circumstances it will be difficult to complete the square off transaction due to liquidity being poor in stock futures/spot market. However fund will aim at taking exposure only into liquid stocks where there will be minimum delay in the transaction.**

- **The AMC may choose to invest in unlisted securities that offer attractive yields.**

Risks associated with investment in ADR/GDR/Foreign Securities / Overseas EFTs:

- **It is AMC’s belief that the investment in ADRs/GDRs/securities is a sound investment opportunity. However, such investments also entail additional risks. Such investment opportunities may be pursued by the AMC provided they are considered appropriate in terms of the overall investment strategy and focus of the scheme.**

- **The Schemes may use various derivative products as permitted by the Regulations. The risks associated with the use of derivatives are different from or possibly greater than the risks associated with investing directly in securities and other traditional investments.**

- **The prices which are not quoted on the stock exchanges, are inherently illiquid in nature and carry a larger amount of liquidity risk, in comparison to securities that are listed on the exchanges or offer other exit options to the investor, including a put option. The AMC may choose to invest only partially in ADRs/GDRs/unlisted securities that offer attractive yields.**

- **The Scheme(s) at times may receive large number of redemption requests, leading to an asset-liability mismatch and therefore, requiring the investment manager to make a distress sale of the securities leading to realisation of the portfolio and consequently resulting in investment in lower yield instruments.**

- **Investment in unrated instruments may involve a risk of default or decline in market value, but without rated instruments due to adverse economic and issuer-specific developments.**

- **The Schemes may invest in unrated or lesser rated instruments due to adverse economic and issuer-specific developments.**

- **The AMC may choose to invest in zero coupon securities that offer attractive yields. This may increase the absolute level of risk of the portfolio.**

- **The AMC may choose to invest in zero coupon securities that offer attractive yields.**

- **The prices which are seen on the screen need not be the same**
The Fund by utilizing a risk mitigants / management strategy process to manage such risks. Designed risk management strategies, which are embedded in the investment process to manage such risks.

**Risk Management Strategies / Risk Mitigation Factors:** The Fund by utilizing a holistic risk management strategy will endeavor to manage risks associated with investing in debt and equity markets. The risk control process involves identifying & measuring the risk through various risk measurement tools. The Fund has identified the following risks of investing in equity and debt, and designed risk management strategies, which are embedded in the investment process to manage such risks.

<table>
<thead>
<tr>
<th>Risk &amp; Description Specific to Debt</th>
<th>Risk mitigants / management strategy</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Market Risk / Interest Rate Risk:</strong> As with all debt securities, changes in interest rates may affect the Scheme’s Net Asset Value as the prices of securities generally increase as interest rates decline and generally decrease as interest rates rise. Prices of long-term securities generally fluctuate more in response to interest rate changes than do short-term securities. Indian debt markets can be volatile leading to the possibility of price movements up or down in fixed income securities and thereby to possible movements in the NAV.</td>
<td>A rising interest rates scenario the scheme may increase its investment in money market securities whereas if the interest rates are expected to fall the allocation to debt securities with longer maturity may be increased thereby mitigating risk to that extent.</td>
</tr>
<tr>
<td><strong>Liquidity or Marketability Risk:</strong> This refers to the ease with which a security can be sold at or near to its valuation yield-to-maturity (YTM).</td>
<td>The Schemes may invest in government securities, corporate bonds and money market instruments. While the liquidity risk for government securities, money market instruments and short maturity corporate bonds may be low, it may be high in case of medium to long maturity corporate bonds. The Scheme will however, endeavor to minimize liquidity risk by investing in securities having a relatively liquid market.</td>
</tr>
<tr>
<td><strong>Credit Risk:</strong> Credit risk or default risk refers to the risk that an issuer of a fixed income security may default (i.e., will be unable to make timely principal and interest payments on the security).</td>
<td>Credit risk of default risk refers to the risk that the underlying asset does not match the movement of the underlying asset being hedged. Basis Risk: This risk arises when the derivative instrument used to hedge the underlying asset does not match the movement of the underlying asset being hedged. Exchanges could raise the initial margin, variation margin or other forms of margin on derivative contracts, impose one sized margins or insist that margins be placed in cash. All of these might force positions to be unwind at a loss, and might materially impact returns.</td>
</tr>
<tr>
<td><strong>Reinvestment Risk:</strong> This risk refers to the interest rate levels at which cash flows received from the securities in the Scheme are reinvested The risk is that the rate at which interim cash flows can be reinvested may be lower than that originally assumed.</td>
<td>Reinvestment risks will be limited to the extent of coupons received on debt instruments, which will be a very small portion of the portfolio value.</td>
</tr>
<tr>
<td><strong>Currency Risk:</strong> The schemes subject to applicable regulations, should have the option to enter into forward contracts for the purposes of hedging against the foreign exchange fluctuations. The schemes may employ various measures (as permitted by SEBI/RBI) including but not restricted to currency hedging (such as currency options and forward currency exchange contracts, currency futures, written call options and purchased put options on currencies and currency swaps), to manage foreign exchange movements arising out of investment in foreign securities. All currency derivatives trade, if any, will be done only through the stock exchange platform.</td>
<td>The schemes may invest in foreign securities as permitted by the concerned regulatory authorities in India. Since the assets will be invested in securities denominated in foreign currency, the INR equivalent of the net assets, distributions and income may be adversely affected by changes / fluctuations in the value of the foreign currencies relative to the INR.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Risks associated with Equity investment:</th>
<th>Risk mitigants / management strategy with Equity investment:</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Market Risk:</strong> The scheme is vulnerable to movements in the prices of securities invested by the scheme, which could have a material bearing on the overall returns from the scheme.</td>
<td>Market risk is a risk which is inherent to an equity scheme. The Schemes may use derivatives to limit this risk.</td>
</tr>
</tbody>
</table>

**Concentration Risk:** Concentration risk represents the probability of loss arising from heavily lopsided exposure to a particular group of sectors or securities. The Schemes will try and mitigate this risk by investing in large number of companies so as to maintain optimum diversification and keep stock-specific concentration risk relatively low.

**Currency Risk:** The Schemes may invest in foreign securities as permitted by the concerned regulatory authorities in India. Since the assets will be invested in securities denominated in foreign currency, the INR equivalent of the net assets, distributions and income may be adversely affected by changes / fluctuations in the value of the foreign currencies relative to the INR.

**Liquidity Risk:** The Schemes may raise the initial margin, variation margin or other forms of margin on derivative contracts, impose one sized margins or insist that margins be placed in cash. All of these might force positions to be unwind at a loss, and might materially impact returns.

| For scheme specific risk factors and risk management strategies, kindly refer to the Scheme Information Document. | |

10
SCHEME PERFORMANCE RECORD
ICICI Prudential Long Term Equity Fund (Tax Saving)
Growth Option (As of September 30, 2022)

<table>
<thead>
<tr>
<th>Period</th>
<th>Scheme Returns</th>
<th>Benchmark Returns</th>
</tr>
</thead>
<tbody>
<tr>
<td>First Year</td>
<td>-1.51%</td>
<td>-0.22%</td>
</tr>
<tr>
<td>3 Years</td>
<td>17.30%</td>
<td>17.95%</td>
</tr>
<tr>
<td>5 Years</td>
<td>12.64%</td>
<td>12.79%</td>
</tr>
<tr>
<td>Since Inception (19-Aug-99)</td>
<td>19.26%</td>
<td>14.25%</td>
</tr>
</tbody>
</table>

Past performance may or may not be sustained in future. • Returns : CAGR • Benchmark is Nifty 500 TRI • For computation of since inception returns the allotment NAV has been taken as Rs. 10.00. NAV of growth option is considered for computation without considering the load. The performance of the scheme is benchmarked to the Total Return variant of the Index

Absolute Returns for each financial year for the last 5 years:

<table>
<thead>
<tr>
<th>Period</th>
<th>Return (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY 31-22</td>
<td>22.29</td>
</tr>
<tr>
<td>FY 20-21</td>
<td>17.75</td>
</tr>
<tr>
<td>FY 19-20</td>
<td>7.38</td>
</tr>
<tr>
<td>FY 18-19</td>
<td>6.73</td>
</tr>
<tr>
<td>FY 17-18</td>
<td>12.87</td>
</tr>
</tbody>
</table>

Performance of the scheme is benchmarked to total return variant of the index. Past performance may or may not be sustained in future. Absolute returns are provided for the above mentioned financial years. NAV of growth option is considered for computation of returns without considering load.

SCHEME’S PORTFOLIO HOLDINGS
a) Top 10 Holdings as on September 30, 2022

<table>
<thead>
<tr>
<th>Company</th>
<th>% to NAV</th>
</tr>
</thead>
<tbody>
<tr>
<td>ICICI Bank Ltd.</td>
<td>8.98%</td>
</tr>
<tr>
<td>Infosys Ltd.</td>
<td>5.93%</td>
</tr>
<tr>
<td>Bharti Airtel Ltd.</td>
<td>5.32%</td>
</tr>
<tr>
<td>CCIL</td>
<td>5.10%</td>
</tr>
<tr>
<td>Axis Bank Ltd.</td>
<td>4.66%</td>
</tr>
<tr>
<td>SBI Cards &amp; Payment Services Ltd.</td>
<td>4.30%</td>
</tr>
<tr>
<td>HDFC Bank Ltd.</td>
<td>4.24%</td>
</tr>
<tr>
<td>HCL Technologies Ltd.</td>
<td>4.18%</td>
</tr>
<tr>
<td>Reliance Industries Ltd.</td>
<td>3.96%</td>
</tr>
<tr>
<td>Avenue Supermarts Ltd.</td>
<td>3.31%</td>
</tr>
<tr>
<td>Total</td>
<td>49.58%</td>
</tr>
</tbody>
</table>

Term Deposits have been excluded in calculating Top 10 holdings’ exposure.

b) Sector wise holdings as on September 30, 2022

<table>
<thead>
<tr>
<th>Sector</th>
<th>% to NAV</th>
</tr>
</thead>
<tbody>
<tr>
<td>Financial Services</td>
<td>33.83%</td>
</tr>
<tr>
<td>Information Technology</td>
<td>14.50%</td>
</tr>
<tr>
<td>Healthcare</td>
<td>7.01%</td>
</tr>
<tr>
<td>Automobile And Auto Components</td>
<td>6.43%</td>
</tr>
<tr>
<td>Consumer Services</td>
<td>6.00%</td>
</tr>
<tr>
<td>Telecommunication</td>
<td>5.32%</td>
</tr>
<tr>
<td>Oil Gas &amp; Consumable Fuels</td>
<td>5.16%</td>
</tr>
<tr>
<td>Cash,Cash Equivalents and Net Current Assets</td>
<td>4.90%</td>
</tr>
<tr>
<td>Construction</td>
<td>2.64%</td>
</tr>
<tr>
<td>Services</td>
<td>2.55%</td>
</tr>
<tr>
<td>Fast Moving Consumer Goods</td>
<td>2.25%</td>
</tr>
<tr>
<td>Consumer Durables</td>
<td>2.23%</td>
</tr>
<tr>
<td>Media Entertainment &amp; Publication</td>
<td>1.71%</td>
</tr>
<tr>
<td>Realty</td>
<td>1.69%</td>
</tr>
<tr>
<td>Metals &amp; Mining</td>
<td>1.58%</td>
</tr>
<tr>
<td>Construction Materials</td>
<td>1.27%</td>
</tr>
<tr>
<td>Capital Goods</td>
<td>0.93%</td>
</tr>
<tr>
<td>Total</td>
<td>100.00%</td>
</tr>
</tbody>
</table>

Cash, Cash Equivalents and Net Current Assets includes TREPS, Reverse Repo, Term Deposits and Net Current Assets. Net Current Assets includes the adjustment amount for disclosures of derivatives, wherever applicable.

Investors can also obtain Scheme’s latest monthly portfolio holding from the website of AMC i.e. http://www.icicipruamc.com/Downloads/ MonthlyPortfolioDisclosure.aspx

LOAD STRUCTURE
Entry Load: Not Applicable.

In terms of SEBI circular no. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009 has notified that, w.e.f. August 01, 2009 there will be no exit load charged to the Schemes of the Mutual Fund.

Exit Load: Nil

Any redemption/switch arising out of excess holding by an investor beyond 25% of the net assets of the Scheme in the manner envisaged under specified SEBI Circular No. SEBI/IMD/CIR No. 10/22701/03 dated 12th December 2003, such redemption / switch will not be subject to exit load.

The exit load charged, if any, shall be credited back to the respective scheme. Goods and services tax on exit load shall be paid out of the exit load proceeds and exit load net of Goods and services tax shall be credited to the schemes.

Exit Load, if any, prevailing on the date of enrolment of SIP/ STP shall be levied in the Scheme.

The investor is requested to check the prevailing load structure of the Scheme before investing.

Any imposition or enhancement in the load shall be applicable on prospective investments only. Units issued on reinvestment of IDCWs shall not be subject to entry and exit load.

Subject to the Regulations, the Trustee reserves the right to modify/alter the load structure on the Units subscribed/redeemed on any Business Day. At the time of changing the load structure, the AMC / Mutual Fund may adopt the following procedure:

i. The addendum detailing the changes will be attached to Scheme Information Documents and key information memorandum. The addendum will be circulated to all the distributors/brokers so that the same can be attached to all Scheme Information Documents and key information memoranda already in stock.

ii. Arrangements will be made to display the addendum in the Scheme Information Document in the form of a notice in all the investor service centres and distributors/brokers office.

iii. The introduction of the exit load along with the details will be stamped in the acknowledgement slip issued to the investors on submission of the application form and will also be disclosed in the statement of accounts issued after the introduction of such load.

iv. A public notice shall be provided on the website of the AMC in respect of such changes.

RECURRING EXPENSES:
As per the Regulations, the maximum recurring expenses that can be charged to the Scheme shall be subject to a percentage limit of daily net assets as in the table below:

<table>
<thead>
<tr>
<th>First Rs. 500 crore</th>
<th>Next Rs. 250 crore</th>
<th>Next Rs. 1,250 crore</th>
<th>Next Rs. 3,000 crore</th>
<th>Next Rs. 5,000 crore</th>
<th>Next Rs. 40,000 crores</th>
<th>Balance</th>
</tr>
</thead>
<tbody>
<tr>
<td>2.25%</td>
<td>2.00%</td>
<td>1.75%</td>
<td>1.60%</td>
<td>1.50%</td>
<td>Total expense ratio reduction of 0.05% for every increase of Rs. 5,000 crores of daily net assets or part thereof</td>
<td>1.05%</td>
</tr>
</tbody>
</table>

The above expense percentage excludes additional expenses that can be charged towards: i) 30 bps for gross new inflows from retail investors from specified cities and ii) Goods and services tax on investment management and advisory fees. The same is more specifically elaborated below.

Pursuant to SEBI circulars no. CIR/IMD/DF/21/2012 dated September 13, 2012, SEBI/HO/IMD/DF/2/CIR/P/2018/16 dated February 02, 2018, SEBI/HO/IMD/DF/2/CIR/P/2018/137 dated October 22, 2018 and SEBI (Mutual Funds) Second Amendment Regulations, 2012 and as SEBI (Mutual Funds) Fourth Amendment Regulations, 2018, following additional costs or expenses may be charged to the scheme, namely:

(i) The AMC may charge Goods and services tax on investment and advisory fees to the scheme of the Fund in addition to the maximum limit of total expenses ratio as prescribed in Regulation 52 of the Regulations, whereas Goods and services tax on other than investment and advisory fees, if any, shall be borne by the scheme within the maximum limit as per regulation 52 of the Regulations.

(ii) expenses not exceeding of 0.30 per cent of daily net assets, if the new inflows from retail investors from B30 cities as specified by the Securities and Exchange Board of India, from time to time are at least –

• 30 per cent of the gross new inflows from retail investors of B30 cities into the scheme, or;

• 15 per cent of the average assets under management (year to date) of the scheme, whichever is higher;

The provided that if inflows from retail investors from B30 cities are less than the higher of the above, such expenses on daily net assets of the scheme shall be charged on proportionate basis;

Provided further that expenses charged under this clause shall be utilised for distribution expenses incurred for bringing inflows from retail investors from B30 cities;

Provided further that amount incurred as expense on account of inflows from retail investors from B30 cities shall be credited back to the scheme.
In case the said inflows are redeemed within a period of one year from the date of investment.

For the above purposes, "B30 cities" shall be top 30 cities as at the end of the previous financial year as communicated by AMFI. For above purposes, retail investors would mean individual investors from whom inflows into the scheme amount upto Rs. 2,00,000/- per transaction

At least 2 basis points on daily net assets shall be annually set apart for investor education and awareness initiatives. The same shall be within limits specified under regulation S2 of the SEBI (Mutual Funds) Regulation.

Further, the brokerage and transaction cost incurred for the purpose of execution of trades of up to the extent of 12bps for cash market transactions.

Any payment towards brokerage and transaction cost, over and above the said 12 bps for cash market transactions may be charged to the scheme within the maximum limit of Total Expense Ratio as prescribed under regulation S2 of the SEBI (Mutual Funds) Regulations, 1996 for goods and services tax on brokerage and transaction cost paid for execution of trade, if any, shall be within the limit prescribed under regulation S2 of the Regulations.

Expenses shall be charged / borne in accordance with the Regulations prevailing from time to

WAIVER OF LOAD FOR DIRECT APPLICATIONS: Not Applicable.

TAX BENEFITS OF INVESTING IN THE MUTUAL FUND: Investors are advised to refer to Statement of Additional Information (SAI) available on the website of AMC viz; www.icicipruamc.com and also independently refer to his tax advisor.

PUBLICATION OF DAILY NET ASSET VALUE (NAV):

The NAV will be calculated and disclosed at the close of every Business Day, NAV will be calculated on every Business Day except in special circumstances. NAV of the scheme shall be:

Prominently disclosed by the AMC under a separate head on the AMC’s website (www.icicipruamc.com) by 11.00 p.m. on every business day.

On the website of Association of Mutual Funds in India - AMFI (HYPERLINK “http://www.amfiindia.com” www.amfiindia.com) by 11.00 p.m. on every business day, and shall be made available at all Customer Service Centres of the AMC.

In any case of delay, the reasons for such delay would be explained to AMFI and SEBI by the next day. If the NAVs are not available before commencement of the next business day, the following day due to any reason, the Fund shall issue a press release pressing reasons and explaining when the Fund would be able to publish the NAVs.

For Investor Grievances please contact:

Name and Address of Registrar

Mr. Rajen Kotak - Investor Relations Officer

Computer Age Management Services Limited

ICICI Prudential Mutual Fund

New No 10, Old No. 178, Opp. to Hotel Palm Grove, MGR Salai (K.H. Road), Chennai - 600 034.

UNITHOLDERS’ INFORMATION:

The AMC shall disclose portfolio of the scheme (along with ISIN) as on the last day of the month of every Business Day except in special circumstances. AMC shall send via email both the monthly and half-yearly statement of each scheme portfolio to the registered email address of the unit holder as on the last day of the month within 10 days from the close of each month

The AMCs shall send the details of the scheme portfolio while communicating the monthly and half-yearly statement of scheme portfolio via email or any other mode as may be communicated by SEBI/AMFI from time to time within prescribed timelines. The AMC shall provide a feature wherein a link is provided through email address to enable the investor to directly view/download only the portfolio of schemes subscribed by the said investor. The portfolio disclosure shall also include the scheme risk-o-meter, name of benchmark and estimated returns of benchmark.

The AMC shall publish an advertisement in all India edition of at least two English daily newspapers having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the AMC is located.

KNOW YOUR CUSTOMER (KYC) NORMS

It is mandatory to complete the KYC requirements for all unit holders, including for all joint holders and the guardian in case of folio of a minor investor.

Accordingly, financial transactions (including redemptions, switches and all types of systematic plans) and non-financial requests will not be processed if the unit holders have not completed KYC requirements.

Unit holders are advised to use the applicable KYC Form for completing the KYC requirements and submit the form at our nearest branch. Further, upon updation of PAN/KYC details with the KRA (KRA-KYC/CERSAI (KYC), the unit holders are requested to intimate us/our Registrar and Transfer Agent, Computer Age Management Services Limited, their PAN information along with the folio details for updation in our records.

AMFI (Central KYC) has now been extended to Legal Entities as well, procedure for the same shall be prescribed from time to time.

STAMP DUTY:
Pursuant to Notification No. S.O. 1226(E) and G.S.R. 226(E) dated March 30, 2020 issued by Department of Revenue, Ministry of Finance, Government of India, read with Part I of Chapter IV of Notification dated February 21, 2019 issued by Legislative Department, Ministry of Law and Justice, Government of India on the Finance Act, 2019, a stamp duty @ 0.005% of the transaction value would be levied on applicable mutual fund transactions, with effect from July 1, 2020. Accordingly, pursuant to levy of stamp duty, the number of units allotted on purchase transactions (including IDCW reinvestment) to the unitholders would be reduced to that extent. For more details, refer SAI.

SEEDING OF AADHAAR NUMBER

Investors are requested to update their statement of Additional Information (SAI) available on the website of AMC viz; www.icicipruamc.com.

TRANSACTION CHARGES

Pursuant to SEBI Circular No. Cir/ IMD/ DF/ 13/ 2011 dated August 22, 2011 transaction charge per subscription of Rs.10,000/- and above may be charged in the following manner:

i. The existing investors may be charged Rs.100/- as transaction charge per subscription of Rs.10,000/- and above;

ii. A first time investor may be charged Rs.150/- as transaction charge per subscription of Rs.10,000/- and above.

There shall be no transaction charge on subscription below Rs. 10,000/- and on any other subscriptions relating to new inflows.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 4 equal instalments.

However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on ‘type of the Scheme’. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.

Transaction charges shall also be deducted on purchases/subscriptions reinvested through non-debit card mode of investment by the investors investing through valid ARN holder i.e. AMFI Registered Distributor (provided the distributor has opted-in to receive the transaction charges) in respect of transactions routed through Stock Exchange(s) platform viz. NSE Mutual Fund Platform ("NSE MF Platform") and BSE MF Platform (“BSE STAR MP”).

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be invested subject to deduction of Goods and Services Tax.

Transaction Charges shall not be deducted if:

a. Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).

b. Purchase/ subscription made in demat mode through stock Exchange,

c. Purchase/subscription made through direct plan.

CAS/Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

POLICY FOR DECLARATION OF INCOME DISTRIBUTION CUM CAPITAL WITHDRAWAL (IDCW POLICY): As per the SEBI (MF) Regulations, the Mutual Fund shall despatch to the Unit Holder, IDCW warrants within 15 days from the record date. IDCWs will be payable to those Unit Holders whose names appear in the Register of Unit Holders maintained by the Registrar. Aforesaid IDCW warrant will be duly paid by the investors investing through valid ARN holder i.e. AMFI Registered Distributor.

Unitholders whose e-mail addresses are not registered with the Fund are requested to update their email address to enable the Fund to update the database.

The AMC shall provide a physical copy of the statement of scheme portfolio, without charging any cost, on specific request received from a unit holder.

Half Yearly Results:

In terms of Regulations 59 and SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, the AMC shall publish within 10 days from the close of each quarter of their respective scheme the results of the underlying companies in which they hold equity in the form of their unaudited financial results on their website. The half-yearly unaudited report shall contain details as specified in Twelfth Schedule and such other details as are necessary for the purpose of providing a true and fair view of the operations of the mutual fund. Further, the AMC shall publish an advertisement in all India edition of at least two English daily newspaper having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the AMC is located, regarding the results of the half-yearly statement of the scheme's portfolio on the AMC's website. The AMC shall publish an advertisement in all India edition of at least two English daily newspapers having nationwide circulation and in a newspaper having wide circulation published in the language of the region where the AMC is located, regarding the results of the half-yearly statement of the scheme's portfolio on the AMC's website.
the unit holder. With respect to payment of interest in the event of failure of
dispatch of IDCW payments within the stipulated time period, the interest
for the delayed payment of IDCW shall be calculated from the record date.
The treatment of unclaimed redemption & IDCW amount shall be as per SEBI
circulated dated Feb 25, 2016 and July 30, 2021 and any other circular published
by SEBI from time to time.
It should, however, be noted that actual distribution of IDCWs will depend,
inter-alia, on the availability of distributable surplus and will be entirely at the
discretion of the Trustee.

Equalization Reserve: When units are sold, and sale price (NAV) is higher than
face value of the unit, a portion of sale price that represents realized gains is
credited to an Equalization Reserve Account and which can be used to pay
IDCW. IDCW can be distributed out of investors capital (Equalization Reserve),
which is part of sale price that represents realized gains.

CONSOLIDATED ACCOUNT STATEMENT (CAS)
1. The Consolidated Account Statement (CAS) for each calendar month will
be issued on or before fifteenth day of succeeding month to the investors
who have provided valid Permanent Account Number (PAN). Further, CAS
will be sent via email where any of the folios consolidated has an email
id or to the email id of the first unit holder as per KYC records.
2. For folios not included in the Consolidated Account Statement (CAS), the
AMC shall henceforth issue account statement to the investors on a
monthly basis, pursuant to any financial transaction in such folios on or
before fifteenth day of succeeding month.
3. The AMC shall send an allotment confirmation specifying the units allotted
by way of email and/or SMS within 5 Business Days of receipt of valid
application/transaction to the Unit holders registered e-mail address and/
or mobile number.
4. In case of a specific request received from the unit holder, the AMC shall
provide the account statement to the investors within 5 business days
from SEBI time to time.
5. In the case of joint holding in a folio, the first named Unit holder shall
receive the CAS/account statement. The holding pattern has to be same
in all folios across Mutual Funds for CAS
Further, in case if no transaction has taken place in a folio during the period of
six months ended September 30 and March 31, the CAS detailing the holdings
across all Schemes of all mutual funds, shall be emailed at the registered
e-mail address of the unitholders on half yearly basis, on or before twenty
first day of succeeding month, unless a specific request is made to receive
the same in the physical form.
The asset management company shall issue units in dematerialized form to
a unit holder in a scheme within two working days of the receipt of request
from the unit holder.
Each CAS issued to the investors shall also provide the total purchase value
/ cost of investment in each scheme.
Further, CAS issued for the half-year(September/ March) shall also provide:
a. The amount of actual commission paid by AMCs/Mutual Funds (MFs) to
distributors (in absolute terms) during the half-year period against the
concerned investor's total investments in each MF scheme. The term
‘commission’ here refers to all direct monetary payments and other
payments made in the form of gifts / rewards, trips, event sponsorships
etc. by AMCs/MFs to distributors. Further, a mention may be made in such
CAS indicating that the commission disclosed is gross commission and
does not exclude costs incurred by distributors such as Goods and Services
Tax (wherever applicable, as per existing rates), operating expenses, etc.
b. The scheme's average Total Expense Ratio (in percentage terms) along
with the break up between Investment and Advisory fees, Commission paid
to the distributor and Other expenses for the period for each scheme's
applicable plan where the concerned investor has actually invested in.
Such half-yearly CAS shall be issued to all MF investors, excluding those
investors who do not have any holdings in MF schemes and where no
commission against their investment has been paid to distributors, during
the concerned half-year period.
In case of the units are held in dematerialized (demat) form, the statement
of holding of the beneficiary account holder will be sent by the respective
Depository Participant periodically.

CAS for investors having Demat account:
- Investors having MF investments and holding securities in Demat account
  shall receive a single Consolidated Account Statement (CAS) from the
  Depository.
- Consolidation of account statement shall be done on the basis of
  Permanent Account Number (PAN). In case of multiple holding, it shall
  be PAN of the first holder and pattern of holding. The CAS shall be generated
  on a monthly basis.
- If there is any transaction in any of the Demat accounts of the investor or
  in any of his mutual fund folios, depositories shall send the CAS within
  fifteen days from the month end. In case, there is no transaction in any of
  the mutual fund folios and demat accounts then CAS with holding details
  shall be sent to the investor on half yearly basis.
- In case an investor has multiple accounts across two depositories, the
  depository with whom the account has been opened earlier will be the
  default depository.

MAILING OF SCHEME WISE ANNUAL REPORT OR ABRIDGED SUMMARY:
The scheme wise annual report shall be hosted on the website of the AMC
and on the website of the AMFI, soon as possible but not later than
four months from the date of closure of the relevant accounts year. The AMC
shall publish an advertisement every year in all India edition of at least two
daily newspapers, one each in English and Hindi, disclosing the hosting of
the scheme wise annual report on the website of the AMC.
The AMC shall display prominently on the AMC's website link of the scheme
wise annual report and physical copy of the same shall be made available to
the unitholders at the registered / corporate office of the AMC at all times.
The AMC shall also provide a physical copy of the abridged summary of the
Annual Report, without charging any cost, on specific request received from
unitholder.
As per regulation 56(3A) of the Regulations, copy of Schemewise Annual
Report shall be also made available to unitholder on payment of nominal fees.

CASH INVESTMENTS IN THE SCHEME:
Currently, the AMC is not accepting cash investments. Notice shall be provided
in this regard as and when the facility is made available.

MULTIPLE BANK ACCOUNTS:
The unit holder/ investor can register multiple bank account details under its
existing account(s) by submitting separate form available on the website of the AMC
at www.icicipruamc.com. Individuals/Huf can register up to 5 different bank
accounts for a folio, whereas non-individuals can register up to 10 different
bank accounts for a folio.

Notice: The Scheme was approved by the Directors of ICICI Prudential Trust
Limited by circulation on May 12, 1999. The Trustees have ensured that the
Scheme approved by them was new a product offered by ICICI Prudential
Mutual Fund and is not a minor modification of the exiting Schemes.

For ICICI Prudential Asset Management Company Limited
Place : Mumbai
Date : October 19, 2022
Sd/-  Nimesh Shah
Managing Director
Common Application Form for Lump sum/Systematic Investments Plan

Application No.

Investor must read Key Scheme Features and Instructions before completing this form. All sections to be completed in ENGLISH in BLACK / BLUE COLOURED INK and in BLOCK LETTERS.

BROKER CODE (ARN CODE) / RIA/PMRN CODE# | SUB-BROKER ARN CODE | SUB-BROKER CODE (As allotted by ARN holder) | Employee Unique Identification No. (EUIIN)

#By mentioning RIA/PMRN code, I/we authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.

Declaration for "execution-only" transaction (only where EUIIN box is left blank) [Refer Instruction No. XIII]. I hereby confirm that the EUIIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.

SIGNATURE OF SOLE / FIRST APPLICANT | SIGNATURE OF SECOND APPLICANT | SIGNATURE OF THIRD APPLICANT

TRANSACTION CHARGES FOR APPLICANTS THROUGH DISTRIBUTORS ONLY [Refer Instruction XII]

• In case the purchase/subscription amount Rs. 10,000/- or more and your Distributor has opted to receive transactions charges, the same are deductible as applicable from the purchase/subscription amount and paid distributor. (Units will be issued against the balance amount invested). • Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors’ assessment of various factors including the service rendered by the distributor.

1. APPLICANT(S) DETAILS (Please refer to Instruction No. II (b) & (iv) (Name should be as per the PAN)

SOLE / 1ST APPLICANT

Name of the Investor: ____________________________________________________________

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

2. BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

For investors investing in Income Distribution cum Capital Withdrawal (IDCW) option of the Scheme may note that the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

2. BANK ACCOUNT (PAY-OUT) DETAILS OF SOLE/FIRST APPLICANT (Please Refer to Instruction No. III)

 Mandatory information – If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.)

For investors investing in Income Distribution cum Capital Withdrawal (IDCW) option of the Scheme may note that the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

Mandatory Information – If left blank the application is liable to be rejected. (Mandatory to attach proof, in case the pay-out bank account is different from the source bank account.)

For unit holders opting to hold units in demat form, please ensure that the bank account linked with the demat account is mentioned here.

3. INVESTMENT DETAILS (Refer Instruction No. IV) (For Plans & Sub-options please see key scheme features). Please mention scheme name below:

ICICI Prudential Plan: ____________________________________________________________ Option: _______________________________________________________________

For investors investing in Income Distribution cum Capital Withdrawal (IDCW) option of the Scheme may note that the amounts can be distributed out of investors capital (Equalization Reserve), which is part of sale price that represents realized gains.

4. PAYMENT DETAILS

Investment Amount: ₹ A

Cheque / DD Number: ____________________________ Date: ____________

BANK DETAILS: ________________________________

A/c Number: ____________________________

Name & Branch of Bank: ____________________________

Branch City: ____________________________

Account Type: ____________________________

Enclosed (Please): ____________________________

Mandatory Enclosures (Please tick (√) if the first instalment is not through cheque)

Cheque Copy: ____________________________

Bank Statement: ____________________________

Banker’s Attestation: ____________________________

Applications with Third Party Cheques, prefunded instruments etc. and in circumstances as detailed in AMFI Circular No. 135/IBP/16/10-11 shall be processed in accordance with the said circular. Please read the instruction no. VI(e). Third Party PaymentDeclaration form is available in www.icicipruamc.com or ICICI Prudential Mutual Fund branch offices.

ACKNOWLEDGEMENT SLIP (Please Retain this Slip)

To be filled in by the Investor. Subject to realization of cheque and furnishing of Mandatory Information.

Name of the Investor: ____________________________________________________________

Application No. _______________________________________________________________

TOLL FREE NUMBER: 1800 222 999 (MTNL/BSNL) 1800 200 6666 (OTHERS) EMAIL: enquiry@icicipruamc.com WEBSITE: www.icicipruamc.com

Updated and Approved on September 22, 2022
### 5. CORRESPONDENCE DETAILS OF SOLE/FIRST APPLICANT:

<table>
<thead>
<tr>
<th>Correspondence Address (Please provide full address)*</th>
<th>Overseas Address (Mandatory for NRI / FI Applicants)</th>
</tr>
</thead>
<tbody>
<tr>
<td>HOUSE / FLAT NO.</td>
<td>HOUSE / FLAT NO.</td>
</tr>
<tr>
<td>CITY / TOWN</td>
<td>CITY / TOWN</td>
</tr>
<tr>
<td>COUNTRY</td>
<td>COUNTRY</td>
</tr>
<tr>
<td>PIN CODE</td>
<td>PIN CODE</td>
</tr>
</tbody>
</table>

Tel. Office Residence

**First Unitholder:**
- Mobile
- Email

**Second Unitholder:**
- Mobile
- Email

**Third Unitholder:**
- Mobile
- Email

*Please tick (✓) if you wish to receive Annual Report or Abridged Summary via Post - (Default communication mode is E-mail) [Refer Instruction No. IX(a)]

*Please tick (✓) if you wish to receive Account statement / Other statutory information via Post instead of Email [Refer Instruction No. IX(b)]

### 6. MODE OF HOLDING

**[Please tick (✓)]**
- Single
- Joint
- Any other (Default)

### 7. TAX STATUS

- **[Please tick (✓)]**
- Resident Individual
- NRI
- On behalf of Minor
- Company
- Partnership Firm
- Government Body
- Other (Please specify)

- **[Please tick (✓)]**
- FPI category I
- FPI category II
- Non Profit Organization/Charities
- Other (Please specify)

- **[Please tick (✓)]**
- AIF Trust
- Bank
- Mutual Funds
- Defence Establishment
- Other (Please specify)

**Other (Please specify):**

### 8. DEMAT ACCOUNT DETAILS

<table>
<thead>
<tr>
<th>NSDL: Depository Participant (DP) ID (NSDL only)</th>
<th>Beneficiary Account Number (NSDL only)</th>
<th>CDSL: Depository Participant (DP) ID (CDSL only)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Depository Participant (DP) ID</td>
<td>Beneficiary Account Number</td>
<td>Depository Participant (DP) ID</td>
</tr>
</tbody>
</table>

### 9. FATCA AND CRS DETAILS FOR INDIVIDUALS

**Non-Individual investors should mandatorily fill separate FATCA Form (Annexure II).** The below information is required for all applicants/guardian

<table>
<thead>
<tr>
<th>First Applicant / Guardian</th>
<th>Place/City of Birth</th>
<th>Country of Birth</th>
<th>Country of Citizenship / Nationality</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td>Indian</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>U.S.</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Others (Please specify)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Second Applicant</th>
<th>Country of Tax Residency</th>
<th>Tax Identification Number or Functional Equivalent</th>
<th>Identification Type (TIN or other please specify)</th>
<th>If TIN is not available please tick (✓) the reason A, B or C (as defined below)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>Reason:</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>A □</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>B □</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td>C □</td>
</tr>
</tbody>
</table>

Are you a tax resident (i.e., are you assessed for Tax in any other country outside India)? **[Please tick (✓)]**

If YES please fill for ALL countries (other than India) in which you are a tax resident for tax purpose i.e. where you are a Citizen/Resident / Green Card Holder / Tax Resident in the respective countries.

<table>
<thead>
<tr>
<th>First Applicant / Guardian</th>
<th>Country of Tax Residency</th>
<th>If TIN is not available please tick (✓) the reason A, B or C (as defined below)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>Reason:</td>
</tr>
<tr>
<td></td>
<td></td>
<td>A □</td>
</tr>
<tr>
<td></td>
<td></td>
<td>B □</td>
</tr>
<tr>
<td></td>
<td></td>
<td>C □</td>
</tr>
</tbody>
</table>

### 10. KYC DETAILS

**Occupation**

<table>
<thead>
<tr>
<th>Sole/First Applicant</th>
<th>Second Applicant</th>
<th>Third Applicant</th>
</tr>
</thead>
<tbody>
<tr>
<td>Private Sector Service</td>
<td>Private Sector Service</td>
<td>Private Sector Service</td>
</tr>
<tr>
<td>Housewife</td>
<td>Housewife</td>
<td>Housewife</td>
</tr>
<tr>
<td>Student</td>
<td>Student</td>
<td>Student</td>
</tr>
<tr>
<td>Government Service</td>
<td>Government Service</td>
<td>Government Service</td>
</tr>
<tr>
<td>Forex Dealer</td>
<td>Forex Dealer</td>
<td>Forex Dealer</td>
</tr>
<tr>
<td>Business</td>
<td>Business</td>
<td>Business</td>
</tr>
<tr>
<td>Other (Please specify)</td>
<td>Other (Please specify)</td>
<td>Other (Please specify)</td>
</tr>
</tbody>
</table>
### Nominees (Opt-In)

I/We hereby nominate the undermentioned nominee(s) to receive the amount to my/our credit in event of my/our death as follows:

<table>
<thead>
<tr>
<th>Name and address of Nominee(s) [Mandatory]</th>
<th>PAN of the Nominee [Guardian PAN to be quoted if Nominee is Minor] [Mandatory]*</th>
<th>Relationship with Sole / First unit holder</th>
<th>Date of Birth [Mandatory]*</th>
<th>Name and address of Guardian [Mandatory if Nominee is Minor]*</th>
<th>Signature of Nominee / Guardian*</th>
<th>Guardian’s Relationship with Nominee* [attach proof]</th>
<th>Allocation % to each nominee [Mandatory] (Aggregate should be 100%)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

* Applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor’s birth certificate)

### Nominees (Opt-Out)

I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

### Investor(s) Declaration & Signature(s)

To the Trustee, ICICI Prudential Mutual Funds, I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the AMC), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on toll free no. 1800 222 899 (MTNL/BSNL) or 1800 200 6666 (Others).

---

**Gross Annual Income**

I/We hereby declare that the aggregate of my/our annual income as per the source of income of the Taxpayer is as follows:

<table>
<thead>
<tr>
<th>Source of Income</th>
<th>Below 1 Lac</th>
<th>1-5 Lacs</th>
<th>5-10 Lacs</th>
<th>10-25 Lacs</th>
<th>&gt;25 Lacs-1 crore</th>
<th>&gt;1 crore</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
<td>0%</td>
</tr>
</tbody>
</table>

**Others**

**Nominee (Opt-In)**

I/We hereby undertake to abide by the same.

**Nominee (Opt-Out)**

I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the ‘AMC’), has full right and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

---

### INVESTOR(S) DECLARATION & SIGNATURE(S)

To the Trustee, ICICI Prudential Mutual Funds, I/We have read, understood and hereby agree to abide by the Scheme Information Document/Key Information Memorandum of the Scheme(s), Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standards (CRS) under FATCA & CRS provision of the Central Board of Direct Taxes notified Rules 114 F to 114H, as part of the Income-tax Rules, 1962. I/We apply for the units of the Fund and agree to abide by the terms, conditions, rules and regulations of the scheme and other statutory requirements of SEBI, AMFI, Prevention of Money Laundering Act, 2002 and such other regulations as may be applicable from time to time. I/We confirm to have understood the investment objectives, investment pattern, and risk factors applicable to Plans/Options under the Scheme(s). I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. I/We declare that the amount invested in the Scheme is through legitimate sources only and is not designed for the purpose of contravention or evasion of any Act, Regulations or any other applicable laws enacted by the Government of India or any Statutory Authority. I/We agree that in case my/our investment in the Scheme is equal to or more than 25% of the corpus of the plan, then ICICI Prudential Asset Management Co. Ltd. (the AMC), has full right to refund the excess to me/us to bring my/our investment below 25%. I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs. 50,000 in a year. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I/We have read and understood the instructions on nomination and I/We hereby undertake to abide by the same. I/We interested in receiving promotional material from the AMC via mail, SMS, telecall, etc. If you do not wish to receive, please call on toll free no. 1800 222 899 (MTNL/BSNL) or 1800 200 6666 (Others).
**PAN BASED MANDATE CUM SIP REGISTRATION FORM**

**For investment through NACH (Not eligible for Minors Bank Account)**

---

**ICICI PRUDENTIAL MUTUAL FUND**

**PAN BASED MANDATE**

Credit: [He/She] hereby authorize ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED to debit (tick ✓) S8 CA CC SB-NRE SB-NRO Other

Modify: Bank a/c number

Cancel: Name of customer's bank

---

**Payment Details**

<table>
<thead>
<tr>
<th>Bank</th>
<th>IFSC</th>
<th>or MICR</th>
</tr>
</thead>
</table>

---

**Application Number**

---

**PAN**

---

**Reference**

---

**I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.**

---

**SIP Registration-Cum-Mandate Form for SIP**

---

**Broker Code (ARN Code)/Ria/PMRN Code**

---

**Sub-Broker Code**

---

**Sub-Broker ARN Code**

---

**Sub-Broker Code (As allotted by ARN Holder)**

---

**Employee Unique Identification No. (EUIN)**

---

**The Trustee, ICICI Prudential Mutual Fund, I/we have read and understood the contents of the Scheme Information Document of the following Scheme and the terms and conditions of the SIP Enrolment.**

---

**Folio No.**

---

**Date of Birth**

---

**Registration via Existing OTM** (Please tick ✓)

---

**SIPL Registration-Cum-Mandate Form for SIP**

---

**Scheme:** ICICI PRUDENTIAL

---

**Option:**

---

**Sub-Option:**

---

**Income Distribution Cum Capital Withdrawal Option**

---

**FREQUENCY:**

---

**AEP FREQUENCY:**

---

**Please refer instructions and Key Scheme Features for options, sub-options and other facilities available under each scheme of the Fund.**

---

**Each SIP Amount:**

---

**In words:**

---

**SIP Frequency:**

---

**Only business days**

---

**Weekly***

---

**Fortnightly**

---

**Monthly**

---

**Quarterly* **

---

**In case of Quarterly SIP Only frequency is available under SIP TOP UP.**

---

**SIP Installments**

---

**SIP Date:**

---

**Start Month/Year**

---

**End Month/Year**

---

**EXISTING OTM/FIRST INSTALLMENT BANK DETAILS:**

---

**Cheque/DD No.:**

---

**Cheque/DD Amount Rs.:**

---

**A/c No.:**

---

**Name of Bank:**

---

**NSDL:**

---

**Beneficiary Account Number (NSDL only):**

---

**CDSL:**

---

**Beneficiary Account Number (CDSL only):**

---

**DEMAT ACCOUNT STATEMENT DETAILS (OPTIONAL – PLEASE REFER INSTRUCTION NO. 19)**

---

**Acknowledgement Slip**

---

**Name of the Investor:**

---

**Scheme:**

---

**SIP Amount Rs.:**

---

**SIP Frequency:**

---

**SIP TOP UP Ant. Rs.:**

---

**TOP UP CAP Ant.Rs.:**

---

**OR**

---

**Month-Year:**

---

**Acknowledgement Stamp**

---

**(Please sign overleaf)**
Mandatory fields in OTM form as per NPCI: • Bank account number and Bank name • IFSC and/or MICR Code • PAN • Signatures as per bank records • SIP start date, end date • Account type to be selected • Name as per bank records • Transaction type to be selected • Maximum amount to be mentioned.

GENERAL INSTRUCTIONS

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them. Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank’s computer system, force majeure events, or any other cause of peril which is beyond the Bank’s reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers in case for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of OTM/PAN BASED MANDATE FACILITY: As an investor I/we hereby request you to register me/us for availing the facility of OTM/PAN based mandate and carrying out transactions of additional purchase/redeemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf. In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/ verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes. I/We interested in receiving promotional material by the Service Providers which may result in a delay in application of NAV. I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We interested in receiving promotional material by the Service Providers which may result in a delay in application of NAV.

Maximum Amount: The MAXIMUM AMOUNT is the per transaction maximum limit. Investor can register multiple SIPs but the amount should not exceed the maximum amount mentioned per transaction.

INSTRUCTIONS FOR EXISTING OTM AND PAN BASED MANDATE FACILITY

1) Investor can transact through OTM facility registered for the PAN in the respective folio(s).

2) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM mandate is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTM’s registered or reject the request.

3) The units shall be allotted based on the day on which funds are credited to AMC’s collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.

4) Registration request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than “As and when presented” and/ or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.

5) AMC reserves right to reject or process the application subject to internal verification.

6) PAN based mandate will be mapped to all the folios wherever investor is the Sole/First holder subject to completion of mandate registration with the banker.

7) PAN based mandate will not be applicable, if bank details provided is for Minor’s Account.

8) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50, 000 in a year as described in the Instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)
TERMS AND CONDITIONS

SIP Payment through NACH

1. The bank account provided for NACH should be participating in NACH clearing respectively.
2. Investors can choose any preferred date of the month as SIP debit date. In case of choice falling on a Non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for five consecutive times then the SIP would be liable for cancellation.
3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 3 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards, in case the period between the time-stamping of the SIP request and the first triggered SIP installment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the submission of mandate. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of the application.

SIP Top-Up

3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do an initial purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 3 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards, in case the period between the time-stamping of the SIP request and the first triggered SIP installment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the submission of mandate. In case of incomplete mandate form, AMC may initiate remediation process to obtain incomplete details. This process may exceed 30 days and in such case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of the application.

The applicant will have the right to discontinue SIP at any time he or she so desires by providing a written request at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuance should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP, including Exit Load, if any, prevailing in the date of SIP enrolment/registration by the fund shall be levied in the Scheme.

4. The investor agrees to abide by the terms and conditions of NACH facilities of Reserve Bank of India (RBI).
5. Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss or if the transaction is delayed or not executed in full. Investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/fault not attributable to ICICI Prudential Mutual Fund/the AMC/ the Trustee. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason thereof.

6. In case of “At Par” Cheques, investors need to mention the MICR No. of his/her actual Bank branch.
7. SIPs will be registered in a folio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the Unit Holder attaining 18 years of age.

9. New Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. Incase multiple schemes are mentioned in the main application form, the Fund reserves the right to reject the SIP request.

10. Existing Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, then the fund reserves the right to register the SIP in the existing scheme (eligible for SIP) available in the investor’s folio. Incase multiple schemes or Equity Linked Savings Scheme (ELSS) are available in the folio, the fund reserves the right to reject the SIP request.

11. In case the SIP date is not mentioned/not legible, then the SIP will be registered on the earliest date of each Month/Quarter, as applicable.
12. a) In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will not be processed on the next available date. The investor will not hold AMC/Bank liable for processing such transactions the next day.
   b) Investors can choose any day of the week from Monday to Friday to register under weekly frequency. Incase Day is not specified by the investor transaction will be processed on Wednesday.
   c) For Fortnightly frequency, the transaction will be processed on 1st and 16th day of each month, as applicable.
   d) For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.
   e) Incase the SIP Date selected is a non-business day the transaction will be processed on the next business day.
   f) In case both SIP end date and number of installments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP shall get registered as per the number of installments provided.

Minimum number of Installments for daily, weekly, fortnightly and monthly frequencies would be 6.

The minimum installment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.

13. If the investor chooses multiple SIP frequencies or fails to choose any of them, the default SIP frequency will be Monthly.
14. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.
15. In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate. Incase SIP “end Month/Year” is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date as default.
16. Existing investors have to provide their folio numbers.
17. For minimum application amount to be invested in SIP, risk factors, features, load structures etc, please refer to the scheme related documents available on www.icici Pruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.
18. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

SIP Top-Up Facility

With this facility, investor can opt to increase the SIP amount at regular fixed intervals.

There are two type of SIP TOP-UP:
(1) Fixed TOP-UP.(2) Variable TOP-UP.

Fixed TOP-UP: With this option, investor can increase SIP amount at regular interval with fixed amount. Minimum TOP-UP amount has to be Rs.100 and in multiples of Rs.100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

Variable TOP-UP: With this option, investor can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOP-UP percentage (%) should be 10% and in multiple of 5% thereof. Also the TOP-UP amount will be rounded off to the nearest highest multiple of Rs.10.

Please view below illustration for Fixed TOP-UP:

<table>
<thead>
<tr>
<th>Installment No(s)</th>
<th>From Date</th>
<th>To Date</th>
<th>Monthly SIP Installment Amount (₹)</th>
<th>SIP Top-Up Amount (₹)</th>
<th>SIP Amount with Top-UP (₹)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 to 12</td>
<td>7-Jan-16</td>
<td>7-Dec-16</td>
<td>2000</td>
<td>100</td>
<td>2100</td>
</tr>
<tr>
<td>13 to 24</td>
<td>7-Jan-17</td>
<td>7-Dec-17</td>
<td>2100</td>
<td>100</td>
<td>2200</td>
</tr>
<tr>
<td>25 to 36</td>
<td>7-Jan-18</td>
<td>7-Dec-18</td>
<td>2200</td>
<td>100</td>
<td>2300</td>
</tr>
<tr>
<td>37 to 48</td>
<td>7-Jan-19</td>
<td>7-Dec-19</td>
<td>2420</td>
<td>100</td>
<td>2520</td>
</tr>
<tr>
<td>49 to 60</td>
<td>7-Jan-20</td>
<td>7-Dec-20</td>
<td>2660</td>
<td>100</td>
<td>2760</td>
</tr>
</tbody>
</table>

Investor can either opt for Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, than Variable top-up feature shall be triggered. In case the Top-up facility is not opted by ticking the appropriate box and/or frequency is not selected, the Top-up facility may not be registered.

In a scenario where investor selects multiple % option under variable SIP Top-up plan, higher percentage will be considered.

Other Information:
SIP TOP-UP will be allowed in Micro SIP folio subject to condition that total investment including SIP TOP UP does not exceed Rs. 50,000/- in a rolling 12 month period or financial year i.e. April to March, the limit on Micro SIP investments.

The investor agrees to avail the TOP UP facility for SIP and authorize his/her bank to execute the NACH/SI for a further increase in installment from his/her designated account.

SIP TOP-UP CAP:
(i) Cap Amount: Investor has an option to freeze the SIP Top-Up amount once it reaches a fixed predefined amount. The fixed pre-defined amount shall be same as the maximum amount mentioned by the investor in the bank mandate. In case of difference between the Cap amount & the maximum amount mentioned in bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Top-Up amount
(ii) Cap Month-Year: It is the date from which SIP Top-Up amount will cease and last SIP installment including Top-Up amount will remain constant from Cap date till the end of SIP tenure.

Investor shall have flexibility to choose either Top-Up Cap Amount OR Top-Up Cap Month-Year. In case of multiple selection, Top-Up Cap Amount will be considered as default selection.

In case of non selection, the SIP variable Top-up amount will be capped at a default amount of Rs.10 lakhs.
SIP amount will remain constant from Top-Up Cap date/amount till the end of SIP tenure.
20. DEMAT/NON-DEMAT MODE:

Investors have an option to hold the Units in dematerialized form. By providing DP details, Units shall be directly credited to the investor’s demat account after the realization of funds and depositories will issue a statement. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/ Fortnightly Income Distribution cum Capital Withdrawal option options. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

If the details mentioned in the application are incomplete/incorrect or not matched with the Depository data, the application shall be treated as invalid and the units would be allotted in Non- Demat mode. The application form should mandatorily accompany the latest Client investor master/ Demat account statement. Demat option will be not be available for Daily/Weekly/ Fortnightly Income Distribution cum Capital Withdrawal option options. Applicants must ensure that the sequence of names as mentioned in the application form matches with that of the account held with the Depository Participant.

Allotment letters would be sent to investors who are allotted units in Demat mode and a Statement of Accounts would be sent to investors who are allotted units in Non-Demat mode. Investors are requested to note that Units held in dematerialized form are freely transferable except units held in Equity Linked Savings Scheme’s (ELSS) during the lock-in period.

The units will be allotted based on the applicable NAV as per the Scheme Information Document (SID). The investors shall note that for holding the units in demat form, the provisions laid in the SID of respective Scheme and guidelines/procedural requirements as laid by the Depositories (NSDL/ CDSL) shall be applicable. In case the unit holder wishes to convert the units held in non-demat mode to demat mode or vice versa at a later date, such request along with the necessary form should be submitted to their Depository Participant(s). Units held in demat form will be freely transferable, subject to the applicable regulations and the guidelines as may be amended from time to time.

Reinvestment of payout of Income Distribution cum Capital Withdrawal option: In case Unitholder has opted for payout of Income Distribution cum Capital Withdrawal option under weekly, fortnightly, monthly, quarterly, half yearly and annual frequencies, as applicable in the respective schemes, there would be minimum amount for payout of Income Distribution cum Capital Withdrawal option, as per the provisions of the respective scheme (net of Income Distribution cum Capital Withdrawal distribution tax and other statutory levy, if any), else the Income Distribution cum Capital Withdrawal would be mandatorily reinvested. The Income Distribution cum Capital Withdrawal would be reinvested in the same Scheme/ Plan by issuing additional Units of the Scheme at the prevailing ex-Income Distribution cum Capital Withdrawal Net Asset Value per Unit on the record date. There shall be no exit load on the redemption of units allotted as a result of such reinvestment of Income Distribution cum Capital Withdrawal. It may also be noted that the criteria for compulsory reinvestment of Income Distribution cum Capital Withdrawal declared under the payout of Income Distribution cum Capital Withdrawal option in specific schemes, where the Income Distribution cum Capital Withdrawal option amount is less than the minimum payout of Income Distribution cum Capital Withdrawal option limit, will not be applicable to investors holding their units in DEMAT form. For unit holders, holding units in DEMAT form, if Income Distribution cum Capital Withdrawal option is declared in any applicable Scheme, the amount will be paid out or reinvested as per the option selected by the unit holders only. Income Distribution cum Capital Withdrawal option declared will be compulsorily paid out under the “payout of Income Distribution cum Capital Withdrawal” option of all schemes which have discontinued fresh subscriptions with effect from October 1, 2012 as per Notice-cum-Addendum no.017/09/2012 published on October 01, 2012.
**Folio Based Mandate Cum SIP Registration Form**

*For investment through NACH (Not eligible for Minors Bank Account)*

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### Folio Based Mandate

<table>
<thead>
<tr>
<th>Amount Rs.</th>
<th>Application No.</th>
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<td></td>
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</table>

<table>
<thead>
<tr>
<th>Sponsor Bank Code</th>
<th>Utility Code</th>
</tr>
</thead>
<tbody>
<tr>
<td>FOR OFFICE USE ONLY</td>
<td>FOR OFFICE USE ONLY</td>
</tr>
</tbody>
</table>

**Tick (✓) We hereby authorize ICICI PRUDENTIAL ASSET MANAGEMENT COMPANY LIMITED to debit (tick ✓) □ SB □ CA □ CC □ SB-MRE □ SB-NRE □ Other**

**Modify □ CANCEL**

**Bank a/c number**

**with Bank**

- **Name of customers bank**
- **IFSC**
- **or MICR**

**an amount of Rupees**

<table>
<thead>
<tr>
<th>FREQUENCY</th>
<th>Maximum Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>As &amp; when presented</td>
<td>DEBIT TYPE</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Folio No.</th>
<th>Reference</th>
</tr>
</thead>
<tbody>
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<td></td>
<td></td>
</tr>
</tbody>
</table>

**SIGNATURE OF SECOND APPLICANT**

**SIGNATURE OF THIRD APPLICANT**

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

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### SIP Registration-Cum-Mandate Form for SIP

**Application No.**

**Declaration:** We hereby declare that the particulars given on this mandate are correct and complete and express my willingness and authorize to make payments referred above through participants in NACH/NSDL/any other mode as may be preferred by the AMC from time to time. We hereby confirm adherence to the terms of this facility offered by ICICI Prudential Asset Management Company Limited (the AMC) as specified in Terms & Conditions under Registration of OMT/PLAN/MANDATE Facility and amended from time to time of NACH (Debit). Authorization to Bank. This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account. I/we have understood that I/we authorized to cancel/amend this mandate by appropriately communicating/amendment to the User entity/corporate or the bank where I have authorized the debit.

**By mentioning RIA/PMRN code, I/We authorize you to share with the Investment Adviser the details of my/our transactions in the scheme(s) of ICICI Prudential Mutual Fund.**

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**Transaction Charges for Applicants through Distributors Only:** In case the purchase/subscription amount Rs 10,000/- or more and your Distributor has opted to receive transactions charges, the same are deductible as applicable from the purchase/subscription amount and paid the distributor. Units will be issued against the balance amount invested. Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investor's assessment of various factors including the service rendered by the distributor.

**Declaration for 'execution-only' transaction (only where EUIN box is left blank) - I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an ‘execution-only’ transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of inappropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.**

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**SIP Top Up Amount:**

- Rs.
  - Percentage: □ 10% □ 15% □ 20% □ Other (multiples of 5% only)

**TOP UP Amount:**

- Rs.
  - Frequency: □ Half Yearly □ Yearly
  - Yearly Sip Top Up Cap Amount:
    - Rs.
    - Frequency: □ Monthly □ Quarterly

**Existing OTM / First Installment Bank Details**

- **Cheque/DD No.**
- **Cheque/DD Amount Rs.**
- A/c No.

**Demat Account Statement Details**

- **NSDL:** Depository Participant (DP) ID (NSDL only)
- **CDSL:** Depository Participant (DP) ID (CDSL only)

**Acknowledgement Slip**

*(To be filled in by the investor)*

- **Name of the Investor:**
- **Scheme:**
- **SP Amount Rs.**
- **SP Frequency:** □ Daily □ Weekly □ Fortnightly □ Monthly □ Quarterly

**SIP Top Up**

- **Amt. Rs.**
- **Top Up Cap:** □ Rs. □ OR □ Month-Year: □ M □ Y □ Y □
Mandatory fields in OTM form as per NPCI:

- Bank account number and Bank name
- IFSC and/or MICR Code
- PAN
- Signatures as per bank records
- SIP start date, end date or until cancelled
- Account type to be selected
- Name as per bank records
- Transaction type to be selected
- Maximum amount to be mentioned.

GENERAL INSTRUCTIONS

UMRN (Unique Mandate Reference Number) is provided by NPCI, which is assigned to every mandate that has been submitted to them.

Investor will not hold ICICI Prudential Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles.

The Bank & AMC shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligations under this Agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riot, strike, mutiny, revolution, fire, flood, fog, war, lightening, earthquake, change of Government policies, unavailability of Bank’s computer system, force majeure events, or any other cause of peril which is beyond the Bank’s reasonable control and which has effect of preventing the performance of the contract by the Bank.

The investor hereby agrees to indemnify and not hold responsible, AMC/Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, the Registrars & Transfer (R&T) agent and the service providers incase for any delay/wrong debits on the part of the bank for executing the debit mandate instructions for any sum on a specified date from your account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, the investor would not hold the user institution responsible. Investor confirm to have understood that the introduction of this facility may also give rise to operational risks and hereby take full responsibility.

Registration of One Time Mandate (OTM) Facility: As an investor I/we hereby request you to register me/us for availing the facility of OTM and carrying out transactions of additional purchase/redemption/switch in my/our folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on my/our behalf.

In this regard, I/we also authorize the AMC, on behalf of ICICI Prudential Mutual Fund (Mutual Fund) to call/email on my/our registered mobile number/email id for due verification and confirmation of the transaction(s) and such other purposes. The mobile number provided in the common application form will be used as registered mobile number for verification and confirmation of transactions. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information or non-confirmation/verification of the transaction due to any reason, I/we shall not hold AMC, Mutual Fund, its sponsors, representatives, service providers, participant banks responsible in this regard. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

I/We hereby confirm that the information/documents provided by me/us in this form are true, correct and complete in all respect. I/We hereby agree and confirm to inform AMC promptly in case of any changes.

AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

I/We hereby agree and confirm to inform AMC promptly in case of any changes.

I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year as described in the Instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

INSTRUCTIONS FOR OTM MANDATE FACILITY

1) Investor can transact through OTM facility registered for the respective folio(s).

2) If more than one bank accounts are registered for OTM facility, investor is requested to mention the bank account number and bank name from where amount is to be debited. If the same is not mentioned or no OTM is registered for the given bank details, AMC reserves the right to initiate the debit through any of the valid OTMs registered or reject the request.

3) The units shall be allotted based on the day on which funds are credited to AMC’s collection account by the service provider/ bank. This is subject to compliance with the time stamping provision as contained in the SEBI (mutual funds) regulations, 1996.

4) Registration of request or any other subsequent transaction may be liable for rejection, if the frequency for the registered OTM is other than “As and when presented” and/or if the transaction amount is other than fixed amount or more than maximum amount registered in the mandate.

5) AMC reserves right to reject or process the application subject to internal verification.

6) Investor can transact using this mandate, within the limit of maximum amount and tenure specified.

YOUR CONFIRMATION/DECLARATION: I/We hereby declare that I/we do not have any existing Micro SIPs which together with the current application will result in a total investments exceeding Rs.50,000 in a year as described in the Instruction No.IV(d) of the common application form. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. The AMC would not be liable for any delay in crediting the scheme collection accounts by the Service Providers which may result in a delay in application of NAV.

Signature(s) as per ICICI Prudential Mutual Fund Records (Mandatory)
**TERMS AND CONDITIONS**

**SIP Payment through NACH**

1. The bank account provided for NACH should be participating in NACH clearing respectively.

2. Investors can choose any preferred date of the month as SIP debit date. In the case chosen date falls on a Non-Business Day or on a day which is not available in a particular month, the SIP will be processed on the immediate next Business Day. In case the Debit does not take effect for five consecutive times then the SIP would be liable for cancellation.

3. In case of SIP transaction where the mode of payment is through NACH, investors are not required to do any further purchase transaction for the minimum amount as applicable. However, investors are required to submit SIP request at least 30 days prior to the date of first installment. AMC reserves the right to register the first triggered SIP installment from subsequent month onwards, in case the period between the date of the SIP request and the first triggered SIP installment is less than 30 days. In case of SIP via existing OTM, investor can choose to start the SIP in 15 days after the date of submission. In case of incomplete mandate form, AMC may initiate remittance process to complete the same. The process may exceed 30 days and in such a case it may also impact the registration of the SIP facility and subsequent installments. SIP start date shall not be beyond 100 days from the date of submission of SIP application. The applicant will have the right to discontinue SIP before the investment in the scheme subject to the charges at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuation should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP including Exit Load, if any, prevailing in the fund by the fund shall be followed in the Scheme.

4. The investor agrees to abide by the terms and conditions of facilities of NACH Reserve of Bank of India (RBI).

5. Investor will not hold ICICI Prudential Mutual Fund, ICICI Prudential Asset Management Company Limited (the AMC), ICICI Prudential Trust Limited (the Trustee), its registrars and other service providers responsible and/or liable for any damages/compensation for any loss or if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles for NACH or any other reason/not attributable to ICICI Prudential Mutual Fund/amc/ the trustee.

6. ICICI Prudential Mutual Fund reserves the right to reject any application without assigning any reason if applicable.

7. In case of “At Par” Cheques, investors need to mention the MICR No. of his/her actual bank branch.

8. SIPs will be registered in afolio held by a minor only till the date of the minor attaining majority, even though the instructions may be for a period beyond that date. The facility will automatically stand terminated upon the University granting 18 years of age.

9. New Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, then the Fund reserves the right to register the SIP as per the scheme name available in the main application form. If multiple applicable schemes are mentioned in the main application form, the fund reserves the right to reject the SIP request.

10. Existing Investor: If the investor fails to mention the scheme name in the SIP Mandate Form, the Fund reserves the right to register the SIP in the existing scheme (eligible for SIP available in the investor’s folio. Increase some schemes or Equity Linked Savings Scheme (ELSS) available in the folio, the Fund reserves the right to reject the SIP request.

11. In case the SIP date is not mentioned/not legible, then the SIP will be registered on 10th (default date) of each Month/Quarter, as applicable.

12. a) In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will be processed with the next available NAV. The investor will have the right to discontinue SIP before the investment in the scheme subject to the charges at the office of the ICICI Prudential Mutual Fund Customer Service Centres. Notice of discontinuation should be received 30 days prior to the subsequent SIP date. All terms and conditions for SIP including Exit Load, if any, prevailing in the fund by the fund shall be followed in the Scheme.

b) Investors can choose any day of the week from Monday to Friday to register under weekly frequency. Incase Day is not specified by the investor transaction will be processed on the next working wednesday.

c) For Fortnightly frequency, the transaction will be processed on 1st and 16th day of each month, as applicable.

d) For Monthly and Quarterly frequencies, the transaction will be processed on any day basis.

e) Incase the SIP Date selected is a non-business day the transaction will be processed on the next available Business day.

f) In case both SIP end date and number of installments are mentioned in the SIP application for Daily, weekly and fortnightly frequency then SIP will get registered as per the number of installments provided.

Minimum number of installments for daily, weekly, fortnightly and monthly frequencies will be 6.

The minimum installment amount under Daily, Weekly and Fortnightly frequencies shall be the same as minimum amount prescribed for SIP under monthly frequencies in the respective schemes.

13. If the investor selects multiple SIP frequencies or fail to choose any of them, the default SIP frequency will be Monthly.

14. If the investor has not mentioned the SIP start month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the receipt of SIP request.

15. In case End date mentioned on SIP form, falls beyond the date mentioned in Mandate form, then SIP shall be registered as per date mentioned on mandate. Incase SIP “end Month/Year” is incorrect or not mentioned by the investor in the SIP form then AMC reserves the right to consider the SIP end date as five years from the start date as default.

16. Existing investors have to select the same folio numbers.

17. For minimum application amount to be invested in SIP, risk factors, features, load structures etc. please refer to the scheme related documents available on www.icicipruamc.com or with any of the customer service centres of ICICI Prudential Mutual Fund.

18. ICICI Prudential Mutual Fund, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc incurred by the investor. The investor assumes the entire risk of using this facility and takes full responsibility.

19. In daily SIP frequency transaction will be processed on Business days only. In case any scheduled Business day is declared as Non business day by AMC, Regulators, Government etc for any reason the said transaction will be processed with the next available NAV. The investor will not hold AMC/Bank liable for processing such transactions the next day.

20. TOP-UP CAN'T BE STOPPED. With this facility, investor can opt to increase the SIP amount at regular fixed intervals.

**There are two type of SIP TOP-UP:**

- (1) Fixed TOP-UP.
- (2) Variable TOP-UP.

**Fixed TOP-UP:** With this option, investor can increase SIP amount at regular interval with fixed amount. Fixed SIP top-up amount has to be Rs. 100 and in multiples of Rs. 100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

**Variable TOP-UP:** With this option, investor can increase SIP amount at regular interval, TOP-UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. The minimum % SIP percentage (%) should be 10% and in multiple of 5% thereof. Also the TOP-UP amount will be rounded off to the nearest highest multiple of Rs. 10.

**Please view below illustration for Variable TOP-UP:**

![Illustration](image-url)
INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM

Please read the Key Scheme Features and the terms of the Scheme Information Document(s) of the respective Scheme(s) and Statement of Additional Information carefully before filling the Application Form.

I. GENERAL INSTRUCTIONS

a) Please read the Key Scheme Features and the terms of the Scheme Information Document(s) of the respective Scheme(s) and Statement of Additional Information carefully before filling the Application Form. The application form must be filled in English in BLOCK letters. Incomplete applications are liable to be rejected. Please ensure that the requisite details and documents have been provided. All sub-scribed Application forms should be submitted only at the designated Investor Service Center of ICICI Prudential Mutual Fund.

b) If you are a new investor and wish to apply for SIP through Auto Debit by way of Electronic Clearing Services (ECS) or Standing Instructions to your bank account, you are required to fill in the form as per the enclosed Terms and Conditions Form.

c) The investors who wish to avail Systematic Withdrawal Plan (SWP)/ Systematic Transfer Plan (STP)/ Transfer of Income Distribution cum Capital Withdrawal Plan / Trigger/ Entry Trigger / Liquiduity facilities must fill in the Smart Features form available in any of the ICICI Prudential Mutual Fund Service Centres.

d) The application form number, the scheme name and the name of the applicant should be mentioned on the reverse side of the instrument (Cheque, Demand Draft etc) that accompanies the application.

e) The Application completed in all respects along with the cheque/demand draft, must be submitted to the nearest Customer Service Centre. Applications incomplete in any respect or not accompanied by a cheque or demand draft for the amount payable, are liable to be rejected and the money paid will be refunded without interest.

f) No receipt will be issued for the Application money. The Customer Service Centers will stamp and return the acknowledgment slip in the application form, to acknowledge receipt of the application.

g) In case of corrections / overwriting on key fields (as may be determined at the sole discretion of the AMC) of the application forms/transaction slips, the AMC reserves the right to reject the application forms/transaction slips, in case the investor(s) have/has not countersigned in every case, such correction/overwriting has/have been made.

h) Investors are advised to retain the acknowledgment slip signed/stamped by the collection centre where they submit the application.

i) As required under applicable regulations, additional details like status, occupation details, gross annual income, net worth and other details as mentioned in the relevant sections of the application form are mandatory for all applicants as applicable, including joint holders. Details of net worth are mandatory for Non-Individual applicants and optional for Individual applicants in lieu of gross annual income. While providing details of net worth, the same should be of a date which is within one year of the application.

j) Applications are liable to be rejected without any intimation to the applicants, if requirement under "KYC details" are not complied with/filled by all the applicants, KYC acknowledgement is not enclosed or any of the additional details are not mentioned for any of the applicant.

k) Politically Exposed Persons (PEPs) are individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/official/military officers, senior executives of state-owned corporations, important political party officials, etc.

II. UNITHOLDERS INFORMATION

a) Existing Unit-holders: If you have an existing folio, please mention the Folio Number. Please note that the applicable details and mode of holding will be as per the existing folio. Partial Demat of units is not allowed.

b) New Applicant

1. Name and address must be given in full (P.O. Box Address is not sufficient). In the case of joint holding, the joint holding must be specified in the application.

2. Name of the guardian alongwith relationship must be mentioned, if the investments are made on behalf of a minor. Guardian of the minor should either be a natural guardian (i.e. father or mother) or a court appointed legal guardian. Joint holding is not allowed, if the first applicant is minor.

3. If the name of the Scheme on the application form/ transaction slip differs with the name on the Cheque/Demand Draft, then the AMC will allot units under the scheme mentioned on the application form/transaction slip. In case of fresh/additional purchases, if the name is not mentioned on the application form/transaction slip, then it may be liable for rejection. The default Plan/Option of the scheme as per the Scheme Information Document will be considered if the customer has not specified the Plan/Option. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the rights to reallocate units in the option under which units were allotted at the time of fresh purchase.

III. BANK DETAILS

The first Unit-holder should provide the name of the bank, branch, complete address of the branch, account type and account number, which is mandatory as per Securities Exchange Board of India circular IIAMRP/MF/CIR/07/826/98 dated April 15, 1998. Incase of joint investments in the name of Minor, payment for investment must be from the bank account of the minor or from a joint account of the minor with the guardian only or else the transaction is liable to be rejected.

In case of fresh/additional purchases, if the name of the Scheme on the application form/transaction slip differs with the name on the Cheque/Demand Draft, the AMC will allot units under the scheme mentioned on the application form/transaction slip. In case of fresh/additional purchases, if the name is not mentioned on the application form/transaction slip, then it may be liable for rejection. The default Plan/Option of the scheme as per the Scheme Information Document will be considered if the customer has not specified the Plan/Option. However, in case additional purchase is under the same scheme as fresh purchase, then the AMC reserves the rights to reallocate units in the option under which units were allotted at the time of fresh purchase.

IV. KYC COLLECTION

A Self-attested photograph of the applicant is required along with the application form. The applicant should ensure that his/her photograph is clear and of good quality. In case the photograph is unclear or of poor quality, the application may be rejected.

V. ADDITIONAL KYC DOCUMENTS

a) Please read the Key Scheme Features and the terms of the Scheme Information Document(s) of the respective Scheme(s) and Statement of Additional Information carefully before filling the Application Form.

b) Depending upon appropriateness, the ICICI Prudential Asset Management Company Limited (the AMC) may request seeking additional/alternative documents for necessary diligence of each case.

c) Guardian name and details will be deleted on change of Tax status from Minor to Major. The standing instruction including SIP, STP and SWP will be registered only till the date of minor attaining majority, though the instructions may be for a period beyond that date.

d) In case of an application under Power of Attorney (PoA) or by a Limited Company, Body Corporate, Registered Society, Trust or Partnership etc., the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with Copy of Memorandum and Articles of Association / bye-laws must belodged along with the application form.

Power of Attorney (PoA): In case an investor has issued Power of Attorney (PoA) for making investments, switches, redemptions etc., under his/her Folio, both the signature of the PoA holder and the PoA holder’s signature should be clearly mentioned in the PoA document, to be accepted as a valid document. At the time of making redemption/ switches the fund would not be in a position to process the transaction unless, PoA holder’s signature is available in the PoA or proof of identity along with signature is produced along with the PoA.

The investor, may however, specify any other registered bank account for credit of redemption proceeds at the time of requesting for the redemption. Investors holding units in non-demat form
INSTRUCTIONS FOR FILLING UP THE COMMON APPLICATION FORM (Contd.)

are requested to avail the facility of registering multiple bank accounts by filling in the ‘Multiple Bank Accounts Registration Form’ available at our Investor Service Centres (ISCs) or on our website www.icicipruamc.com.

Change of Bank Mandate: With effect from October 25, 2011, the request for change of bank (CBB) shall be submitted along with the original cancelled cheque of the new bank with the investor name mentioned on the cheque or copy of the bank statement/pass book duly attested by the new bank, evidencing the name and bank account details of the investor.

IV. INVESTMENT DETAILS

a) Introduction of Direct Plan: The AMC has introduced a separate plan for direct investments (i.e. investments not routed through an AMFI Registration Number (ARN) Holder (“Distributor”) (hereinafter referred to as “Direct Plan”) with effect from January 1, 2013 (“Effective Date”). Direct Plan is only for investors who purchase /subscribe Units in a scheme directly with the Fund and is not available for investors who route their investments through a Distributor. Investors subscribing under Direct Plan of the Schemes should indicate the Scheme/Plan name in the application form as “Scheme Name – Direct Plan” for e.g. “ICICI Prudential Liquid Fund – Direct Plan”. Investors should also indicate “Direct” in the ARN column of the application form. If the Distributor code is mentioned in the application form under “Scheme Name – Direct Plan” is indicated against the Scheme name, the Distributor code will be ignored and the application will be processed under Direct Plan.

Please note, where application is received under Scheme name without Distributor code or where declaration of Direct Plan is not clear, the application will be processed under Direct Plan.

b) The Investor has to fill separate form for each scheme that he/she wishes to invest in through a Lumpsum Investment or Systematic Investments.

c) The investor should select scheme and option under which you wish to invest. Also Investor needs to indicate his/her choice of payout of Income Distribution cum Capital Withdrawal option or no investment along with the Income Distribution cum Capital Withdrawal option (in case there is more than one Income Distribution cum Capital Withdrawal option frequency). In case, the investor has not selected the option/sub-option for his/her investments, default option/ sub-option as prescribed in the Scheme Information Document of the relevant scheme will be applied. In case of Transfer of Income Distribution cum Capital Withdrawal Plan, the Investor must fill in the Smart Features form separately available in any of the ICICI Prudential Mutual Fund Customer Service Centres.

For investments in Income Distribution cum Capital Withdrawal (ICDWV) option of the Scheme may note that the amounts can be distributed out of investors capital (Equalisation Reserve), which is part of sale price that represents realized gains.

d) Exemption from requirement of Permanent Account Number (PAN) for micro investments in schemes of the Fund: Investment in micro fund schemes (including investments through Systematic Investment Plan (SIP)) up to Rs. 50,000/- per investor per year per mutual fund, shall be exempted from the requirement of PAN.

• The exemption shall be available under all the schemes of the Fund for investments upto Rs. 50,000/- (aggregate under all the schemes of the Fund) in a rolling 12 month period or financial year i.e. April to March by individuals (including NREs but not PIOs), Minor, Sole proprietary firms and Joint holders. HUFs and other categories will not be eligible.
• In case the first Micro SIP installment is processed (as the cheque may be banked), and the application is found to be defective, the Micro SIP registration will be ceased for future installments. No refunds to be made for the units already allotted. Investor will be sent a communication to this effect, however, redemptions shall be allowed.
• In case of investments held jointly, first holder must not possess a PAN.
• Eligible Investors may invest in the schemes of the Fund (through SIP or lumpsum/additional purchase) without providing PAN subject to the threshold amount as specified above.
• Eligible Investors should attach a copy of Know Your Client (KYC) acknowledgement letter quoting PAN Exempt KYC reference no. (PEKRN) obtained from KYC Registration Agency along with the investment application form.
• Eligible Investors must have only one PEGIN.
• Incase KYC status is failed for a particular PEGIN further SIP transaction/investments will not be allowed in such folios having such PEGIN.

e) For minimum application amount etc., please refer to Key Scheme Features Table.

f) Please submit the following documents along with your application (where applicable). All documents should be original/true copies by director/trustee/company secretary/authorised signatory:

<table>
<thead>
<tr>
<th>Documents</th>
<th>Companies</th>
<th>Societies</th>
<th>Partnership Firms</th>
<th>Investments through POA</th>
<th>Trusts</th>
<th>NRIs</th>
<th>Files</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Resolution/Authorisation to invest</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. List of Authorised Signatories with specimen signature(s)</td>
<td>✓</td>
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<td></td>
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<td>✓</td>
</tr>
<tr>
<td>3. Memorandum &amp; Articles of Association</td>
<td>✓</td>
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<tr>
<td>4. Trust deed</td>
<td>✓</td>
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<tr>
<td>5. Bye-laws</td>
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<td></td>
<td>✓</td>
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<tr>
<td>6. Partnership deed</td>
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<td></td>
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<tr>
<td>7. Overseas Auditors Certificate</td>
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<td></td>
<td>✓</td>
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<tr>
<td>8. Notarised Power of Attorney</td>
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<td></td>
<td></td>
<td>✓</td>
</tr>
<tr>
<td>9. Specimen/Original/Reimbursement Certificate in case of payment is made by DD from NRE/FCNR A/c where applicable</td>
<td>✓</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>10. PAN</td>
<td></td>
<td></td>
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<td></td>
<td>✓</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

h) Ultimate Beneficial Owners(s) (UBO(s)): Pursuant to SEBI Master Circular No. CR/IRSD/AML/2/2010 dated December 31, 2010 on Anti Money Laundering Standards and Guidelines on Due Diligence of Beneficial Ownership issued by SEBI vide its Circular CIR/MRD/2/2010 dated January 24, 2013, investors (other than Individuals) are required to provide details of UBO(s). In case the investor or owner of the controlling interest is a company listed on a stock exchange or is a majority owned subsidiary of such a company, the details of shareholders or beneficiaries are not required to be provided.

Non-individual applicants/investors are mandated to provide the details on UBO(s) by filling up the declaration form for ‘Ultimate Beneficial Ownership’ which is available on our website www.icicipruamc.com or at any of the Investor Service Centre (ISC) of ICICI Prudential Mutual Fund.

ej) FATCA and CRS Details: Tax regulations require us to collect information about each investor’s tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with the relevant tax authority. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or green card holder or tax resident other than India, please include all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/Number. If there is any change in the information provided, you are requested to intimate us within 30 days of the change.

j) All the switch request received on the last day of the NFO schedule shall be reversed and credited back to the source scheme, in case the scheme is aborted due to not meeting Minimum Target amount or Minimum No. Of Investors.

V. SYSTEMATIC INVESTMENT PLAN (SIP)

a) For availability of Systematic Investment Plan (SIP) Facility, please refer to the Key Scheme Features and also refer to Instruction No.V (a) for mode of payment for SIP through PDCs.

b) Investors opting for the SIP Facility, need to fill the Common Application Form. If the payment option is NACH/S, investor also need to fill in the Smart Features register form relevant mandate.

c) The Second SIP installment amount and the subsequent SIP installment amounts should be of the same amount. However, the First SIP installment need not be of the same amount as Second and Subsequent SIP installments amount.

d) Investors/unitholders subscribing for SIP are required to submit SIP request at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 100 days from the date of first SIP application.

e) In case of SIP with payment mode as NACH/S, the investors are required to submit a cancelled cheque or a photostat of a cheque of the bank account for which the debit mandate is provided.

f) For exit load structure under the Schemes, please refer to the Key Scheme Features.

For cheques drawn from locations as determined by ICICI Prudential Asset Management Company Ltd. (the AMC) from time to time and notified on its website www.icicipruamc.com.

1. Option 1: The First SIP installment should be paid through a Demand Draft payable at places where the Official Points of Acceptance of Transaction of the AMC are located. All the future installments should be paid through post dated cheques for the respective SIP dates.

However, investors are required to submit SIP request at least 30 days prior to the date of first installment.

2. Option 2: In case all the installments (including the first installment) are paid through post-dated cheques, the SIP Application along with the post-dated cheques should be submitted at the Official Points of Acceptance of Transactions of the AMC, at least 30 days before the start of the SIP. The Post dated cheques for all of the SIP installments (including the first installment), should be dated opted by the investor.

h. SIP TOP UP Facility: With this facility, investor can opt to increase the SIP amount at regular fixed intervals.

There are two type of SIP TOP-UP:

(1) Fixed TOP-UP.

(2) Variable TOP-UP.

Fixed TOP-UP: With this option, investor can increase SIP amount at regular interval with fixed amount. Minimum SIP Top-Up amount has to be Rs.100 and in multiples of Rs.100 thereof. For said option SIP TOP-UP frequency is at Half Yearly and Yearly basis. In case of Quarterly SIP, only the Yearly frequency is available under SIP TOP UP.

Please view below illustration for Fixed TOP-UP:

<table>
<thead>
<tr>
<th>SIP Tenure</th>
<th>From Date</th>
<th>To Date</th>
<th>Monthly SIP Instalment</th>
<th>SIP Top-Up Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>07 Jan 2015 to 07 Dec 2020</td>
<td>07 Jan 2015</td>
<td>07 Dec 2020</td>
<td>2000/-</td>
<td>100/-</td>
</tr>
</tbody>
</table>

Variable TOP-UP: With this option, investor can increase SIP amount at regular interval, TOP UP amount will be based on the percentage (%) opted by investor of SIP amount. For said option SIP TOP-UP frequency is at half yearly and yearly basis. The minimum TOPUP percentage (%) should be 10% and in multiple of 5% thereof. Also the TOP UP amount will be rounded off to the nearest highest multiple of Rs.100.

Please view below illustration for Variable TOP-UP:

<table>
<thead>
<tr>
<th>SIP Tenure</th>
<th>From Date</th>
<th>To Date</th>
<th>Monthly SIP Instalment</th>
<th>SIP Top-Up Amount %</th>
<th>SIP Top-Up round off Amount</th>
<th>SIP Top-Up Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>07 Jan 2015 to 07 Dec 2020</td>
<td>07 Jan 2015</td>
<td>07 Dec 2020</td>
<td>2000/-</td>
<td>10%</td>
<td>100/-</td>
<td>200/-</td>
</tr>
</tbody>
</table>
Investor can either opt for Fixed Top-up facility or Variable Top-up facility under SIP Top-up. In case, Investor opts for both the options, than variable top-up feature shall be triggered. In case the TOP UP facility is not opted by ticking the appropriate box and/or is frequency not monitored, then the TOP UP facility may not be registered.

In a scenario where investor selects multiple % option under variable SIP Top-up, higher percentage will be considered.

Other Information:
SIP Top UP will be allowed in Micro SIP folio subject to condition that the total investment including SIP Top UP does not exceed Rs. 50,000/- in a rolling 12 month period or a financial year.

The investor agrees to avail the TOP UP facility for SIP and authorise his/her bank to execute the NACH/SI for a further increase in installment from his/her designated account.

Top-Up CAP:
- (i) Cap Amount: Investor has an option to freeze the Top-Up Cap amount once it reaches a fixed predefined amount. The fixed pre-defined amount can be same as the maximum amount mentioned by the investor in the bank mandate.
- (ii) Maximum Amount: The maximum amount mentioned in bank mandate, then amount which is lower of the two amounts shall be considered as the default amount of SIP Cap amount.
- (iii) Cap per Month-Year: It is the date from which SIP Top-up amount will cease and last SIP installment including Top-up amount will remain constant from Cap date till the end of SIP tenure.

Investor shall have flexibility to choose either Top-Up Cap Amount OR DR Top-Up Cap Month-Year.

In case of non selection, the SIP variable Top-up amount will be capped at a default amount of Rs. 10K. SIP amount will remain constant from Top-Up Cap date/amount till the end of SIP Tenure.

Registration of OTM/Bank Debenture Mandate Facility:
- As an investor you hereby request us, the AMC, to act as an agency for the facility of OTM/Bank Debenture Mandate facility and carry out transactions of additional purchase/redeemption/switch in the your folio through Call Centre and/or also authorize the distributor(s) to initiate the above transactions on your behalf. In respect of the above transactions, the AMC will also authorize the ICICI Prudential Mutual Fund, Mutual Fund Fax and the Mobile number mentioned in your bank mandate for the above transactions.
- The AMC will not be liable for any delay in crediting the amounts to the bank account from which the payment is made and the relationship with the beneficiary.
- The investor agrees to register, in the bank stated below:
  (1) Determining the identity of the Investor and the person making payment i.e. mandatory Know Your Client (KYC) for Investor and the person making the payment.
  (2) Obtaining necessary declaration from the Investor/unitholder and the person making the payment, declaring the purpose of payment, giving the details of account from which the payment is made from the bank account from which the payment is made and the relationship with the beneficiary.
  (3) Verifying the source of funds to ensure that funds have come from the drawer’s account only.
- The schemes will accept payment of any amount from any donor for making investment in the schemes on behalf of a minor with the following conditions have to be fulfilled:
  1) Investment is made in the name of a minor.
  2) Mandatory KYC for the investors and the person making the payment i.e. third party.
  3) Submission of Third party declaration form(s) by persons other than the Registered Guardian.
- Please contact the nearest Investor Service Centre (ISC) of the Fund or visit our website www.icicipruamc.com for the said Declaration Form.

Submission of all documents as applicable for making investment in these Schemes. ICICI Prudential Asset Management Company Limited (the AMC) reserves a right to seek information with the requirement of SEBI regulations and Guidelines issued by AMFI, from time to time.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker’s cheque, RTGS, NEFT, NACH, bank transfer, net banking, covered by the above-mentioned documents, as applicable, or the other aforesaid documents from third party for establishing the identity of the Third Party, before processing such applications.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker’s cheque, RTGS, NEFT, NACH, bank transfer, net banking, covered by the above-mentioned documents, as applicable, or the other aforesaid documents from third party for establishing the identity of the Third Party, before processing such applications.

Non Repatriation basis: Payments by NRIs/ FIIs/ Persons of Indian Origin (PIOs) residing abroad, may be made either by way of any Indian Rupee demand drafts or cheques by means of (i) inward remittance through normal banking channels, (ii) or out of funds held in NRE/FCNR accounts payable at par and payable at the cities where the Customer Service Centres are located.

In case of Indian Rupee drafts purchased or cheques issued from NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit should also be presented as in case the document is not provided, the AMC reserves the right to reject the application of the NRIs investor.

2) Mandatory KYC for Investor and the person making the payment.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker’s cheque, RTGS, NEFT, NACH, bank transfer, net banking, covered by the above-mentioned documents, as applicable, or the other aforesaid documents from third party for establishing the identity of the Third Party, before processing such applications.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker’s cheque, RTGS, NEFT, NACH, bank transfer, net banking, covered by the above-mentioned documents, as applicable, or the other aforesaid documents from third party for establishing the identity of the Third Party, before processing such applications.

In case of investment/subscriptions made via Pay Order, Demand Draft, Banker’s cheque, RTGS, NEFT, NACH, bank transfer, net banking, covered by the above-mentioned documents, as applicable, or the other aforesaid documents from third party for establishing the identity of the Third Party, before processing such applications.
With effect from 1st January, 2011, KYC (Know Your Customer) procedures will be introduced for all entities dealing with the AMC / Registrar. While processing the redemption / switch out request in case the AMC / Registrar comes across a signature mismatch, the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

XV. As per the RBI circular “Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems” vide notification RBI/2020-21/82 DPS.5/C.00 No. 901.06.24/001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement System (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information is available while initiating electronic funds transfer such as Citibank N.A., HDFC Bank, AXIS Bank, HSBC and ICICI Bank, for direct credit of redemption amounts, as applicable.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/subscriptions relating to new inflows.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 4 equal installments.

However, the option to charge “transaction charges” is at the discretion of the distributor. Investors may note that distributors can opt to receive transaction charges based on ‘type of the Scheme’. Accordingly, the transaction charges would be deducted from the subscription amount in Rs. 10,000/- equal installments.

Transaction charges shall also be deducted on purchases/subscriptions received through non-demat mode from the investors investing through a valid ARN holder i.e. AMFI Registered Distributor (provided the distributor has opted-in to receive the transaction charges) in respect of transactions routed through Stock Exchanges platform viz. NSE Mutual Fund Platform (“NMF-IF”) and BSE Mutual Fund Platform (“BSE STAR MF”).

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be credited in the relevant account of the investor, as applicable.

If the AMC cancels a subscription due to non-compliance with KYC norms, the AMC must be notified within 7 days.

In case of several nominations, the number of units allotted against Net Investment amount.

In case of non-individual distributors are requested to note that EUIN would assist in tackling the employment of the distributor.

The AMC / Registrar must be notified within 7 days.

While processing the redemption / switch out request in case the AMC / Registrar comes across a signature mismatch, the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

Transaction charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/subscription made in demat mode through stock exchange, irrespective of amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.

XIII. EMPLOYEE UNIQUE IDENTIFICATION NUMBER (EUIN) : Investors procuring advisory services from non-individual distributors are requested to note that EUIN would assist in tackling the multiple accounts (by mis-selling) if the employee/relationship manager/sales person leave the employment of the distributor.

TRANSACTION CHARGES

- As per circular no. SEBI/HO/IMD/DF2/CIR/P/2018/92 issued by SEBI on Go Green Initiatives for Mutual Funds, we have been asked to seek your确认 to continue to receive the Schmes wise Annual Report or Abridged Summary in physical mode since your email is not registered with us.
- Delivering service through the internet & web-based services such as e-mail is a more efficient delivery mode, it has been observed that an email address, the same will be registered in our records for e-mails and will be treated as your consent to receive, allotment confirmations, consolidated account statement/account statement, annual report/abridged summary and any statutory / other information as permitted via electronic mode/email. These documents shall be sent as per the instructions given in the case of the Unit holder's request for delivery of such documents.
- While processing the redemption / switch out request in case the AMC / Registrar comes across a signature mismatch, the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

As per the RBI circular “Introduction of Legal Entity Identifier for Large Value Transactions in Centralised Payment Systems” vide notification RBI/2020-21/82 DPS.5/C.00 No. 901.06.24/001/2020-21 dated 5th January 2021. RBI vide this notification has decided to introduce the LEI system for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement System (RTGS) and National Electronic Funds Transfer (NEFT).

From April 1, 2021, 20-digit Legal Entity Identifier (LEI) information is available while initiating any transaction of value INR 50 crore and above by entities (non-Individual).

Statement of Nomination Form available on www.icicipruamc.com and submit it to the AMC. Separate form shall be made in favour of the remaining nominee(s).

The cancellation of nomination can be made only by those individuals who hold units on their own behalf, singly or jointly and who made the original nomination and the request has to signed by all the holders.

The nomination shall stand withdrawn and the AMC shall not be under any obligation to transfer the units in favour of the Nominee.

In case of multiple nominees, the number of units allotted against the net investment amount shall be made in favour of the following nominated(s): (a) As per circular no. SEBI/HO/IMD/DF2/CIR/P/2018/92 issued by SEBI on Go Green Initiatives for Mutual Funds, we have been asked to seek your consent to continue to receive the Scheme wise Annual Report or Abridged Summary in physical mode since your email is not registered with us.

XV. KNOW YOUR CUSTOMER (KYC) NORMS: With effect from 1st January, 2011, KYC (Know Your Customer) procedures will be introduced for all entities dealing with the AMC / Registrar. While processing the redemption / switch out request in case the AMC / Registrar comes across a signature mismatch, the AMC/ Registrar reserves the right to process the redemption only on the basis of supporting documents (like copy of passport, driving license etc.), confirming the identity of the investors.

There shall be no transaction charge on subscription below Rs. 10,000/- and on transactions other than purchases/subscriptions relating to new inflows.

In case of investment through Systematic Investment Plan (SIP), transaction charges shall be deducted only if the total commitment through SIP amounts to Rs. 10,000/- and above. The transaction charges in such cases shall be deducted in 4 equal installments.

However, the option to charge “transaction charges” is at the discretion of the distributor. Investors may note that distributors can opt to receive transaction charges based on ‘type of the Scheme’. Accordingly, the transaction charges would be deducted from the subscription amount in Rs. 10,000/- equal installments.

Transaction charges shall also be deducted on purchases/subscriptions received through non-demat mode from the investors investing through a valid ARN holder i.e. AMFI Registered Distributor (provided the distributor has opted-in to receive the transaction charges) in respect of transactions routed through Stock Exchanges platform viz. NSE Mutual Fund Platform (“NMF-IF”) and BSE Mutual Fund Platform (“BSE STAR MF”).

The aforesaid transaction charge shall be deducted by the Asset Management Company from the subscription amount and paid to the distributor, as the case may be and the balance amount shall be credited in the relevant account of the investor, as applicable.

Transaction charges shall not be deducted if:

- Purchase/Subscription made directly with the fund through any mode (i.e. not through any distributor/agent).
- Purchase/subscription made in demat mode through stock Exchange, irrespective of amount.

CAS/ Statement of account shall state the net investment (i.e. gross subscription less transaction charge) and the number of units allotted against the net investment.