



## ABRIDGED ANNUAL REPORT FOR F. Y. 2019-20

### Sponsors:

**ICICI Bank Limited** Regd. Office: ICICI Bank Tower, Near Chakli Circle, Old Padra Road, Vadodara 390 007, Gujarat, India; and  
**Prudential plc**, 1 Angel Court, London EC2R 7AG, United Kingdom

### Investment Manager: ICICI Prudential Asset Management Company Limited

Corporate Identity Number: U99999DL1993PLC054135

Corporate Office: One BKC, 13th Floor, Bandra Kurla Complex, Mumbai - 400051,  
 Tel: (022) 2652 5000 Fax: (022) 2652 8100. Website: www.icicipruamc.com, Email id : enquiry@icicipruamc.com

Central Service Office: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon (East), Mumbai - 400 063.  
 Tel.: +91 022-26852000, Fax No.: +91 022-2686 8313.

Registered Office: 12th Floor, Narain Manzil, 23 Barakhamba Road, New Delhi 110 001.

### Trustee: ICICI Prudential Trust Limited

Corporate Identity Number: U74899DL1993PLC054134

Registered Office: 12th Floor, Narain Manzil, 23 Barakhamba Road, New Delhi 110 001.

### Registrars: Computer Age Management Services Pvt. Ltd. (CAMS)

Corporate Identity Number: U65910TN1988PLC015757

Registered Office: New No 10. Old No. 178, Opp. to Hotel Palm Grove, MGR Salai, Nungambakkam, Chennai - 600 034

### ICICI Prudential Banking and Financial Services Fund

### ICICI Prudential Bharat Consumption Fund

### ICICI Prudential Dividend Yield Equity Fund

### ICICI Prudential Equity - Arbitrage Fund

### ICICI Prudential Balanced Advantage Fund

### ICICI Prudential Midcap Fund

### ICICI Prudential FMCG Fund

### ICICI Prudential Child Care Fund (Gift Plan)

### ICICI Prudential Large & Mid Cap Fund

### ICICI Prudential Smallcap Fund

### ICICI Prudential Nifty Index Fund

### ICICI Prudential India Opportunities Fund

### ICICI Prudential Long Term Wealth Enhancement Fund

### ICICI Prudential Manufacture in India Fund

### ICICI Prudential MNC Fund

### ICICI Prudential Nifty Next 50 Index Fund

### ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund

### ICICI Prudential Multicap Fund

### ICICI Prudential Retirement Fund - Pure Equity Plan

### ICICI Prudential Exports and Services Fund

### ICICI Prudential Sensex Index Fund

### ICICI Prudential Focused Equity Fund

### ICICI Prudential Technology Fund

### ICICI Prudential US Bluechip Equity Fund

### ICICI Prudential Commodities Fund

ICICI Prudential Asset Management Company Limited		
Sr. No.	Name of the Director	Designation
1.	Mr. C.R. Muralidharan <sup>1</sup>	Chairman and Independent Director
2.	Mr. Suresh Kumar	Independent Director
3.	Ms. Lakshmi Venkatachalam	Independent Director
4.	Mr. Ved Prakash Chaturvedi	Independent Director
5.	Mr. Dilip Karnik	Independent Director
6.	Mr. Anup Bagchi	Nominee Director (ICICI Bank Limited) <sup>2</sup>
7.	Mr. Sandeep Batra	Nominee Director (ICICI Bank Limited)
8.	Mr. Bernard Teo	Nominee Director (Prudential Corporation Holdings Limited) <sup>3</sup>
9.	Mr. Nimesh Shah	Managing Director
10.	Mr. Sankaran Naren	Executive Director
1.	Mr. C.R. Muralidharan has retired as an Independent Director and Chairman of the AMC with effect from May 20, 2020.	
2.	Mr. Anup Bagchi has been designated as Chairman of the AMC with effect from May 20, 2020.	
3.	The ultimate holding Company of Prudential Corporation Holdings Limited is Prudential Plc.	
4.	Mr. Naved Masood has been appointed as an Independent Director on the Board of the AMC with effect from May 2, 2020.	

ICICI Prudential Trust Limited		
Sr. No.	Name of the Director	Designation
1.	Mr. P.H. Ravikumar	Independent Director <sup>1</sup>
2.	Mr. Jyotin Mehta	Independent Director
3.	Mr. Ranganayaulu Jagarlamudi	Independent Director
4.	Mr. Pramod Rao	Nominee Director (ICICI Bank Limited)
5.	Mr. Lakshman Kumar Mylavaram	Nominee Director (Prudential Corporation Holdings Limited) <sup>2</sup>
1.	Mr. P.H. Ravikumar has been designated as Chairman of the Company with effect from May 1, 2020.	
2.	The ultimate holding Company of Prudential Corporation Holdings Limited is Prudential Plc.	

## INDEPENDENT AUDITOR'S REPORT

### To the Board of Trustees of ICICI Prudential Mutual Fund

The accompanying abridged financial statements, which comprise of the abridged balance sheet as at March 31, 2020 and the abridged revenue account for the period April 01, 2019/ launch date to March 31, 2020 (the 'year/period'), and related notes of ICICI Prudential Mutual Fund (collectively referred to as 'the Schemes') are derived from the audited financial statements of the Schemes of ICICI Prudential Mutual Fund (the 'Fund') as at March 31, 2020 and for the year/period ended March 31, 2020. We expressed an unmodified audit opinion on those financial statements in our report dated July 22, 2020.

- ICICI Prudential Banking and Financial Services Fund
- ICICI Prudential Bharat Consumption Fund
- ICICI Prudential Commodities Fund
- ICICI Prudential Dividend Yield Equity Fund
- ICICI Prudential Equity - Arbitrage Fund
- ICICI Prudential Balanced Advantage Fund
- ICICI Prudential Midcap Fund
- ICICI Prudential FMCG Fund
- ICICI Prudential Child Care Fund (Gift Plan)
- ICICI Prudential Large & Mid Cap Fund
- ICICI Prudential Smallcap Fund
- ICICI Prudential Nifty Index Fund
- ICICI Prudential India Opportunities Fund
- ICICI Prudential Long Term Wealth Enhancement Fund
- ICICI Prudential Manufacture in India Fund
- ICICI Prudential MNC Fund
- ICICI Prudential Nifty Next 50 Index Fund
- ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund
- ICICI Prudential Multicap Fund
- ICICI Prudential Retirement Fund - Pure Equity Plan
- ICICI Prudential Exports and Services Fund
- ICICI Prudential Sensex Index Fund
- ICICI Prudential Focused Equity Fund
- ICICI Prudential Technology Fund
- ICICI Prudential US Bluechip Equity Fund

The abridged financial statements do not contain all the disclosures required by the accounting principles generally accepted in India, including the accounting policies and standards specified in the Ninth Schedule to the SEBI Regulations. Reading the abridged financial statements, therefore, is not a substitute for reading the audited financial statements of the Schemes of the Fund.

### Management's Responsibility for the Abridged Financial Statements

The board of ICICI Prudential Asset Management Limited and the Trustees (together referred to as the "Management") are responsible for the preparation of the abridged financial statements of the Schemes of the Fund pursuant to Regulation 56 (1) of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended (the 'Regulations') and as per the format prescribed by Securities and Exchange Board of India ('SEBI') vide circular number SEBI/IMD/CIR No.8/132968/2008 dated 24 July 2008 (the 'Circular') and are derived from the audited financial statements of the Schemes of the Fund for the year/period ended March 31, 2020, prepared in accordance with the accounting policies and standards specified in the Ninth Schedule to the Regulations and Generally Accepted Accounting Principles in India to the extent applicable and covered by our report of even date to the Trustees of the Fund.

### Auditor's Responsibility

Our responsibility is to express an opinion on the Abridged Financial Statements based on our procedures, which were conducted in accordance with Standard on Auditing (SA) 810, "Engagements to Report on Summary Financial Statements" issued by the Institute of Chartered Accountants of India.

### Opinion

In our opinion, the abridged financial statements, derived from the audited financial statements of the Schemes of the Fund as at March 31, 2020 and for the year/period ended March 31, 2020 are in fair summary of those financial statements, and are in accordance with the accounting policies and standards specified in the Ninth Schedule to the Regulations and Generally Accepted Accounting Principles in India to the extent applicable and as per the format prescribed by the Circular.

### For S.R. Batliboi & Co. LLP

Chartered Accountants

ICAI Firm Registration Number: 301003E/E300005

Sd-

**per Shrawan Jalan**

Partner

Membership No.: 102102

UDIN: 20102102AAADPF7866

Place: Mumbai

Date: August 18, 2020

## ICICI PRUDENTIAL TRUST LIMITED TRUSTEES' REPORT

Dear Unitholder,

We have pleasure in presenting the 27<sup>th</sup> Annual Report of the Schemes of ICICI Prudential Mutual Fund ("the Mutual Fund" or "the Fund") for the financial year ended March 31, 2020 (FY2020), along with the audited financial statements of the Schemes.

### I. SCHEME PERFORMANCE, FUTURE OUTLOOK AND OPERATIONS OF THE SCHEMES

#### A. Performance of the Schemes and Investment Folios

##### 1. Performance of the Schemes

The Net Asset Values (NAVs) and other pertinent details of the Schemes as on March 31, 2020 are as under:

Scheme Name	Date of Allotment	Quarterly AAUM for the period ended March 31, 2020 (Rs. in crore)	Quarterly AAUM for the period ended March 31, 2019 (Rs. in crore)	Net Asset Value per unit at March 31, 2020 (Rs.)	Returns		Brief Description
					Last 1 year return (%)	Since inception returns (%)	
<b>ICICI Prudential Multicap Fund</b>	1-Oct-94	4,983.44	3,189.54	206.0000	-30.11%	12.59%	Open Ended Equity Fund
S&P BSE 500 Total Return Index					-26.27%	@@	
<b>ICICI Prudential Large &amp; Mid Cap Fund</b>	9-Jul-98	3,107.54	2,927.05	231.8500	-28.47%	15.56%	Open Ended Equity Fund
Nifty LargeMidcap 250 Total Return Index					-27.29%	11.56%	
<b>ICICI Prudential FMCG Fund</b>	31-Mar-99	499.69	518.38	209.7500	-12.47%	15.58%	Open Ended Equity Fund
Nifty FMCG Total Return Index					-8.55%	12.66%	
<b>ICICI Prudential Technology Fund</b>	3-Mar-00	391.19	476.25	47.0500	-20.85%	8.01%	Open Ended Equity Fund
S&P BSE Information Technology Total Return Index					-13.96%	4.10%	
<b>ICICI Prudential Child Care Fund (Gift Plan)</b>	31-Aug-01	633.25	604.67	112.6000	-20.58%	13.91%	Open Ended Solution Oriented Equity Fund
NIFTY 50 Hybrid Composite Debt 65:35 Index					-12.78%	@@	
<b>ICICI Prudential Nifty Index Fund</b>	26-Feb-02	569.30	375.00	83.5334	-25.21%	12.44%	Open Ended Index Fund
Nifty 50 Total Return Index					-24.85%	13.15%	
<b>ICICI Prudential Midcap Fund</b>	28-Oct-04	1,796.61	1,558.77	64.5100	-32.96%	12.84%	Open Ended Equity Fund
Nifty Midcap 150 Total Return Index					-29.88%	@@	
<b>ICICI Prudential Exports and Services Fund</b>	30-Nov-05	610.33	818.84	42.2800	-26.42%	10.57%	Open Ended Equity Fund
S&P BSE 500 Total Return Index					-26.27%	9.62%	
<b>ICICI Prudential Balanced Advantage Fund</b>	30-Dec-06	27,511.97	28,809.72	30.5700	-13.40%	8.79%	Open Ended Hybrid Fund
CRISIL Hybrid 50+50 - Moderate Index					-7.25%	8.38%	
<b>ICICI Prudential Equity - Arbitrage Fund</b>	30-Dec-06	12,764.28	8,334.31	25.9118	6.21%	7.44%	Open Ended Hybrid Fund
Nifty 50 Arbitrage Index					6.04%	@@	
<b>ICICI Prudential Smallcap Fund</b>	18-Oct-07	1,002.27	182.57	17.8600	-28.08%	4.76%	Open Ended Equity Fund
Nifty Smallcap 250 Total Return Index					-39.97%	1.90%	
<b>ICICI Prudential Banking &amp; Financial Services Fund</b>	22-Aug-08	3,368.47	2,720.40	40.5800	-37.78%	12.82%	Open Ended Equity Fund
Nifty Financial Services Total Return Index					-25.13%	13.02%	
<b>ICICI Prudential Focused Equity Fund</b>	28-May-09	595.67	621.61	22.6700	-25.32%	7.84%	Open Ended Equity Fund
S&P BSE 500 Total Return Index					-26.27%	7.72%	
<b>ICICI Prudential Nifty Next 50 Index Fund</b>	25-Jun-10	667.78	378.59	18.6830	-25.17%	6.60%	Open Ended Index Fund
Nifty Next 50 Total Return Index					-24.20%	8.09%	
<b>ICICI Prudential US Bluechip Equity Fund</b>	6-Jul-12	489.04	253.14	28.4900	6.69%	14.48%	Open Ended Equity Fund
S&P 500					1.37%	15.48%	
<b>ICICI Prudential Dividend Yield Equity Fund</b>	16-May-14	155.69	180.07	11.4900	-31.88%	2.39%	Open Ended Equity Fund
Nifty Dividend Opportunities 50 Total Return Index					-25.69%	3.35%	
<b>ICICI Prudential Sensex Index Fund</b>	21-Sep-17	59.77	11.22	9.2514	-22.55%	-3.03%	Open ended Index Fund
S&P BSE SENSEX Total Return Index					-22.69%	-2.58%	

Scheme Name	Date of Allotment	Quarterly AAUM for the period ended March 31, 2020 (Rs. in crore)	Quarterly AAUM for the period ended March 31, 2019 (Rs. in crore)	Net Asset Value per unit at March 31, 2020 (Rs.)	Returns		Brief Description
					Last 1 year return (%)	Since inception returns (%)	
<b>ICICI Prudential Long Term Wealth Enhancement Fund</b>	22-Mar-18	41.46	38.99	7.8300	-26.64%	-11.37%	Close Ended Equity Scheme (ELSS) with a statutory lock in of 3 years and tax benefit
Nifty LargeMidcap 250 Total Return Index					-27.29%	-11.82%	
<b>ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund</b>	13-Jul-18	1,221.60	1,467.70	9.5400	-5.87%	-2.70%	Open Ended Equity Fund
S&P BSE Healthcare Total Return Index					-14.63%	-7.27%	
<b>ICICI Prudential Manufacture in India Fund</b>	11-Oct-18	820.72	1,216.10	7.2100	-31.32%	-19.94%	Open Ended Equity Fund
S&P BSE India Manufacturing Total Return Index					-22.90%	-9.65%	
<b>ICICI Prudential India Opportunities Fund</b>	15-Jan-19	2,207.59	1,180.35	7.1500	-33.63%	-24.24%	Open Ended Equity Fund
Nifty 500 Total Return Index					-26.44%	-18.92%	
<b>ICICI Prudential Retirement Fund - Pure Equity Plan</b>	27-Feb-19	63.02	15.91	7.4400	-28.33%	-23.75%	Open Ended Solution Oriented Equity Fund
Nifty 500 Total Return Index					-26.44%	-19.12%	
<b>ICICI Prudential Bharat Consumption Fund</b>	12-Apr-19	487.73	-	8.4000	**	-16.00%	Open Ended Equity Fund
Nifty India Consumption Total Return Index					**	-15.51%	
<b>ICICI Prudential MNC Fund</b>	17-Jun-19	599.45	-	8.1400	**	-18.60%	Open Ended Equity Fund
NIFTY MNC Total Return Index					**	-13.24%	
<b>ICICI Prudential Commodities Fund</b>	15-Oct-19	19.96	-	6.7400	**	-32.60%	Open Ended Equity Fund
Nifty Commodities Total Return Index					**	-29.76%	
<b>Notes:</b>							
@@ As these Schemes were launched before the launch of the appropriate benchmark index, benchmark returns since inception are not provided.							
** Since these scheme(s)/ plan(s) have not completed one year from the allotment, one year returns are not calculated. Since inception returns for schemes which have not completed one year from the date of allotment are shown on an absolute basis.							
Past performance may or may not be sustained in the future. NAV of growth option is considered for computation of returns without considering load. Returns are calculated on the basis of Compounded Annual Growth Rate (CAGR). For computation of since inception returns the allotment NAV has been taken as ₹10.							
Performance figures have been computed based on the last declared NAV.							
Average Asset Under Management (AAUM)							
Returns have been calculated based on NAV of Growth option, wherever applicable. Returns for Schemes not having Growth/Cumulative Options have been calculated considering the Dividend Option, Post Adjustment of the Dividend Factor.							
The performance of the scheme is benchmarked to the Total Return variant of the Index.							
As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.							
The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.							
The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.							
As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.							
The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.							
The benchmark of ICICI Prudential Exports and Services Fund was changed from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019.							
As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.							

## 2. Investment Folios

The total numbers of live folios as at March 31, 2020 were 93.85 lakh.

## B. Market Review and Outlook

### Market Review FY2020

#### Global Economy :

The COVID-19 has impacted the demand and supply chain which may result in muted growth for the coming quarters. The virus outbreak quickly progressed to an economic shock as governments across the globe enact strict containment policies. Borders have been closed, and schools and universities shut down. Markets are panicked by uncertainty over the duration of the virus threat and the extent of further containment measures. This has been compounded by fears that monetary policy efficacy might get reduced with policy rates remaining at level zero. Going forward, expectations of more aggressive fiscal stimulus measures will offset any shortfall in monetary firepower. The main uncertainty is the duration and depth of the virus-induced recession.

**US:**

The government's virus containment measures mean a technical recession – negative GDP growth in Q1 and Q2 is probable. As of March 31, 2020, S&P 500 Index has declined 29% from its 2020 peak, which is on par with a moderate economic recession. A reasonable amount of economic pain is already in the price.

A risk is that the sharp plunge in cash flows causes highly indebted companies to default, triggering a credit-crunch in the broader economy. This threat should be lessened by the Fed's 150 basis points (bps) of emergency easing, asset purchases, and the resumption of an alphabet soup of crisis-era liquidity management facilities.

**Eurozone:**

Europe is one of the worst-affected region outside of China by COVID-19. It has high exposure to global trade, particularly China, the ECB has little monetary policy firepower and the rules around fiscal policy in the Eurozone make stimulus measures difficult to implement. Italy is in quarantine and strict containment measures have been put in place in France and Spain. Similar measures are likely to be adopted by other European countries.

The combination of these factors means that the Eurozone stock index has been the hardest hit of the major bourses, down more than 35% as of mid-March 2020.

**Japan:**

Japan's economy was weak at the end of 2019, weighed down by the October value-added tax (VAT) increase and a natural disaster caused by the largest typhoon in half a century. The COVID-19 disruption has almost certainly pushed the economy into recession.

Stimulus measures are underway. The Bank of Japan has limited firepower, but has increased its purchases of government bonds, corporate bonds, and equities via exchange-traded funds (ETFs). The government is likely to announce emergency fiscal measures. Japan's structural weaknesses in terms of weak monetary policy and persistent deflation mean it will likely remain an economic laggard relative to other developed economies.

**China:**

China was the first country to enter the COVID-19 crisis and see a downward trend in the number of new cases. High frequency trackers of daily economic activity show that economic activity is resuming. Traffic congestion in Shenzhen and Shanghai has returned to normal levels and coal consumption by power generators is trending higher.

Government stimulus is coming. Local provinces have announced infrastructure projects, and the People's Bank of China has cut interest rates and the reserve ratio requirement several times. The main uncertainty is whether the combined monetary and fiscal stimulus will be as large as in 2015/16, which created a V-shaped recovery in 2016. The chances of similar stimulus are less, as China's leadership is still worried about excessive debt levels. But it will still be substantial and may position China for a strong rebound when the threat from the virus starts to subside.

**Indian economy:**

India's gross domestic product (GDP) slowed to 3.1% growth in the January-March quarter (Q4) compared to revised 4.1% growth in October-December quarter (Q3). For the fiscal 2020, GDP growth came in at 4.2% compared to 6.1% in fiscal 2019.

India ended the financial year 2020 with a fiscal deficit of 4.59% of gross domestic product, against the target of 3.8%, according to data from the Controller General of Accounts. India's current account deficit (CAD) narrowed sharply to US\$ 1.4 billion (0.2% of GDP) in the third quarter of fiscal 2020 from US\$ 17.7 billion (2.7% of GDP) in the third quarter of fiscal 2019 and US\$ 6.5 billion (0.9% of GDP) in the preceding quarter, i.e., second quarter of fiscal 2020.

Both the Reserve Bank of India (RBI) and Government have decided that fiscal year 2019-20 will end on June 30, 2020 while fiscal year 2020-21 will begin on July 1, 2020 but ends on March 31, 2021. Thereafter, all fiscal years will start on April 1 every year.

**Indian equity market:**

Indian Equity markets ended fiscal year 2020 with a drop of 13% from the previous year. In March alone, Indian equity indices S&P BSE Sensex and Nifty 50 tanked 23% each due to worries about rapid spread of Covid-19 in the country and the government's lockdown decision. The domestic indices corrected sharply post the announcement of restriction of economic activity to contain the spread of the epidemic in the country. Several agencies, including CRISIL, Moody's Investors Service and Fitch, have slashed India's growth forecast after the announcement of the lockdown. The lockdown announcement came even as crisis at a large domestic private sector bank had already dented the market sentiment. Selling by foreign portfolio investors (FPIs) also contributed to the market decline.

**Indian debt market:**

The central bank cut repo rates by 150 bps from April 2019 to March 2020. The RBI changed its stance from neutral to accommodative in its June meet and has maintained its accommodative stance through FY 2020 and has also adopted "whatever it takes" approach since the outbreak of the Covid Pandemic. The RBI unleashed its bazooka – a comprehensive package of measures which can be broadly classified under: 1. Enhancing banking liquidity, 2. Supporting Financial Market, 3. Reducing Debt servicing burden to support the economy. RBI introduced Targeted Long Term Repo Operations (TLTROs) to nudge banks to participate in the corporate bond market which may further help in the normalization of the credit spread. While the measures announced by the RBI may go a long way in easing financial conditions, safeguarding financial stability, and ensuring that the initial shock to the economy is not amplified through the financial sector, more will likely be needed. Fiscal and Monetary policy would have to work hand in hand to shield the economy from the disruptions caused by COVID-19. Moody's downgrade of India's Sovereign Debt to Baa3, just one notch above junk bond status may exacerbate the economic turmoil as cost of financing increases and further lead to flight of foreign investments from India.

**Gold Review:**

Indian gold prices registered a 29.6% increase, ending FY2020 at ₹ 40,989 per 10 grams on March 31, 2020 as against ₹ 31,601 per 10 grams on March 31, 2019 on the MCX (Multi-Commodity Exchange).

**Key Statistics of FY2020****Domestic Indices**

	31-Mar-2020	31-Mar-2019	Change
Nifty 50 Index	8,598	11,624	-26%
S&P BSE Midcap Index	10,570	15,480	-32%
S&P BSE Sensex Index	29,468	38,673	-24%
S&P BSE Smallcap Index	9,609	15,027	-36%

**International Indices**

	31-Mar-2020	31-Mar-2019	Change
Dow Jones	21,917	25,929	-15%
FTSE	5,672	7,279	-22%
HangSeng	23,603	29,051	-19%
Nasdaq	7,700	7,729	0%
Nikkei	18,917	21,206	-11%
SSE Composite Index	2,750	3,091	-11%
Strait Times	2,481	3,213	-23%

**Currency Movement**

	31-Mar-2020	31-Mar-2019	Change
INR/USD	75.39	69.11	-9%
INR/GBP	93.08	90.51	-3%
INR/EUR	83.05	77.67	-7%
INR/100YEN	69.65	62.51	-11%

**Commodity Price Movement**

	31-Mar-2020	31-Mar-2019	Change
Brent (\$/bbl)	23.67	68.39	-65%
Gold (\$/ounce)	1,608	1,295	24%
Silver (\$/ounce)	13.98	15.11	-7%

**Fixed Income**

	31-Mar-2020	31-Mar-2019	Change in Absolute Value
Call Rate	3.8%	6.1%	-2.3%
91 Day T- Bill	4.2%	6.2%	-1.9%
364 Day T- Bill	4.5%	6.4%	-1.9%
CBLO	3.8%	6.5%	-2.7%
Repo	4.4%	6.3%	-1.9%
Reverse Repo	4.0%	6.0%	-2.0%
10 Year G-Sec	6.1%	7.4%	-1.2%
1 Year CD	5.1%	7.2%	-2.1%
US 10 Yr Bond	0.7%	2.4%	-1.7%

**Institutional Flow for FY 2019-2020 (Equity)**

	Purchase (₹ Cr.)	Sales (₹ Cr.)	Net (₹ Cr.)
FPIs Flows	1,489,155	1,483,005	6,150
MFs Flows	797,364	705,918	91,447

## **Sectoral Performance**

	<b>31-Mar-2020</b>	<b>31-Mar-2019</b>	<b>Change</b>
S&P BSE Auto	10,746	18,825	-43%
S&P BSE Bankex	22,050	34,142	-35%
S&P BSE Capital goods	10,979	18,472	-41%
S&P BSE Consumer durables	19,363	23,857	-19%
S&P BSE Fast Moving Consumer Goods	10,255	11,742	-13%
S&P BSE Healthcare	12,149	14,408	-16%
S&P BSE Information Technology	12,843	15,280	-16%
S&P BSE Metal	5,713	11,355	-50%
S&P BSE Oil & Gas	10,021	15,270	-34%
S&P BSE PSU	4,460	7,640	-42%
S&P BSE Realty	1,354	2,077	-35%

## **So how is FY2021 shaping up?**

### **Economic outlook**

As per The International Monetary Fund, India's Gross Domestic Product may contract by 4.5% in the 2020-21 financial year due to the lockdown imposed to fight the coronavirus pandemic. The coronavirus pandemic came at a time when India's economy was already slowing, due to persistent financial sector weaknesses. Even after the spread of the virus has slowed down, the recovery might remain weak due to a host of reasons like business confidence failing to improve, establishment closures and structural shifts in firm and household behavior which may cause supply chain disruptions and weakness in aggregate demand. The trajectory for FY 2021 hence largely depends on how the Covid-19 curve shapes up in India along with the probability of a successful discovery of a vaccine.

### **Equity market outlook:**

Global Markets ended on a sombre note in March as the world grappled with the widening spread of COVID-19. Indian Markets (Nifty 50 Index) witnessed a sharp decline of 23.2% - a large monthly decline since the Global Financial Crisis. In a bid to contain the spread of COVID-19, many countries announced lockdowns bringing economies to a grinding halt and raising concerns of severe slowdown. This led to further negative sentiments and risk aversion across asset classes.

India too saw a steep rise in the number of COVID-19 cases. As a measure to further prevent the spread of COVID-19, the Government of India too ordered a complete nationwide lockdown for 21 days starting March 25 leading to a sharp sell-off in Indian markets. The decision of a complete lockdown spooked FPIs and resulted in an outflow of ₹ 61,972 Crs.

In what can be called as an integrated effort to support growth, Global Central Banks announced a series of fiscal and monetary stimulus measures. The US Federal Reserve cut interest rates twice and announced US \$ 2 trillion stimulus package, the Bank of England (BoE) too cut rates and announced a new round of QE (Quantitative Easing) worth GBP 200 billion. On the domestic front, the RBI too came up with measures ranging from policy rate cut, Cash Reserve Ratio (CRR) cut to regulatory forbearance to mitigate the impact of lockdown on economy. The Monetary Policy Committee (MPC) cut policy rates by 75 bps to 4.4%. The RBI also cut CRR ratio from 4% to 3% for a year. The Finance Minister too announced several measures like cash transfers, free food grain, gas cylinders and interest free loans.

There is no book or recent historical precedent for the global growth shock which everyone is witnessing currently. Normally, crisis is the result of any economic and/or financial imbalances developed during expansion phase. However, the current crisis underlying cause remains a health crisis which is spreading in a globalized world from the start of the year. Finally, the Indian economy at this juncture is better placed in terms of fundamentals than previous crisis.

The divergence between Value and Growth stocks continues to prevail with select Megacaps still in the expensive zone. Currently, fundamentally sound value stocks are available at inexpensive valuations, providing good dividend yield and have better earnings visibility.

Unitholders may note that volatility is inherent to equities which need to be kept in mind while investing. As an investor, one must embrace volatility and be cognizant of their own asset allocation while investing.

### **Debt market outlook:**

RBI delivered on rate cuts with the Repo rate at record low levels. The short end of the curve rates collapsed with Treasury Bills (T-bills) and even Certificate of Deposits (CD) yields trading on par with reverse repo rates given excess system liquidity.

The long end of the yield curve was elevated due to government bond supply worries; however, the flattening of the curve has begun with the comfort of high liquidity and expectation of incremental support from RBI. Liquidity may rise sharply on government spending and RBI liquidity infusion and this may prompt the markets to play for any carry available at any segment of the curve. The yield flattening is expected to continue given that the economy will take a while to recover from lockdown.

RBI may undertake more measures to aid the transmission of rates and some of the measures which could have major impact are:

1. Measures to prevent banks from deploying large amount of surplus liquidity at RBI's reverse repo window.
2. Increase in HTM (Hold to Maturity) limit of bonds for banks

3. RBI may be buying large quantity of govt. bonds either through Open Market Operation (OMO) purchases or in the primary market to help improve demand-supply.
4. Ultra Long Term Repo Operations of 5 Years & above.

Going forward, continued improvement is expected in the financial markets, due to various measures undertaken by Government and RBI. These measures resulted in the cooling down of corporate bonds, but the markets expect that there is lot of space for the spread assets (AA Corporate Bonds) to compress.

These are interesting times or special situations which throw special opportunities for investment. Hence, the near term appears to be bullish for bond markets and that the yield curve in two to five years with combination of spread assets may provide better risk adjusted returns. Having said that, one should be cognizant of managing the liquidity, concentration, credit and duration.

### **C. Operations of the Schemes**

#### **1. Average Assets under Management (AAUM)**

The AAUM of the Mutual Fund for the quarter ended March 31, 2020 stood at ₹ 3,58,141.58 crore, while for the quarter ended March 31, 2019 the AAUM of the Mutual Fund was ₹ 3,21,281.17 crore.

As of March 31, 2020, the Fund comprised 72 open ended scheme which includes 21 Equity Oriented schemes, 16 Debt Oriented schemes, 6 Hybrid schemes, 2 Solution Oriented schemes (Retirement Fund having 4 plans there under, each having different portfolio), 3 Index Funds, 14 Exchange Traded Funds, 9 Fund of Funds investing domestically, 1 Fund of Funds scheme investing overseas and 143 close ended schemes.

During the year, the Fund has launched 3 Equity schemes, 3 Exchange Traded Funds and 2 Fund of Funds and 4 Fixed Maturity Plans. During the year, 25 Fixed Maturity Plans, 8 Capital Protection Oriented Plans, 9 Multiple Yield Plans and 8 Close Ended Equity Funds have matured.

#### **2. Operations and Consumer Service**

With a view to rendering timely and efficient customer service, the Investment Manager of the Fund, viz., ICICI Prudential Asset Management Company Ltd. ("the AMC") has been leveraging on its 208 branches including 97 functioning as official points of acceptance of transactions, as on March 31, 2020, effectively servicing the large client base. Additionally a dedicated contact center has been effective in providing investor support and redressing their grievances. The AMC's focus has always been on technological innovation for facilitating investors' convenience.

Considering the current situation due to COVID-19, investors may note that the operations of the AMC are being run uninterruptedly and the AMC continues to run its operations in a smooth manner. In these testing times, the various platforms offered through digital mode, enables the unit holder to transact in the schemes of the mutual fund.

#### **3. Scheme-wise commentary**

Unitholders are requested to note that considering the impact of COVID-19 pandemic on the economies, the domestic and global markets witnessed sharp decline towards the end of FY2020 which impacted the returns of equity oriented schemes. Subsequently, due to coordinated measures undertaken by global and domestic central banks and other fiscal measures, the markets recovered in the first quarter of the FY2021.

- **ICICI Prudential Dividend Yield Equity Fund**

ICICI Prudential Dividend Yield Equity Fund is an open-ended equity scheme that aims to provide medium to long term capital gains and/or dividend distribution by predominantly investing in a well-diversified portfolio of equity and equity related instruments of dividend yielding companies.

The Growth option of the scheme posted a return of -31.88% in FY2020. The benchmark, Nifty Dividend Opportunities 50 TRI, posted -25.69% for the period. The Scheme has underperformed the benchmark index mainly due to underweight position in consumer Non-durable sector and overweight position in Transport & logistics and Capital Goods sector.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 155.69 crore.

- **ICICI Prudential Focused Equity Fund**

ICICI Prudential Focused Equity Fund is an open-ended equity scheme that seeks to generate capital appreciation by investing in a concentrated portfolio of equity and equity related securities of up to 30 companies across market capitalization i.e. multicap.

The Growth option of the scheme posted a return of -25.32% in FY2020. The benchmark, S&P BSE 500 TRI, posted -26.27% for the period. The Scheme has outperformed the benchmark index mainly owing to underweight position in banking sector stocks and overweight position in telecom sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 595.67 crore.

- **ICICI Prudential India Opportunities Fund**

ICICI Prudential India Opportunities Fund is an open-ended equity scheme that seeks to generate long-term capital appreciation by investing in opportunities presented by special situations such as corporate restructuring, Government policy and/or regulatory changes, companies going through temporary unique challenges and other similar instances.

The Growth option of the scheme posted a return of -33.63% in FY2020. The benchmark, Nifty 500 TRI, posted -26.44% for the period. The stocks chosen by the Scheme are special situation related stocks and therefore are expected to perform over the long term period and FY 2020 was the first full year of the Scheme.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 2,207.59 crore.



- **ICICI Prudential Large & Mid Cap Fund**

ICICI Prudential Large & Mid Cap Fund is an open-ended equity scheme that seeks to generate long-term capital appreciation from a portfolio that is invested predominantly in equity and equity related securities of large-cap and mid-cap companies.

The Growth option of the scheme posted a return of -28.47% in FY2020. The benchmark, Nifty LargeMidcap 250 TRI, posted -27.29% for the period. The Scheme has underperformed the benchmark index mainly owing to underweight position in Consumer and oil & gas sector and overweight position in power utilities sector.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 3,107.54 crore.

- **ICICI Prudential Midcap Fund**

ICICI Prudential Midcap Fund is an open-ended equity scheme that seeks to seek to generate capital appreciation by actively investing in diversified mid cap stocks.

The Growth option of the scheme posted a return of -32.96% in FY2020. The benchmark, Nifty Midcap 150 TRI, posted -29.88% for the period. The Scheme has underperformed the benchmark index mainly owing to underweight position in hotel and tour sector and underweight position in gas sector.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 1,796.61 crore.

- **ICICI Prudential Multicap Fund**

ICICI Prudential Multicap Fund is an open-ended equity scheme that seeks to generate capital appreciation through investments in equity & equity related instrument across large cap, mid cap and small cap stocks of various industries.

The Growth option of the scheme posted a return of -30.11% in FY2020. The benchmark, S&P BSE 500 TRI, posted -26.27% for the period. The Scheme has underperformed the benchmark index mainly owing to overweight position in metal sector stocks and underweight position in few large cap stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 4,983.44 crore.

- **ICICI Prudential Smallcap Fund**

ICICI Prudential Smallcap Fund is an open-ended equity scheme that seeks to generate capital appreciation by predominantly investing in equity and equity related securities of small cap stocks.

The Growth option of the scheme posted a return of -28.08% in FY2020. The benchmark, Nifty Smallcap 250 TRI, posted -39.97% for the period. The Scheme has outperformed the benchmark index with a mix of good long-term ideas and avoiding lower performing stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹1,002.27 crore.

- **ICICI Prudential Banking & Financial Services Fund**

ICICI Prudential Banking & Financial Services Fund is an open-ended sectoral scheme that seeks to generate long-term capital appreciation to unit holders from a portfolio that is invested predominantly in equity and equity related securities of companies engaged in banking and financial services.

The Growth option of the scheme posted a return of -37.78 % in FY2020. The benchmark, Nifty Financial Services TRI, posted -25.13% for the period. The Scheme had relatively underperformed the benchmark index, as there was a significant dispersion in the valuation of quality names vis-à-vis value names in the sector and the Scheme had reasonable tilt towards value stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 3,368.47 crore.

- **ICICI Prudential Exports and Services Fund**

ICICI Prudential Exports and Services Fund is an open-ended equity scheme that seeks to generate capital appreciation and income distribution to unit holders by investing predominantly in equity/equity related securities of the companies belonging to Exports & Services industry.

The Growth option of the scheme posted a return of -26.42% in FY2020. The benchmark, S&P BSE 500 TRI, posted -26.27% for the period. The Scheme has marginally underperformed the benchmark index mainly owing to overweight position in power and transport sector stocks and underweight position in consumer sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 610.33 crore.

- **ICICI Prudential FMCG Fund**

ICICI Prudential FMCG Fund is an open-ended equity scheme investing in FMCG sector. The scheme aims to generate long term capital appreciation through investments made primarily in equity & equity related securities forming part of FMCG sector.

The Growth option of the scheme posted a return of -12.47% in FY2020. The benchmark, Nifty FMCG TRI, posted -8.55% for the period. The Scheme has underperformed the benchmark index mainly owing to differences in weightage between scheme portfolio and index with respect to few large cap stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 499.69 crore.

- **ICICI Prudential Technology Fund**

ICICI Prudential Technology Fund is an open-ended equity scheme investing in Technology and technology related sectors. The Scheme seeks to generate long-term capital appreciation by creating a portfolio that is invested in equity and equity related securities of technology and technology dependent companies.

The Growth option of the scheme posted a return of -20.85% in FY2020. The benchmark, S&P BSE Information Technology TRI, posted -13.96 % for the period. The Scheme has underperformed the benchmark index mainly owing to underweight position in a large cap stock and overweight position in few mid cap and small cap stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 391.19 crore.

- **ICICI Prudential US Bluechip Equity Fund**

ICICI Prudential US Bluechip Equity Fund is an open-ended equity scheme which provides long term capital appreciation to investors by primarily investing in equity and equity related securities of companies listed on recognized stock exchanges in the United States of America. The Scheme shall also invest in ADRs/GDRs issued by Indian and foreign companies.

The Growth option of the scheme posted a return of 6.69% in FY2020. The benchmark, S&P 500, posted 1.37 % for the period. The overweight position in healthcare and consumer sector stocks coupled with underweight position in communication services and energy sector stocks were the key reasons of the outperformance. This was partially offset by underweight stance in technology.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 489.04 crore.

- **ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D.) Fund**

ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D.) Fund is an open-ended equity scheme that seeks to generate long-term capital appreciation by creating a portfolio that is invested in equity and equity related securities of pharma, healthcare, hospitals, diagnostics, wellness and allied companies.

The Growth option of the scheme posted a return of -5.87% in FY2020. The benchmark, S&P BSE Healthcare TRI, posted -14.63% for the same period. The Scheme has outperformed the benchmark index mainly due to its underweight position in leveraged pharma sector stocks and overweight position in life insurance sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 1,221.60 crore.

- **ICICI Prudential Manufacture in India Fund**

ICICI Prudential Manufacture in India Fund is an open-ended equity scheme that seeks to generate long term capital appreciation by creating a portfolio that is invested predominantly in equity and equity related securities of companies engaged in manufacturing theme.

The Growth option of the scheme posted a return of -31.32% in FY2020. The benchmark, S&P BSE India Manufacturing TRI, posted -22.90 % for the same period. The scheme has underperformed the benchmark index mainly due to its underweight position in FMCG and oil & gas sector stocks and overweight position in metal and Industrial sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 820.72 crore.

- **ICICI Prudential MNC Fund**

ICICI Prudential MNC Fund is an open-ended equity scheme that seeks to generate long term capital appreciation by investing predominantly in equity and equity related securities within MNC space.

The Growth option of the scheme posted a return of -18.60% from the inception date (June 17, 2019) till March 31, 2020. The benchmark, Nifty MNC TRI, posted -13.24% for the same period. The Scheme has underperformed the benchmark index mainly owing to underweight position in FMCG sector stocks which is trading close to high valuation with close to peak margin. Valuations got stretched further with corporate tax cut by Government of India in September 2019.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 599.45 crore.

- **ICICI Prudential Bharat Consumption Fund**

ICICI Prudential Bharat Consumption Fund is an open-ended equity scheme that seeks to generate long term capital appreciation by investing primarily in Equity and Equity related securities of companies engaged in consumption and consumption related activities or allied sectors.

The Growth option of the scheme posted a return of -16.00% from the inception date (April 12, 2019) till March 31, 2020. The benchmark, Nifty India Consumption TRI, posted -15.51% for the same period. The Scheme has marginally underperformed mainly due to overweight position in auto ancillary sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 487.73 crore.

- **ICICI Prudential Commodities Fund**

ICICI Prudential Commodities Fund is an open-ended equity scheme that seeks to generate long term capital appreciation by creating a portfolio that is invested predominantly in equity and equity related securities of companies engaged in commodity and commodity related sectors

The Growth option of the scheme posted a return of -32.60% from the inception date (October 15, 2019) till March 31, 2020. The benchmark, Nifty Commodities TRI, posted -29.76% for the same period. The Scheme has underperformed the benchmark index mainly owing to overweight

position in Metal and Cement sector stocks. Metal stocks are closer to the bottom of its business cycle. They are also trading at very attractive valuations. However, due to sudden lockdown these stocks fell very sharply leading to underperformance.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 19.96 crore.

- **ICICI Prudential Long Term Wealth Enhancement Fund**

ICICI Prudential Long Term Wealth Enhancement Fund is a ten year close-ended equity linked savings scheme that seeks to generate long term capital appreciation to unit holders from a portfolio that is invested predominantly in equity and equity related securities of large capitalization companies and emerging mid cap companies along with income tax benefit.

The Growth option of the scheme posted a return of -26.64% in FY2020. The benchmark, Nifty LargeMidcap 250 TRI, posted -27.29% for the period. The Scheme has marginally outperformed the benchmark index mainly owing to its overweight position in Retail sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 41.46 crore.

- **ICICI Prudential Balanced Advantage Fund**

ICICI Prudential Balanced Advantage Fund is an open -ended Dynamic Asset Allocation Fund that seeks to provide capital appreciation and income distribution to the investors by using equity derivatives strategies, arbitrage opportunities and pure equity investments. The Scheme also invests in fixed income securities.

The Growth Option of the scheme posted a return of -13.40% in FY2020. The Benchmark, CRISIL Hybrid 50+50 - Moderate Index posted -7.25% for the period. The Scheme has underperformed the benchmark index mainly owing to overweight position in auto ancillary sector stocks, which are expected to give benefit to the Scheme over the long term period.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 27,511.97 crore.

- **ICICI Prudential Equity - Arbitrage Fund**

ICICI Prudential Equity - Arbitrage Fund is an open -ended Hybrid Scheme that seeks to generate low volatility returns by using arbitrage and other derivative strategies in equity markets and investments in debt and money market instruments.

The Growth Option of the scheme posted a return of 6.21% in FY2020. The Benchmark, Nifty 50 Arbitrage Index posted 6.04% for the period. The Scheme marginally outperformed the benchmark index mainly on account announcement of higher dividends than expectations by some of the investee companies.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 12,764.28 crore.

- **ICICI Prudential Child Care Fund (Gift Plan)**

ICICI Prudential Child Care Fund (Gift Plan) is an open-ended fund for investment for children, having lock-in for at least 5 years or till the child attains age of majority (whichever is earlier).

The primary investment objective of the Scheme is to seek generation of capital appreciation by creating a portfolio that is invested in equity and equity related securities and debt and money market instruments.

The Growth option of the scheme posted a return of -20.58% in FY2020. The benchmark, Nifty 50 Hybrid Composite Debt 65:35 Index, posted -12.78% for the period. The Scheme has underperformed the benchmark index mainly owing to higher exposure to equity stocks as compared to benchmark index and further overweight position in power sector stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 633.25 crore.

- **ICICI Prudential Retirement Fund**

ICICI Prudential Retirement Fund is an open-ended retirement solution oriented scheme having a lock-in of 5 years or till retirement age (whichever is earlier).

The investment objective of the Retirement Fund is to provide capital appreciation and income to the investors which will help to achieve retirement goals by investing in a mix of securities comprising of equity, equity related instruments, fixed income securities and other securities.

Pure Equity Plan: To generate long-term capital appreciation and income generation to investors from a portfolio that is predominantly invested in equity and equity related securities. However, there is no assurance or guarantee that the investment objective of the plan would be achieved.

The Growth option of the scheme posted a return of -28.33% in FY2020. The benchmark, Nifty 500 TRI, posted -26.44% for the same period. The Scheme has underperformed the benchmark index mainly owing to underweight position in one of the large cap stock and an underweight position in the consumer and leveraged financial stocks.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 63.02 crore.

- **ICICI Prudential Nifty Index Fund**

ICICI Prudential Nifty Index Fund is an open-ended index linked growth scheme seeking to track the returns of the Nifty 50 through investments in a basket of stocks drawn from the constituents of the above index. The objective of the Scheme is to invest in companies whose securities are included in Nifty 50 and subject to tracking errors, to endeavor to achieve the returns of the above index as closely as possible. This would be done by investing in almost all the stocks comprising the Nifty 50 in approximately the same weightage that they represent in Nifty 50. The Scheme will not seek to outperform the Nifty 50 or to underperform it. The objective is that the performance of the NAV of the Scheme should closely track the performance of the Nifty 50 over the same period, subject to tracking error.

The scheme posted a return of -25.21% in FY2020. The benchmark, Nifty 50 TRI, posted -24.85% for the period. The Scheme has marginally underperformed the benchmark index mainly owing to transaction cost and impact cost incurred at the time of rebalancing of the Scheme to align it with the benchmark index and other scheme expenses.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 569.30 crore.

- **ICICI Prudential Nifty Next 50 Index Fund**

ICICI Prudential Nifty Next 50 Index Fund is an open-ended index linked growth scheme that seeks to invest in companies whose securities are included in Nifty Next 50 Index (the Index) and to endeavor to achieve the returns of the above index as closely as possible, though subject to tracking error. The Scheme will not seek to outperform the Nifty Next 50. The objective is that the performance of the NAV of the Scheme should closely track the performance of the Nifty Next 50 over the same period, subject to tracking error.

The scheme posted a return of -25.17% in FY2020. The benchmark, Nifty Next 50 TRI, posted -24.20% for the period. The Scheme has marginally underperformed the benchmark index mainly owing to transaction cost and impact cost incurred at the time of rebalancing of the Scheme to align it with the benchmark index and other scheme expenses.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 667.78 crore.

- **ICICI Prudential Sensex Index Fund**

ICICI Prudential Sensex Index Fund is an open-ended index linked growth scheme that seeks to track the returns of S&P BSE Sensex Index through investments in a basket of stocks drawn from the constituents of the above index.

The objective of the Scheme is to invest in companies whose securities are included in S&P BSE Sensex Index and subject to tracking errors, to endeavor to achieve the returns of the above index as closely as possible. This would be done by investing in all the stocks comprising the S&P BSE Sensex Index in approximately the same weightage that they represent in S&P BSE Sensex Index. The Scheme will not seek to outperform the S&P BSE Sensex Index or to underperform it. The objective is that the performance of the of the Scheme should closely track the performance of the S&P BSE Sensex Index over the same period, subject to tracking error.

The scheme posted a return of -22.55 % in FY2020. The benchmark, S&P BSE Sensex TRI, posted -22.69 % for the period. Return of the Scheme was in-line with the benchmark return.

The AAUM of the scheme during the last quarter of FY2020 was ₹ 59.77 crore.

## **II. BRIEF BACKGROUND OF SPONSORS, TRUST, TRUSTEE AND THE AMC**

### **A. Sponsors**

#### **1. ICICI Bank Limited**

ICICI Bank is India's largest private sector bank with total assets of ₹ 10,983.65 billion at March 31, 2020 and profit after tax ₹ 79.31 billion for the year ended March 31, 2020. ICICI Bank as at March 31, 2020 had a network of 5,324 Branches and 15,688 ATM's across India. ICICI Bank and their subsidiaries offers a wide range of banking and financial services including commercial banking, retail banking, project and corporate finance, working capital finance, insurance, venture capital and private equity, investment banking, broking and treasury products and services.

ICICI Bank's international footprint consists of subsidiaries in the United Kingdom and Canada, branches in the United States, Singapore, Bahrain, Hong Kong, Sri Lanka, Dubai International Finance Centre, South Africa and China and representative offices in the United Arab Emirates, Bangladesh, Malaysia and Indonesia. The UK subsidiary of the Bank has seven branches in UK and one branch in Germany.

ICICI Bank Limited is a publicly held banking company incorporated under the Companies Act of 1956, India. The equity shares of the Bank are listed on Bombay Stock Exchange and National Stock Exchange; while the American depository receipts are listed on New York stock exchange.

#### **2. Prudential plc**

Prudential plc is an Asia-led portfolio of businesses focused on structural growth markets. The business helps individuals to de-risk their lives and deal with their biggest financial concerns through life and health insurance, and retirement and asset management solutions. Prudential plc has 20 million customers and is listed on stock exchanges in London, Hong Kong, Singapore and New York. Prudential plc is not affiliated in any manner with Prudential Financial, Inc. a company whose principal place of business is in the United States of America, nor with the Prudential Assurance Company, a subsidiary of M&G plc, a company incorporated in the United Kingdom.

A brief Group overview is stated below:

#### **Prudential Corporation Asia**

Asia's long-term structural trends are powerful drivers of sustainable growth. These trends underpin a strong and growing demand for savings and protection across the region, as markets are challenged by low life insurance penetration and a large pension funding gap. Prudential Corporation Asia are well placed to capture this opportunity, providing products that meet our customers' needs and gaining political and regulatory support in our markets.

#### **Africa**

They have also continued to expand their presence in Africa, one of the world's most under penetrated markets where the population is forecast to grow by a billion by 2045. In July, they completed our acquisition of a 51 per cent stake in a leading life insurer, Group Beneficial, operating in West and Central Africa. They now operate in eight markets with a population of almost 400 million.

## US

In the US, an extra 22 million individuals will need retirement solutions by 2035<sup>1</sup>, and pension provision has been declining<sup>2</sup>. They see a growing demand for retirement products and an ongoing shift to fee-based solutions. Jackson is evolving its product range to address these needs.

Financial Performance (past three years):

Description	Year ended December 31		
	2017 (GBP in million)	2018 (USD in million)	2019 (USD in million)
Total revenue, net of reinsurance	86,390	35,845	93,736
Profit After Tax and minority interests	2,389	4,023	792
Shareholder Equity	16,087	21,968	19,477
Assets under Management (£ billion)	669	455	543

### B. ICICI Prudential Mutual Fund

ICICI Prudential Mutual Fund (“the Mutual Fund” or “the Fund”) was set up as a Trust by the Settlers, in accordance with the provisions of the Indian Trusts Act, 1882 under a Trust Deed dated August 25, 1993 (since amended from time to time). The Trust Deed is registered under the Indian Registration Act, 1908. The Fund is sponsored by ICICI Bank Limited and Prudential plc. (through its wholly owned subsidiary Prudential Corporation Holdings Limited). ICICI Bank Limited had contributed ₹ 10 lakh and Prudential plc, with the approval of Reserve Bank of India, had contributed ₹ 12.2 lakh towards the corpus of the Fund. The Trustee has entered into an Investment Management Agreement dated September 3, 1993 with the AMC to function as the Investment Manager for all the schemes of the Fund. The Fund was registered with SEBI on October 12, 1993.

### C. ICICI Prudential Trust Limited

ICICI Prudential Trust Limited (“the Company/Trustee”) is the Trustee of the Fund (including its schemes). It was incorporated under the Companies Act, 1956, in terms of a Trust Deed executed on August 25, 1993. The Trust Deed is registered under the Indian Registration Act, 1908.

The Trustee is the exclusive owner of the Fund and holds the same in trust for the benefit of the unit holders. The Trustee has been discharging its duties and carrying out the responsibilities as provided in Securities and Exchange Board of India (Mutual Fund) Regulations, 1996 (the “Regulations”) and the Trust Deed. The Trustee seeks to ensure that the Fund and the schemes floated thereunder are managed by the AMC in accordance with the Trust Deed, the Regulations, and the directions and guidelines issued by the Securities & Exchange Board of India (SEBI), the stock exchanges concerned, the Association of Mutual Funds in India and other Regulatory agencies.

#### 1. Share Capital

ICICI Bank Limited holds 51% of the share capital of the Trustee; Prudential plc, through its wholly owned subsidiary, Prudential Corporation Holdings Limited, holds the remaining 49%.

#### 2. Board of Directors

As of March 31, 2020, the Board comprised:

Sr. No.	Name of the Director	Designation
1.	Mr. P.H. Ravikumar	Independent Director <sup>1</sup>
2.	Mr. Jyotin Mehta	Independent Director
3.	Mr. Ranganayaulu Jagarlamudi	Independent Director
4.	Mr. Pramod Rao	Nominee Director (ICICI Bank Limited)
5.	Mr. Lakshman Kumar Mylavarapu	Nominee Director (Prudential Corporation Holdings Limited) <sup>2</sup>
1. Mr. P.H. Ravikumar has been designated as Chairman of the Company with effect from May 1, 2020.		
2. The ultimate holding Company of Prudential Corporation Holdings Limited is Prudential Plc.		

### D. ICICI Prudential Asset Management Company Limited

ICICI Prudential Asset Management Company Limited (“the AMC”), a company incorporated on June 22, 1993 under the Companies Act, 1956, was registered with SEBI on October 13, 1993 to act as the Investment Manager to all the Schemes of ICICI Prudential Mutual Fund. The Trustee has entered into an Investment Management Agreement dated September 3, 1993 with the AMC to function as the Investment Manager for all the schemes of the Fund.

The AMC has been providing portfolio management services (PMS) since October 2000, with the requisite approvals/permissions from SEBI and the Trustee as envisaged under Regulation 24(b) of the Regulations. The AMC under its portfolio management license has also been appointed as the investment manager by the Trust for managing the scheme launched under ICICI Prudential Venture Capital Fund. The AMC is also providing investment management services to Category II and Category III Alternative Investment Funds registered under SEBI (Alternative Investment Funds) Regulations, 2012. The AMC is also rendering nonbinding advisory services to Foreign Portfolio Investors (FPIs) which are registered under SEBI (Foreign Portfolio Investors) Regulations, 2019 (FPI Regulations). In addition to this, the AMC shall also be providing investment management services including dealing services to offshore funds which are registered as FPIs under FPI Regulations.

1 United Nations, Department of Economic and Social Affairs, Population Division (2019). World Population Prospects 2019, Online Edition. Rev. 1. Population aged 65 and over as at 2019 versus 2035.

2 US Department of Labor, ‘Private Pension Plan Bulletin Historical Tables and Graphs 1975 – 2017’, September 2019.

These activities are not in conflict with the activities of the Mutual Fund. In the situations of unavoidable conflicts of interest, the AMC undertakes that it shall satisfy itself that adequate disclosures are made of sources of conflict, potential 'material risk or damage' to investor interest and develop parameters for the same.

The AMC has secured a leading position in the Indian mutual fund industry with quarterly AAUM of ₹ 3,58,141.58 crore for the quarter ended March 31, 2020. The AMC manages a comprehensive range of Schemes to meet the varying investment needs of its investors spread across various cities through 468 branches (including 260 branches operated through the Registrar of the Mutual Fund) in the country as on March 31, 2020.

### 1. Share Capital

ICICI Bank Limited holds 51% of the share capital of the AMC; Prudential plc, through its wholly owned subsidiary, Prudential Corporation Holdings Limited, holds the remaining 49%.

### 2. Board of Directors

As of March 31, 2020, the Board comprised:

Sr. No.	Name of the Director	Designation
1.	Mr. C.R. Muralidharan <sup>1</sup>	Chairman and Independent Director
2.	Mr. Suresh Kumar	Independent Director
3.	Ms. Lakshmi Venkatachalam	Independent Director
4.	Mr. Ved Prakash Chaturvedi	Independent Director
5.	Mr. Dilip Karnik	Independent Director
6.	Mr. Anup Bagchi	Nominee Director (ICICI Bank Limited) <sup>2</sup>
7.	Mr. Sandeep Batra	Nominee Director (ICICI Bank Limited)
8.	Mr. Bernard Teo	Nominee Director (Prudential Corporation Holdings Limited) <sup>3</sup>
9.	Mr. Nimesh Shah	Managing Director
10.	Mr. Sankaran Naren	Executive Director
1. Mr. C.R. Muralidharan has retired as an Independent Director and Chairman of the AMC with effect from May 20, 2020.		
2. Mr. Anup Bagchi has been designated as Chairman of the AMC with effect from May 20, 2020.		
3. The ultimate holding Company of Prudential Corporation Holdings Limited is Prudential Plc.		
4. Mr. Naved Masood has been appointed as an Independent Director on the Board of the AMC with effect from May 2, 2020.		

### III. INVESTMENT OBJECTIVES OF THE SCHEMES

Investment Objectives of the schemes are as under:

#### • ICICI Prudential Large & Mid Cap Fund

The Scheme aims to generate long-term capital appreciation from a portfolio that is invested predominantly in equity and equity related securities of largecap and midcap companies.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

#### • ICICI Prudential Multicap Fund

The Scheme aims to generate capital appreciation through investments in equity & equity related instrument across large cap, mid cap, and small cap stocks of various industries.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

#### • ICICI Prudential Midcap Fund

The primary objective of the Scheme is to seek to generate capital appreciation by actively investing in diversified mid cap stocks.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

#### • ICICI Prudential Smallcap Fund

The primary objective of the Scheme is to seek to generate capital appreciation by predominantly investing in equity and equity related securities of small cap stocks.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

#### • ICICI Prudential Focused Equity Fund

The Scheme aims to generate capital appreciation by investing in a concentrated portfolio of equity and equity related securities of up to 30 companies across market capitalization i.e. focus on multicap.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Dividend Yield Equity Fund**

The investment objective of ICICI Prudential Dividend Yield Equity Fund is to provide medium to long term capital gains and/or dividend distribution by predominantly investing in a well-diversified portfolio of equity and equity related instruments of dividend yielding companies.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Bharat Consumption Fund**

The Scheme aims to generate long-term capital appreciation by investing primarily in Equity and Equity related securities of companies engaged in consumption and consumption related activities or allied sectors.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Banking & Financial Services Fund**

The Scheme aims to generate long-term capital appreciation to unit holders from a portfolio that is invested predominantly in equity and equity related securities of companies engaged in banking and financial services.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Exports and Services Fund**

The Scheme aims to generate capital appreciation and income distribution to unit holders by investing predominantly in equity/equity related securities of the companies belonging to Exports & Services industry.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential FMCG Fund**

The Scheme aims to generate long term capital appreciation through investments made primarily in equity & equity related securities forming part of FMCG sector.

However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Technology Fund**

The Scheme aims to generate long-term capital appreciation by creating a portfolio that is invested in equity and equity related securities of technology and technology dependent companies.

However, there is no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential US Bluechip Equity Fund**

The investment objective of ICICI Prudential US Bluechip Equity Fund is to provide long term capital appreciation to investors by primarily investing in equity and equity related securities of companies listed on recognized stock exchanges in the United States of America. The Scheme shall also invest in ADRs/GDRs issued by Indian and foreign companies.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved

- **ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D.) Fund**

The Scheme aims to generate long-term capital appreciation by creating a portfolio that is invested in equity and equity related securities of pharma, healthcare, hospitals, diagnostics, wellness and allied companies.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Manufacture in India Fund**

The investment objective of the scheme is to generate long term capital appreciation by creating a portfolio that is invested predominantly in equity and equity related securities of companies engaged in manufacturing theme.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential India Opportunities Fund**

The Scheme aims to generate long-term capital appreciation by investing in opportunities presented by special situations such as corporate restructuring, Government policy and/or regulatory changes, companies going through temporary unique challenges and other similar instances.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential MNC Fund**

The primary objective of the scheme is to generate long term capital appreciation by investing predominantly in equity and equity related securities within MNC space.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Commodities Fund**

The Scheme aims to generate long-term capital appreciation by creating a portfolio that is invested predominantly in Equity and Equity related securities of companies engaged in commodity and commodity related sectors.

However, there can be no assurance or guarantee that the investment objective of the scheme would be achieved.

- **ICICI Prudential Long Term Wealth Enhancement Fund**

The Scheme is a ten year close ended equity linked savings scheme that seeks to generate long-term capital appreciation to unit-holders from a portfolio that is invested predominantly in equity and equity related securities of large capitalization companies and emerging mid cap companies along with income tax benefit.

However, there can be no assurance that the investment objectives of the Scheme will be realized.

- **ICICI Prudential Equity Arbitrage Fund**

The Scheme aims to generate low volatility returns by using arbitrage and other derivative strategies in equity markets and investments in debt and money market instruments.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Balanced Advantage Fund**

The Scheme aims to provide capital appreciation and income distribution to the investors by using equity derivatives strategies, arbitrage opportunities and pure equity investments. The Scheme also invests in fixed income securities.

However there can be no assurance or guarantee that the investment objectives of the scheme would be achieved.

- **ICICI Prudential Child Care Fund (Gift Plan)**

The primary investment objective of the Scheme is to seek generation of capital appreciation by creating a portfolio that is invested in equity and equity related securities and debt and money market instruments.

However, there can be no assurance or guarantee that the investment objective of the Scheme would be achieved.

- **ICICI Prudential Retirement Fund**

The investment objective of the scheme is to provide capital appreciation and income to the investors which will help to achieve retirement goals by investing in a mix of securities comprising of equity, equity related instruments, fixed income securities and other securities.

However, there can be no assurance or guarantee that the investment objective of the Scheme will be achieved.

**ICICI Prudential Retirement Fund - Pure Equity Plan:**

To generate long-term capital appreciation and income generation to investors from a portfolio that is predominantly invested in equity and equity related securities.

However, there is no assurance or guarantee that the investment objective of the plan would be achieved.

- **ICICI Prudential Nifty Index Fund**

An open-ended index linked growth scheme seeking to track the returns of the Nifty 50 through investments in a basket of stocks drawn from the constituents of the above index. The objective of the Scheme is to invest in companies whose securities are included in Nifty and subject to tracking errors, to endeavor to achieve the returns of the above index as closely as possible. This would be done by investing in almost all the stocks comprising the Nifty 50 in approximately the same weightage that they represent in Nifty 50. The Scheme will not seek to outperform the Nifty 50 or to underperform it. The objective is that the performance of the NAV of the Scheme should closely track the performance of the Nifty 50 over the same period, subject to tracking error.

However, there is no assurance or guarantee that the investment objective of the scheme shall be achieved.

- **ICICI Prudential Nifty Next 50 Index Fund**

The investment objective of the Scheme is to invest in companies whose securities are included in Nifty Next 50 TRI (the Index) and to endeavor to achieve the returns of the above index as closely as possible, though subject to tracking error. The Scheme will not seek to outperform the Nifty Next 50. The objective is that the performance of the NAV of the Scheme should closely track the performance of the Nifty Next 50 over the same period subject to tracking error.

However, there is no assurance that the investment objective of the Scheme will be realized.

- **ICICI Prudential Sensex Index Fund**

An open-ended index linked growth scheme seeking to track the returns of S&P BSE SENSEX TRI through investments in a basket of stocks drawn from the constituents of the above index. The objective of the Scheme is to invest in companies whose securities are included in S&P BSE SENSEX TRI and subject to tracking errors, to endeavor to achieve the returns of the above index as closely as possible. This would be done by investing in all the stocks comprising the S&P BSE SENSEX TRI in approximately the same weightage that they represent in S&P BSE SENSEX TRI. The Scheme will not seek to outperform the S&P BSE SENSEX TRI or to underperform it. The objective is that the performance of the NAV of the Scheme should closely track the performance of the S&P BSE SENSEX TRI over the same period, subject to tracking error.

However, there can be no assurance that the investment objective of the Scheme will be realized.



#### IV. SIGNIFICANT ACCOUNTING/ VALUATION POLICIES

Accounting policies are in accordance with Securities and Exchange Board of India (Mutual Fund) Regulations, 1996. The changes in the accounting/valuation policies, if any, are stated in the notes to the financial statements.

#### V. UNCLAIMED DIVIDEND AND REDEMPTION

Unclaimed Dividend/ Redemption normally represent the time lag between funding of the respective accounts (with bank) by the AMC and the time taken for presentation of redemption/ dividend warrant by the investors. Unclaimed Dividend/Redemption amount in respect of Schemes/ Plans as on March 31, 2020, is as follows:

Scheme Name	Unclaimed Dividend		Unclaimed Redemption	
	No. of Investors	Amount (₹)	No. of Investors	Amount (₹)
ICICI Prudential Balanced Advantage Fund	1,468	28,455,994.41	369	10,849,614.76
ICICI Prudential Banking and Financial Services Fund	167	1,551,301.05	171	2,223,378.16
ICICI Prudential Bharat Consumption Fund	-	-	294	134,597.70
ICICI Prudential Child Care Fund (Gift Plan)	1	70,297.54	44	1,011,628.55
ICICI Prudential Commodities Fund	-	-	1	2,025.31
ICICI Prudential Dividend Yield Equity Fund	29	257,013.91	8	284,898.49
ICICI Prudential Equity Arbitrage Fund	211	2,084,585.87	89	1,924,877.06
ICICI Prudential Exports and Services Fund	792	6,577,252.78	206	3,082,699.53
ICICI Prudential FMCG Fund	114	860,310.22	36	835,230.89
ICICI Prudential Focused Equity Fund	41	247,468.16	15	370,314.31
ICICI Prudential India Opportunities Fund	-	-	20	95,494.67
ICICI Prudential Large & Mid Cap Fund	769	10,419,099.32	263	13,276,362.36
ICICI Prudential Long Term Wealth Enhancement Fund	-	-	8	1,470.64
ICICI Prudential Manufacture in India Fund	-	-	6	287,499.05
ICICI Prudential MidCap Fund	1,321	8,166,672.85	289	7,606,123.33
ICICI Prudential MNC Fund	-	-	3	19,186.87
ICICI Prudential Multicap Fund	1,894	11,967,532.68	274	18,754,357.00
ICICI Prudential Nifty Index Fund	1	17,622.84	12	463,323.12
ICICI Prudential Nifty Next 50 Index Fund	1	23,963.12	30	168,809.31
ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	-	-	8	322,424.17
ICICI Prudential Sensex Index Fund	-	-	2	215.51
ICICI Prudential Smallcap Fund	422	3,967,617.47	109	2,923,638.05
ICICI Prudential Technology Fund	1,677	19,594,137.13	662	11,355,368.66
ICICI Prudential US Bluechip Equity Fund	1	43,700.43	15	101,456.91

#### VI. DISCLOSURE OF INVESTOR COMPLAINTS

The disclosure regarding the redressal of Investor Complaints received against the Fund during the FY2020 is as under:

Name of the Mutual Fund – ICICI Prudential Mutual Fund												
Total Number of Folios: 93,84,601												
Com-plaint Code	Type of Complaint#	(a) No. of complaints pending at the beginning of the Year	(b) No. of Complaints received during the year	Action on (a) and (b)								
				Resolved				Non Action-able *	Pending			
				Within 30 days	30-60 days	60-180 days	Beyond 180 days		0-3 months	3-6 months	6-9 months	9-12 months
I A	Non receipt of Dividend on Units	-	26	26	-	-	-	-	-	-	-	-
I B	Interest on delayed payment of Dividend	-	-	-	-	-	-	-	-	-	-	-
I C	Non receipt of Redemption Proceeds	1	41	42	-	-	-	-	-	-	-	-
I D	Interest on delayed payment of Redemption	-	-	-	-	-	-	-	-	-	-	-
II A	Non receipt of Statement of Account/Unit Certificate	-	1	1	-	-	-	-	-	-	-	-
II B	Discrepancy in Statement of Account	5	497	500	-	-	-	-	2	-	-	-
II C	Data corrections in Investor details	6	1,063	1,066	-	-	-	-	3	-	-	-
II D	Non receipt of Annual Report/ Abridged Summary	-	-	-	-	-	-	-	-	-	-	-
III A	Wrong switch between Schemes	-	161	156	-	-	-	-	5	-	-	-

III B	Unauthorized switch between Schemes	-	-	-	-	-	-	-	-	-	-	-
III C	Deviation from Scheme attributes	-	-	-	-	-	-	-	-	-	-	-
III D	Wrong or excess charges/load	-	-	-	-	-	-	-	-	-	-	-
III E	Non updation of changes viz. address, PAN, bank details, nomination, etc	1	517	518	-	-	-	-	-	-	-	-
IV	Others	-	34	34	-	-	-	-	-	-	-	-
	<b>Total</b>	<b>13</b>	<b>2,340</b>	<b>2,343</b>	-	-	-	-	<b>10</b>	-	-	-
ICICI Prudential Mutual Fund for FY 2019-2020 Complaints Summary												
<b>Particulars</b>											<b>Count</b>	
Total Complaints Received											2340	
Total Number of Folios											9,384,601	
Percentage Complaints Against Folios											0.02%	
# Including against its authorized persons/ distributors/ employees. etc.												
* Non actionable means the complaint that are incomplete / outside the scope of the mutual fund.												

## VII. CORPORATE GOVERNANCE

Pursuant to SEBI circular no. SEBI/IMD/CIR no. 18/198647/2010 dated March 15, 2010 and subsequent clarifications/circulars issued by SEBI, the Fund has formulated a policy for exercise of voting rights by the AMC in investee companies (i.e. companies in whose securities schemes of the Fund have invested).

The AMC has disclosed the Voting Policy and the votes exercised during FY2020 on a quarterly and annual basis along with Scrutinizer's certification on website of the AMC, viz. <https://www.icicipruamc.com/voting-policy>

## VIII. STATUTORY INFORMATION

- The Sponsors are not responsible or liable for any loss resulting from the operation of the schemes of the Fund beyond their initial contribution of ₹ 22.2 lakh for setting up the Fund and accretions/additions thereto.
- The price and redemption value of the units, and income from them, can go up as well as down with fluctuations in the market values of the underlying investments.
- Full Annual Report shall be disclosed on the website ([www.icicipruamc.com](http://www.icicipruamc.com)) and shall be available for inspection at the Central Service Office of the AMC. Present and prospective unit holder can contact the Central Service Office of the AMC to obtain a physical copy of the trust deed and the full Annual Report of the Fund/ AMC. It may be noted that due to lockdown imposed on account of COVID-19 pandemic the office may remain closed. In such case, investor may reach out to us at [enquiry@icicipruamc.com](mailto:enquiry@icicipruamc.com).

## IX. RISK MANAGEMENT SYSTEM

As mandated by a SEBI circular dated September 30, 2002, and in line with the policies of the sponsors of the Fund, the AMC has adopted a full-fledged risk-management framework. In the opinion of the Trustee, on the basis of the data presented by the AMC and reviewed at meetings of its Board of Directors, the system serves its objectives adequately.

## X. LIABILITY AND RESPONSIBILITY OF THE TRUSTEE AND THE SPONSORS

The Trustee's primary responsibility is to safeguard the interests of the unit holders and, inter alia, ensure that the AMC functions in the interests of investors and in accordance with the Regulations, the provisions of the Trust Deed, and the Scheme Information Documents of the Schemes of the Fund. From the information provided to the Trustee by the AMC and discussions with AMC officials at meetings of its Board and Committee, and reviews by the Trustee through its Board of Directors at such meetings, the Trustee is of the opinion that the AMC has operated in the interests of the unit holders and functioned in compliance with the Regulations.

The Sponsors are not responsible or liable for any loss or shortfall resulting from the operations of the Schemes beyond the initial contribution of ₹ 22.2 lakh collectively made by them towards setting up the Fund and such other accretions and additions to the corpus set up by the Sponsors

## XI. ACKNOWLEDGEMENT

The Trustee expresses its gratitude to the unit holders, SEBI, RBI, AMFI, the registrars, bankers, the custodians of the Fund, the stock exchanges where the units of various Schemes are listed, ICICI Bank Limited and Prudential plc. and the management and employees of the AMC for their continued support and assistance during the year.

**For and on behalf of ICICI Prudential Trust Limited**

Place: Mumbai  
Date: August 25, 2020

Sd/-  
**Mr. P. H. Ravikumar**  
Director

**Note:** Source for compilation of key statistics and other data is the website of third party research agencies

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Banking and Financial Services Fund		ICICI Prudential Dividend Yield Equity Fund		ICICI Prudential Equity - Arbitrage Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>63,977.65</b>	<b>48,779.16</b>	<b>11,771.79</b>	<b>12,319.12</b>	<b>376,628.47</b>	<b>498,912.88</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	150,972.29	98,624.15	(575.59)	(598.63)	141,070.99	187,218.66
2.2	Unrealised Appreciation Reserve	-	46,919.29	-	-	-	4,830.61
2.3	Other Reserves	38,622.19	109,255.34	1,006.66	7,255.54	195,434.12	97,260.37
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	2,965.38	1,766.26	1,464.33	303.65	75,141.58	16,021.44
	<b>TOTAL</b>	<b>256,537.51</b>	<b>305,344.20</b>	<b>13,667.19</b>	<b>19,279.68</b>	<b>788,275.16</b>	<b>804,243.96</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	238,756.26	277,019.02	11,444.97	15,591.23	480,300.15	577,665.78
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	14,977.32	12,446.31
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	5,395.60
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	<b>508.30</b>	<b>4,617.12</b>
<b>1.5</b>	<b>Treasury Bills</b>	<b>2,274.55</b>	-	-	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	<b>42,152.41</b>	<b>21,218.19</b>
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	<b>23,267.71</b>	<b>2,411.57</b>
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	-	-	<b>682.83</b>	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>241,030.81</b>	<b>277,019.02</b>	<b>11,444.97</b>	<b>16,274.06</b>	<b>561,205.89</b>	<b>623,754.57</b>
<b>2</b>	<b>Deposits</b>	<b>100.11</b>	<b>7,607.71</b>	<b>16.84</b>	<b>117.42</b>	<b>164,662.35</b>	<b>146,765.38</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	225.07	68.75	16.05	3.23	746.17	132.54
3.2	Collateralised Lending / Tri-Party Repo	12,044.53	17,094.90	2,154.48	2,608.35	34,741.97	3,355.86
3.3	Others	3,136.99	3,553.82	34.85	276.62	26,918.78	30,235.61
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>256,537.51</b>	<b>305,344.20</b>	<b>13,667.19</b>	<b>19,279.68</b>	<b>788,275.16</b>	<b>804,243.96</b>
	<b>Fund Manager</b>	<b>Roshan Chutkey</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Kayzad Eghlim, Dharmesh Kakkad and Manish Banthia</b>	

## Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Balanced Advantage Fund		ICICI Prudential Midcap Fund		ICICI Prudential FMCG Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>913,146.49</b>	<b>1,081,075.26</b>	<b>25,350.66</b>	<b>22,630.04</b>	<b>2,574.27</b>	<b>2,740.25</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	564,871.06	614,679.17	51,819.11	43,494.79	14,934.73	16,280.44
2.2	Unrealised Appreciation Reserve	-	186,987.33	-	-	-	9,984.71
2.3	Other Reserves	806,602.74	1,039,396.99	54,557.31	102,811.02	28,572.17	25,965.15
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	20,011.15	18,985.18	853.30	783.28	506.86	216.80
	<b>TOTAL</b>	<b>2,304,631.44</b>	<b>2,941,123.93</b>	<b>132,580.38</b>	<b>169,719.13</b>	<b>46,588.03</b>	<b>55,187.35</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	1,517,954.48	1,947,016.78	127,310.70	161,871.25	43,717.67	50,172.52
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	465,522.82	506,475.50	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	9,978.90	48,736.46	-	-	-	-
1.3.5	Securitised Debt Securities	2,521.48	5,766.46	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	<b>16,137.13</b>	<b>13,193.78</b>	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	<b>2,473.32</b>	<b>12,367.86</b>	-	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	<b>21,414.27</b>	<b>59,011.59</b>	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	<b>19,022.45</b>	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	<b>33,764.00</b>	<b>62,715.45</b>	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>2,088,788.85</b>	<b>2,655,283.88</b>	<b>127,310.70</b>	<b>161,871.25</b>	<b>43,717.67</b>	<b>50,172.52</b>
<b>2</b>	<b>Deposits</b>	<b>56,130.61</b>	<b>163,070.08</b>	<b>1,069.17</b>	<b>1,721.65</b>	<b>22.03</b>	<b>25.42</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	1,173.63	298.89	101.39	17.46	86.34	9.49
3.2	Collateralised Lending / Tri-Party Repo	62,257.91	26,472.83	2,366.51	4,604.06	2,710.73	4,949.30
3.3	Others	96,280.44	95,998.25	1,732.61	1,504.71	51.26	30.62
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>2,304,631.44</b>	<b>2,941,123.93</b>	<b>132,580.38</b>	<b>169,719.13</b>	<b>46,588.03</b>	<b>55,187.35</b>
	<b>Fund Manager</b>	<b>Sankaran Naren, Rajat Chandak, Ihab Dalwai, Manish Banthia and Dharmesh Kakkad</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Ihab Dalwai and Priyanka Khandelwal</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Child Care Fund (Gift Plan)		ICICI Prudential Large & Mid Cap Fund		ICICI Prudential Smallcap Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>4,528.86</b>	<b>4,435.84</b>	<b>22,966.92</b>	<b>25,751.17</b>	<b>50,034.58</b>	<b>9,554.47</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	4,404.67	4,343.64	80,533.52	58,481.33	9,187.18	(470.89)
2.2	Unrealised Appreciation Reserve	-	1,408.00	-	3,109.44	-	131.64
2.3	Other Reserves	42,274.39	53,076.31	138,265.75	218,701.60	28,901.02	12,857.77
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	230.36	278.56	3,509.26	8,238.07	500.14	294.77
	<b>TOTAL</b>	<b>51,438.28</b>	<b>63,542.35</b>	<b>245,275.45</b>	<b>314,281.61</b>	<b>88,622.92</b>	<b>22,367.76</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	40,940.76	44,304.98	237,826.00	279,311.50	79,478.49	19,357.97
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	8,024.31	3,321.23	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	<b>197.87</b>	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	-	-	<b>5,502.21</b>	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>48,965.07</b>	<b>47,626.21</b>	<b>238,023.87</b>	<b>284,813.71</b>	<b>79,478.49</b>	<b>19,357.97</b>
<b>2</b>	<b>Deposits</b>	<b>14.21</b>	<b>32.34</b>	<b>44.88</b>	<b>1,119.48</b>	<b>53.81</b>	<b>10.79</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	104.99	7.44	522.70	15.29	151.90	16.74
3.2	Collateralised Lending / Tri-Party Repo	1,740.27	12,933.74	5,318.28	24,001.09	6,623.16	2,631.88
3.3	Others	613.74	2,942.62	1,365.72	4,332.04	2,315.56	350.38
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>51,438.28</b>	<b>63,542.35</b>	<b>245,275.45</b>	<b>314,281.61</b>	<b>88,622.92</b>	<b>22,367.76</b>
	<b>Fund Manager</b>	<b>Ashwin Jain and Manish Banthia</b>		<b>Sankaran Naren and Prakash Gaurav Goel</b>		<b>Sankaran Naren and Harish Bihani</b>	

## Annexure I- Notes to Accounts

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Nifty Index Fund		ICICI Prudential India Opportunities Fund		ICICI Prudential Long Term Wealth Enhancement Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>9,232.25</b>	<b>4,150.46</b>	<b>271,735.87</b>	<b>143,516.92</b>	<b>3,817.15</b>	<b>3,817.15</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	19,206.65	4,897.41	2,894.54	285.67	(1.38)	(1.38)
2.2	Unrealised Appreciation Reserve	-	7,843.95	-	10,334.72	-	279.64
2.3	Other Reserves	41,300.38	23,476.60	(79,060.51)	1,022.19	(822.35)	(9.74)
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	423.12	790.15	808.13	2,727.74	4.58	17.25
	<b>TOTAL</b>	<b>70,162.40</b>	<b>41,158.57</b>	<b>196,378.03</b>	<b>157,887.24</b>	<b>2,998.00</b>	<b>4,102.92</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	68,155.83	39,762.76	183,859.04	146,020.83	2,870.54	3,606.61
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	<b>692.41</b>	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	-	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>68,155.83</b>	<b>39,762.76</b>	<b>184,551.45</b>	<b>146,020.83</b>	<b>2,870.54</b>	<b>3,606.61</b>
<b>2</b>	<b>Deposits</b>	<b>9.08</b>	<b>8.01</b>	<b>558.37</b>	<b>178.70</b>	<b>1.01</b>	<b>2.90</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	611.46	3.15	161.34	121.63	0.72	0.50
3.2	Collateralised Lending / Tri-Party Repo	1,161.74	880.84	3,617.41	11,348.25	126.21	492.58
3.3	Others	224.29	503.81	7,489.46	217.83	(0.48)	0.33
<b>4</b>	<b>Deferred Revenue Expenditure</b>	-	-	-	-	-	-
	(To the Extent not written off)						
	<b>TOTAL</b>	<b>70,162.40</b>	<b>41,158.57</b>	<b>196,378.03</b>	<b>157,887.24</b>	<b>2,998.00</b>	<b>4,102.92</b>
	<b>Fund Manager</b>	<b>Kayzad Eghlim</b>		<b>Sankaran Naren and Roshan Chutkey</b>		<b>Sankaran Naren and Rajat Chandak</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Manufacture in India Fund		ICICI Prudential Nifty Next 50 Index Fund		ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>82,066.30</b>	<b>118,071.00</b>	<b>31,276.35</b>	<b>17,151.43</b>	<b>112,295.47</b>	<b>147,604.30</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	(265.25)	(118.89)	21,579.89	11,443.60	1,282.98	1,574.39
2.2	Unrealised Appreciation Reserve	-	4,123.31	-	321.82	-	-
2.3	Other Reserves	(22,548.96)	2,228.10	6,901.45	14,784.90	(6,168.70)	572.84
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	986.47	1,154.25	798.44	6,558.62	1,069.24	2,218.31
	<b>TOTAL</b>	<b>60,238.56</b>	<b>125,457.77</b>	<b>60,556.13</b>	<b>50,260.37</b>	<b>108,478.99</b>	<b>151,969.84</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	58,546.21	105,887.87	59,579.48	42,911.78	102,813.68	131,106.19
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	-	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	<b>585.10</b>	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>58,546.21</b>	<b>106,472.97</b>	<b>59,579.48</b>	<b>42,911.78</b>	<b>102,813.68</b>	<b>131,106.19</b>
<b>2</b>	<b>Deposits</b>	<b>5.64</b>	<b>5,099.00</b>	<b>5.07</b>	<b>6.36</b>	<b>35.02</b>	<b>5,092.69</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	194.07	1.91	173.06	14.55	224.07	439.49
3.2	Collateralised Lending / Tri-Party Repo	576.36	12,783.68	620.20	1,106.73	4,307.63	9,219.41
3.3	Others	916.28	1,100.21	178.32	6,220.95	1,098.59	6,112.06
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the Extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>60,238.56</b>	<b>125,457.77</b>	<b>60,556.13</b>	<b>50,260.37</b>	<b>108,478.99</b>	<b>151,969.84</b>
	<b>Fund Manager</b>	<b>Anish Tawakley and Mittul Kalawadia</b>		<b>Kayzad Eghlim</b>		<b>Ihab Dalwai</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Multicap Fund		ICICI Prudential Retirement Fund - Pure Equity Plan		ICICI Prudential Exports and Services Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>32,705.59</b>	<b>25,661.54</b>	<b>6,666.29</b>	<b>4,367.00</b>	<b>12,584.13</b>	<b>16,044.17</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	167,744.30	77,962.55	65.49	4.02	11,466.55	15,104.84
2.2	Unrealised Appreciation Reserve	-	3,592.86	-	169.04	-	-
2.3	Other Reserves	214,875.55	244,824.15	(1,764.98)	6.32	20,836.69	49,287.94
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	6,390.77	3,808.88	22.83	8.60	415.87	3,103.08
	<b>TOTAL</b>	<b>421,716.21</b>	<b>355,849.98</b>	<b>4,989.63</b>	<b>4,554.98</b>	<b>45,303.24</b>	<b>83,540.03</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	392,446.49	316,921.73	4,699.33	3,682.82	44,832.74	69,439.74
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	-	-	<b>98.91</b>	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	<b>8,659.82</b>	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	<b>6,574.12</b>
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>392,446.49</b>	<b>325,581.55</b>	<b>4,699.33</b>	<b>3,682.82</b>	<b>44,931.65</b>	<b>76,013.86</b>
<b>2</b>	<b>Deposits</b>	<b>2,433.69</b>	<b>166.71</b>	<b>2.39</b>	<b>22.57</b>	<b>2.86</b>	<b>53.15</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	715.92	79.90	3.51	13.75	70.91	3.54
3.2	Collateralised Lending / Tri-Party Repo	9,410.78	28,769.44	281.13	828.81	282.37	7,442.40
3.3	Others	16,709.33	1,252.38	3.27	7.03	15.45	27.08
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>421,716.21</b>	<b>355,849.98</b>	<b>4,989.63</b>	<b>4,554.98</b>	<b>45,303.24</b>	<b>83,540.03</b>
	<b>Fund Manager</b>	<b>Sankaran Naren and Rajat Chandak</b>		<b>Mrinal Singh, Ashwin Jain, Manish Banthia and Anuj Tagra</b>		<b>Sankaran Naren, Ashwin Jain and Priyanka Khandelwal</b>	

## Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.



**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Sensex Index Fund		ICICI Prudential Focused Equity Fund		ICICI Prudential Technology Fund	
		As at	As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
	<b>LIABILITIES</b>						
<b>1</b>	<b>Unit Capital</b>	<b>9,008.49</b>	<b>1,037.90</b>	<b>22,726.22</b>	<b>23,383.43</b>	<b>7,570.05</b>	<b>8,872.86</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>						
2.1	Unit Premium Reserve	855.39	60.07	7,424.68	7,553.72	11,549.15	15,260.07
2.2	Unrealised Appreciation Reserve	-	96.04	-	890.97	2,801.76	12,419.97
2.3	Other Reserves	(1,495.49)	51.58	17,931.90	34,588.75	10,027.35	10,841.64
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>						
4.1	Provision for doubtful Income / deposits	-	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	82.65	22.14	267.24	359.32	219.71	318.73
	<b>TOTAL</b>	<b>8,451.04</b>	<b>1,267.73</b>	<b>48,350.04</b>	<b>66,776.19</b>	<b>32,168.02</b>	<b>47,713.27</b>
	<b>ASSETS</b>						
<b>1</b>	<b>Investments</b>						
<b>1.1</b>	<b>Listed Securities:</b>						
1.1.1.a	Equity Shares	8,209.24	1,222.35	44,364.84	61,005.20	31,534.36	38,547.59
1.1.1.b	Indian Depository Receipts	-	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>						
1.2.1	Equity Shares	-	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>						
1.3.1	Equity Shares	-	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	-	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	-	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	-	-	-	-	-	<b>4,616.01</b>
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-	-
	<b>Investments</b>	<b>8,209.24</b>	<b>1,222.35</b>	<b>44,364.84</b>	<b>61,005.20</b>	<b>31,534.36</b>	<b>43,163.60</b>
<b>2</b>	<b>Deposits</b>	<b>0.73</b>	<b>0.53</b>	<b>32.57</b>	<b>44.65</b>	<b>4.23</b>	<b>29.15</b>
<b>3</b>	<b>Other Current Assets</b>						
3.1	Cash & Bank Balance	58.14	0.60	24.87	9.26	73.03	26.61
3.2	Collateralised Lending / Tri-Party Repo	92.59	42.53	3,895.62	5,693.51	513.89	4,469.74
3.3	Others	90.34	1.72	32.14	23.57	42.51	24.17
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the extent not written off)	-	-	-	-	-	-
	<b>TOTAL</b>	<b>8,451.04</b>	<b>1,267.73</b>	<b>48,350.04</b>	<b>66,776.19</b>	<b>32,168.02</b>	<b>47,713.27</b>
	<b>Fund Manager</b>	<b>Kayzad Eghlim</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Sankaran Naren, Ashwin Jain and Priyanka Khandelwal</b>	

## Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Balance Sheet as at March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential US Bluechip Equity Fund		ICICI Prudential Bharat Consumption Fund#	ICICI Prudential MNC Fund#	ICICI Prudential Commodities Fund#
		As at	As at	As at	As at	As at
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2020	31-Mar-2020
	<b>LIABILITIES</b>					
<b>1</b>	<b>Unit Capital</b>	<b>17,470.92</b>	<b>9,757.05</b>	<b>46,405.08</b>	<b>58,657.28</b>	<b>2,858.49</b>
<b>2</b>	<b>Reserves &amp; Surplus</b>					
2.1	Unit Premium Reserve	8,562.03	2,124.80	(482.71)	(181.95)	21.56
2.2	Unrealised Appreciation Reserve	712.33	1,075.00	-	-	-
2.3	Other Reserves	24,246.50	13,452.54	(6,942.26)	(10,721.41)	(949.55)
<b>3</b>	<b>Loans &amp; Borrowings</b>	-	-	-	-	-
<b>4</b>	<b>Current Liabilities &amp; Provisions</b>					
4.1	Provision for doubtful Income / deposits	-	-	-	-	-
4.2	Other Current Liabilities & Provisions	1,698.23	137.83	48.37	3,577.11	146.79
	<b>TOTAL</b>	<b>52,690.01</b>	<b>26,547.22</b>	<b>39,028.48</b>	<b>51,331.03</b>	<b>2,077.29</b>
	<b>ASSETS</b>					
<b>1</b>	<b>Investments</b>					
<b>1.1</b>	<b>Listed Securities:</b>					
1.1.1.a	Equity Shares	-	-	37,520.42	39,232.31	1,906.46
1.1.1.b	Indian Depository Receipts	-	-	-	-	-
1.1.1.c	Warrants	-	-	-	-	-
1.1.2	Preference Shares	-	-	-	-	-
1.1.3	Equity Linked Debentures	-	-	-	-	-
1.1.4	Other Debentures & Bonds	-	-	-	-	-
1.1.5	Securitised Debt Securities	-	-	-	-	-
<b>1.2</b>	<b>Securities Awaited Listing:</b>					
1.2.1	Equity Shares	-	-	-	-	-
1.2.2	Preference Shares	-	-	-	-	-
1.2.3	Equity Linked Debentures	-	-	-	-	-
1.2.4	Other Debentures & Bonds	-	-	-	-	-
1.2.5	Securitised Debt Securities	-	-	-	-	-
<b>1.3</b>	<b>Unlisted Securities:</b>					
1.3.1	Equity Shares	-	-	-	-	-
1.3.2	Preference Shares	-	-	-	-	-
1.3.3	Equity Linked Debentures	-	-	-	-	-
1.3.4	Other Debentures & Bonds	-	-	-	-	-
1.3.5	Securitised Debt Securities	-	-	-	-	-
<b>1.4</b>	<b>Government Securities</b>	-	-	-	-	-
<b>1.5</b>	<b>Treasury Bills</b>	-	-	-	-	-
<b>1.6</b>	<b>Commercial Papers</b>	-	-	-	-	-
<b>1.7</b>	<b>Certificate of Deposits</b>	-	-	-	-	-
<b>1.8</b>	<b>Bill Rediscounting</b>	-	-	-	-	-
<b>1.9</b>	<b>Units of Domestic Mutual Fund</b>	-	-	-	-	-
<b>1.10</b>	<b>Foreign Securities</b>	<b>48,149.27</b>	<b>25,592.20</b>	-	<b>3,774.97</b>	-
<b>1.11</b>	<b>Gold</b>	-	-	-	-	-
<b>1.12</b>	<b>Units of Infrastructure Investment Trusts</b>	-	-	-	-	-
<b>1.13</b>	<b>Units of Real Estate Investment Trusts</b>	-	-	-	-	-
	<b>Investments</b>	<b>48,149.27</b>	<b>25,592.20</b>	<b>37,520.42</b>	<b>43,007.28</b>	<b>1,906.46</b>
<b>2</b>	<b>Deposits</b>	<b>7.26</b>	<b>5.09</b>	<b>10.48</b>	<b>2,024.69</b>	<b>0.76</b>
<b>3</b>	<b>Other Current Assets</b>					
3.1	Cash & Bank Balance	2,327.87	18.47	12.11	3,178.07	7.31
3.2	Collateralised Lending / Tri-Party Repo	824.72	903.20	1,297.14	2,911.80	93.67
3.3	Others	1,380.89	28.26	188.33	209.19	69.09
<b>4</b>	<b>Deferred Revenue Expenditure</b> (To the Extent not written off)	-	-	-	-	-
	<b>TOTAL</b>	<b>52,690.01</b>	<b>26,547.22</b>	<b>39,028.48</b>	<b>51,331.03</b>	<b>2,077.29</b>
	<b>Fund Manager</b>	<b>Priyanka Khandelwal and Rohan Maru</b>		<b>Dharmesh Kakkad and Rajat Chandak</b>	<b>Anish Tawakley and Priyanka Khandelwal</b>	<b>Sankaran Naren and Mittul Kalawadia</b>

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Banking and Financial Services Fund		ICICI Prudential Dividend Yield Equity Fund		ICICI Prudential Equity - Arbitrage Fund	
		Year Ended		Year Ended		Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	2,335.60	1,334.36	480.92	475.05	10,926.45	6,396.51
1.2	Interest	1,330.53	1,715.92	73.12	66.75	27,971.02	18,248.21
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	-	-
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	(0.01)	(109.97)	-	-	93.01	50.57
1.5	Realised Gains/(Losses) on External Sale/Redemption of Investments	19,494.93	14,818.79	967.32	2,466.38	(151,192.07)	(49,720.92)
1.6	Realised Gains/(Losses) on Derivative Transactions	(8,132.81)	3,049.71	69.63	67.43	316,199.38	25,142.63
1.7	Other Income	73.18	1,821.90	11.60	26.65	41.11	21.80
	<b>(A)</b>	<b>15,101.42</b>	<b>22,630.71</b>	<b>1,602.59</b>	<b>3,102.26</b>	<b>204,038.90</b>	<b>138.80</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	3,147.19	2,623.55	244.79	296.96	3,068.82	2,307.92
2.3	Goods & Services Tax on Management Fees	566.50	472.24	44.06	53.45	552.39	415.43
2.4	Transfer Agent's Fees & Expenses	228.14	195.32	16.28	14.33	496.76	360.06
2.5	Custodian Fees	9.22	11.29	0.55	0.64	29.38	30.07
2.6	Trusteeship Fees	0.55	0.49	0.03	0.04	1.95	1.57
2.7	Commission to Agents	2,233.04	1,833.33	125.23	139.49	2,533.69	1,726.41
2.8	Marketing & Distribution Expenses	25.71	16.77	3.85	0.83	40.70	43.22
2.9	Audit Fees	1.84	1.78	0.45	0.47	4.27	3.55
2.10	Other Operating Expenses	160.05	132.66	12.54	11.11	244.03	173.95
	<b>(B)</b>	<b>6,372.24</b>	<b>5,287.43</b>	<b>447.78</b>	<b>517.32</b>	<b>6,971.99</b>	<b>5,062.18</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>8,729.18</b>	<b>17,343.28</b>	<b>1,154.81</b>	<b>2,584.94</b>	<b>197,066.91</b>	<b>(4,923.38)</b>
4	Change in Unrealised Depreciation in value of Investments (D)	146,590.54	-	6,941.56	2,839.73	124,656.65	-
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>(137,861.36)</b>	<b>17,343.28</b>	<b>(5,786.75)</b>	<b>(254.79)</b>	<b>72,410.26</b>	<b>(4,923.38)</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	21,716.65	-	-	-	56,317.43
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(137,861.36)</b>	<b>39,059.93</b>	<b>(5,786.75)</b>	<b>(254.79)</b>	<b>72,410.26</b>	<b>51,394.05</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	46,919.29	25,202.64	-	2,413.38	4,830.61	-
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	46,919.29	-	-	-	4,830.61
7.3	Add/(Less) : Equalisation	22,441.38	3,587.34	(22.11)	(246.51)	72,659.40	18,119.73
7.4	Surplus/(Deficit) brought forward	109,255.34	90,340.12	7,255.54	5,658.55	97,260.37	72,179.31
<b>8</b>	<b>Total</b>	<b>40,754.65</b>	<b>111,270.74</b>	<b>1,446.68</b>	<b>7,570.63</b>	<b>247,160.64</b>	<b>136,862.48</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	1,888.10	1,784.45	389.60	278.98	45,799.07	35,063.84
9.2	Tax on Income distributed during the year/period	244.36	230.95	50.42	36.11	5,927.45	4,538.27
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>38,622.19</b>	<b>109,255.34</b>	<b>1,006.66</b>	<b>7,255.54</b>	<b>195,434.12</b>	<b>97,260.37</b>
	<b>Fund Manager</b>	<b>Roshan Chutkey</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Kayzad Eghlim, Dharmesh Kakkad and Manish Banthia</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Balanced Advantage Fund		ICICI Prudential Midcap Fund		ICICI Prudential FMCG Fund	
		Year Ended		Year Ended		Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	24,951.75	23,748.96	2,344.23	1,791.05	800.27	504.78
1.2	Interest	68,828.50	67,909.02	530.10	411.15	172.39	217.55
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	60.16	-
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	(0.01)	(100.25)	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/ Redemption of Investments	75,457.78	100,557.88	3,936.26	17,200.39	5,369.10	4,848.35
1.6	Realised Gains/(Losses) on Derivative Transactions	47,144.04	(34,782.86)	3,419.34	888.23	-	(21.12)
1.7	Other Income	1,851.43	4,811.33	116.97	63.09	13.07	24.80
	<b>(A)</b>	<b>218,233.49</b>	<b>162,144.08</b>	<b>10,346.90</b>	<b>20,353.91</b>	<b>6,414.99</b>	<b>5,574.36</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	25,829.36	25,892.98	1,893.57	1,658.86	722.94	682.57
2.3	Goods & Services Tax on Management Fees	4,649.28	4,660.74	340.84	298.59	130.13	122.86
2.4	Transfer Agent's Fees & Expenses	1,270.90	1,295.22	131.24	123.96	31.73	30.49
2.5	Custodian Fees	76.64	115.83	6.00	5.13	1.85	1.53
2.6	Trusteeship Fees	4.68	5.31	0.29	0.29	0.09	0.09
2.7	Commission to Agents	12,838.42	23,412.54	1,418.80	1,304.59	334.72	326.26
2.8	Marketing & Distribution Expenses	1,102.03	313.29	14.73	12.32	3.82	3.26
2.9	Audit Fees	4.99	4.74	1.84	1.78	0.95	0.47
2.10	Other Operating Expenses	674.97	691.16	92.96	90.94	23.34	20.40
	<b>(B)</b>	<b>46,451.27</b>	<b>56,391.81</b>	<b>3,900.27</b>	<b>3,496.46</b>	<b>1,249.57</b>	<b>1,187.93</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>171,782.22</b>	<b>105,752.27</b>	<b>6,446.63</b>	<b>16,857.45</b>	<b>5,165.42</b>	<b>4,386.43</b>
4	Change in Unrealised Depreciation in value of Investments (D)	532,207.90	-	69,972.24	18,881.22	11,811.27	35.65
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E= C - D)</b>	<b>(360,425.68)</b>	<b>105,752.27</b>	<b>(63,525.61)</b>	<b>(2,023.77)</b>	<b>(6,645.85)</b>	<b>4,350.78</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	80,514.22	-	-	-	-
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(360,425.68)</b>	<b>186,266.49</b>	<b>(63,525.61)</b>	<b>(2,023.77)</b>	<b>(6,645.85)</b>	<b>4,350.78</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	186,987.33	106,473.11	-	16,645.14	9,984.71	10,020.36
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	186,987.33	-	-	-	9,984.71
7.3	Add/(Less) : Equalisation	(23,310.79)	122,909.85	16,775.46	16,072.73	(731.84)	4,355.13
7.4	Surplus/(Deficit) brought forward	1,039,396.99	855,376.49	102,811.02	72,798.02	25,965.15	17,650.47
<b>8</b>	<b>Total</b>	<b>842,647.85</b>	<b>1,084,038.61</b>	<b>56,060.87</b>	<b>103,492.12</b>	<b>28,572.17</b>	<b>26,392.03</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	31,914.63	39,526.05	1,331.26	603.05	-	377.96
9.2	Tax on Income distributed during the year/period	4,130.48	5,115.57	172.30	78.05	-	48.92
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>806,602.74</b>	<b>1,039,396.99</b>	<b>54,557.31</b>	<b>102,811.02</b>	<b>28,572.17</b>	<b>25,965.15</b>
	<b>Fund Manager</b>	<b>Sankaran Naren, Rajat Chandak, Ihab Dalwai, Manish Banthia and Dharmesh Kakkad</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Ihab Dalwai and Priyanka Khandelwal</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Child Care Fund (Gift Plan)		ICICI Prudential Large & Mid Cap Fund		ICICI Prudential Smallcap Fund	
		Year Ended		Year Ended		Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	556.34	614.33	5,526.29	5,416.07	1,171.96	127.12
1.2	Interest	1,339.97	1,149.31	817.26	831.26	282.08	79.37
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	63.19	-	157.76
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	-	59.97	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/Redemption of Investments	(652.10)	1,622.30	2,886.53	18,441.86	1,158.23	202.86
1.6	Realised Gains/(Losses) on Derivative Transactions	(5.24)	222.07	(256.43)	2,810.76	(212.49)	18.09
1.7	Other Income	28.43	58.95	71.37	107.69	61.43	14.24
	<b>(A)</b>	<b>1,267.40</b>	<b>3,726.93</b>	<b>9,045.02</b>	<b>27,670.83</b>	<b>2,461.21</b>	<b>599.44</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	850.30	815.00	3,297.30	2,933.63	474.59	246.75
2.3	Goods & Services Tax on Management Fees	153.05	146.70	593.51	528.05	85.43	44.41
2.4	Transfer Agent's Fees & Expenses	36.35	36.03	204.19	201.21	46.89	13.68
2.5	Custodian Fees	1.73	2.19	11.47	10.29	1.28	0.45
2.6	Trusteeship Fees	0.11	0.10	0.55	0.57	0.10	0.03
2.7	Commission to Agents	450.11	385.87	1,869.81	2,185.66	655.82	137.10
2.8	Marketing & Distribution Expenses	13.23	8.69	50.76	18.05	23.92	3.74
2.9	Audit Fees	0.95	0.95	1.84	1.78	0.98	0.47
2.10	Other Operating Expenses	46.25	45.80	131.29	132.31	35.16	11.47
	<b>(B)</b>	<b>1,552.08</b>	<b>1,441.33</b>	<b>6,160.72</b>	<b>6,011.55</b>	<b>1,324.17</b>	<b>458.10</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>(284.68)</b>	<b>2,285.60</b>	<b>2,884.30</b>	<b>21,659.28</b>	<b>1,137.04</b>	<b>141.34</b>
4	Change in Unrealised Depreciation in value of Investments (D)	13,053.50	-	96,157.81	6,578.27	37,274.01	1,668.06
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>(13,338.18)</b>	<b>2,285.60</b>	<b>(93,273.51)</b>	<b>15,081.01</b>	<b>(36,136.97)</b>	<b>(1,526.72)</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	1,937.97	-	-	-	-
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(13,338.18)</b>	<b>4,223.57</b>	<b>(93,273.51)</b>	<b>15,081.01</b>	<b>(36,136.97)</b>	<b>(1,526.72)</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	1,408.00	-	3,109.44	9,687.71	131.64	1,799.70
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	1,408.00	-	3,109.44	-	131.64
7.3	Add/(Less) : Equalisation	1,128.26	11,048.31	12,447.20	(1,339.42)	52,048.58	3,502.99
7.4	Surplus/(Deficit) brought forward	53,076.31	39,212.43	218,701.60	198,382.01	12,857.77	9,213.44
<b>8</b>	<b>Total</b>	<b>42,274.39</b>	<b>53,076.31</b>	<b>140,984.73</b>	<b>218,701.87</b>	<b>28,901.02</b>	<b>12,857.77</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	-	-	2,407.41	0.24	-	-
9.2	Tax on Income distributed during the year/period	-	-	311.57	0.03	-	-
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>42,274.39</b>	<b>53,076.31</b>	<b>138,265.75</b>	<b>218,701.60</b>	<b>28,901.02</b>	<b>12,857.77</b>
	<b>Fund Manager</b>	<b>Ashwin Jain and Manish Banthia</b>		<b>Sankaran Naren and Prakash Gaurav Goel</b>		<b>Sankaran Naren and Harish Bihani</b>	

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(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Nifty Index Fund		ICICI Prudential India Opportunities Fund		ICICI Prudential Long Term Wealth Enhancement Fund	
		Year Ended		Year Ended	Period Ended	Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	673.68	489.35	4,910.78	991.57	25.86	16.77
1.2	Interest	31.88	17.77	861.61	383.80	15.04	22.54
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	-	-
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	-	-	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/Redemption of Investments	2,536.05	2,353.46	7,675.96	174.74	74.23	47.93
1.6	Realised Gains/(Losses) on Derivative Transactions	-	1.61	(3,567.25)	37.62	-	-
1.7	Other Income	0.65	0.21	241.77	66.32	-	0.07
	<b>(A)</b>	<b>3,242.26</b>	<b>2,862.40</b>	<b>10,122.87</b>	<b>1,654.05</b>	<b>115.13</b>	<b>87.31</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	4.50	126.87	530.42	106.65	29.40	36.02
2.3	Goods & Services Tax on Management Fees	0.81	22.84	95.48	19.20	5.29	6.48
2.4	Transfer Agent's Fees & Expenses	21.75	14.22	131.61	18.96	1.55	1.48
2.5	Custodian Fees	1.68	1.00	7.62	0.99	0.12	0.17
2.6	Trusteeship Fees	0.07	0.07	0.33	0.06	0.01	0.01
2.7	Commission to Agents	74.31	73.77	2,875.00	451.97	17.92	54.49
2.8	Marketing & Distribution Expenses	1.41	2.03	26.08	1.64	-	-
2.9	Audit Fees	0.19	0.18	1.78	0.36	0.19	0.18
2.10	Other Operating Expenses	17.48	10.42	97.52	12.36	0.99	1.17
	<b>(B)</b>	<b>122.20</b>	<b>251.40</b>	<b>3,765.84</b>	<b>612.19</b>	<b>55.47</b>	<b>100.00</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>3,120.06</b>	<b>2,611.00</b>	<b>6,357.03</b>	<b>1,041.86</b>	<b>59.66</b>	<b>(12.69)</b>
4	Change in Unrealised Depreciation in value of Investments (D)	19,355.05	-	91,310.47	-	1,151.91	-
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>(16,234.99)</b>	<b>2,611.00</b>	<b>(84,953.44)</b>	<b>1,041.86</b>	<b>(1,092.25)</b>	<b>(12.69)</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	2,629.50	-	10,334.72	-	291.49
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(16,234.99)</b>	<b>5,240.50</b>	<b>(84,953.44)</b>	<b>11,376.58</b>	<b>(1,092.25)</b>	<b>278.80</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	7,843.95	5,214.45	10,334.72	-	279.64	-
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	7,843.95	-	10,334.72	-	279.64
7.3	Add/(Less) : Equalisation	26,214.82	1,949.18	(5,463.98)	(19.67)	-	-
7.4	Surplus/(Deficit) brought forward	23,476.60	18,916.42	1,022.19	-	(9.74)	(8.90)
<b>8</b>	<b>Total</b>	<b>41,300.38</b>	<b>23,476.60</b>	<b>(79,060.51)</b>	<b>1,022.19</b>	<b>(822.35)</b>	<b>(9.74)</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	-	-	-	-	-	-
9.2	Tax on Income distributed during the year/period	-	-	-	-	-	-
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>41,300.38</b>	<b>23,476.60</b>	<b>(79,060.51)</b>	<b>1,022.19</b>	<b>(822.35)</b>	<b>(9.74)</b>
	<b>Fund Manager</b>	<b>Kayzad Eghlim</b>		<b>Sankaran Naren and Roshan Chutkey</b>		<b>Sankaran Naren and Rajat Chandak</b>	

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(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Manufacture in India Fund		ICICI Prudential Nifty Next 50 Index Fund		ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	
		Year Ended	Period Ended	Year Ended		Year Ended	Period Ended
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	2,014.45	1,107.14	829.43	362.42	1,422.64	394.21
1.2	Interest	540.04	869.49	34.73	35.29	405.06	938.89
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	-	-
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	-	-	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/ Redemption of Investments	8,232.00	2,010.46	(1,104.46)	7.30	7,760.59	4,276.21
1.6	Realised Gains/(Losses) on Derivative Transactions	(620.31)	(545.08)	40.65	1.06	2,397.32	(2,352.72)
1.7	Other Income	343.03	118.24	40.26	10.26	320.87	140.87
	<b>(A)</b>	<b>10,509.21</b>	<b>3,560.25</b>	<b>(159.39)</b>	<b>416.33</b>	<b>12,306.48</b>	<b>3,397.46</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	1,005.31	561.14	127.60	71.69	1,245.40	676.35
2.3	Goods & Services Tax on Management Fees	180.95	101.00	22.97	12.90	224.17	121.74
2.4	Transfer Agent's Fees & Expenses	53.95	31.86	35.20	21.73	74.02	62.91
2.5	Custodian Fees	4.02	1.88	1.85	1.43	5.36	3.64
2.6	Trusteeship Fees	0.17	0.12	0.10	0.06	0.22	0.20
2.7	Commission to Agents	952.94	624.83	80.47	42.26	1,159.55	1,331.96
2.8	Marketing & Distribution Expenses	8.53	4.79	3.11	0.57	17.06	7.42
2.9	Audit Fees	1.84	1.77	0.98	0.47	1.84	1.77
2.10	Other Operating Expenses	28.99	17.80	41.73	22.22	44.67	39.84
	<b>(B)</b>	<b>2,236.70</b>	<b>1,345.19</b>	<b>314.01</b>	<b>173.33</b>	<b>2,772.29</b>	<b>2,245.83</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>8,272.51</b>	<b>2,215.06</b>	<b>(473.40)</b>	<b>243.00</b>	<b>9,534.19</b>	<b>1,151.63</b>
4	Change in Unrealised Depreciation in value of Investments (D)	36,577.91	-	15,577.14	-	16,003.34	576.41
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E= C - D)</b>	<b>(28,305.40)</b>	<b>2,215.06</b>	<b>(16,050.54)</b>	<b>243.00</b>	<b>(6,469.15)</b>	<b>575.22</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	4,123.31	-	28.72	-	-
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(28,305.40)</b>	<b>6,338.37</b>	<b>(16,050.54)</b>	<b>271.72</b>	<b>(6,469.15)</b>	<b>575.22</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	4,123.31	-	321.82	293.10	-	-
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	4,123.31	-	321.82	-	-
7.3	Add/(Less) : Equalisation	(594.97)	13.04	7,845.27	7,963.89	(272.39)	(2.38)
7.4	Surplus/(Deficit) brought forward	2,228.10	-	14,784.90	6,578.01	572.84	-
<b>8</b>	<b>Total</b>	<b>(22,548.96)</b>	<b>2,228.10</b>	<b>6,901.45</b>	<b>14,784.90</b>	<b>(6,168.70)</b>	<b>572.84</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	-	-	-	-	-	-
9.2	Tax on Income distributed during the year/period	-	-	-	-	-	-
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>(22,548.96)</b>	<b>2,228.10</b>	<b>6,901.45</b>	<b>14,784.90</b>	<b>(6,168.70)</b>	<b>572.84</b>
	<b>Fund Manager</b>	<b>Anish Tawakley and Mittul Kalawadia</b>		<b>Kayzad Eghlim</b>		<b>Ihab Dalwai</b>	

Annexure I- Notes to Accounts

Figures Less than ₹ 500 are shown as zero

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Multicap Fund		ICICI Prudential Retirement Fund - Pure Equity Plan		ICICI Prudential Exports and Services Fund	
		Year Ended		Year Ended	Period Ended	Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	7,372.68	5,764.04	80.52	2.73	1,362.79	1,108.75
1.2	Interest	1,551.44	1,158.58	51.19	13.60	196.49	406.05
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	(130.56)	30.70
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	(0.01)	3,233.28	-	-	-	239.00
1.5	Realised Gains/(Losses) on External Sale/Redemption of Investments	12,636.89	16,225.57	85.99	-	(2,009.67)	8,842.43
1.6	Realised Gains/(Losses) on Derivative Transactions	(7,027.25)	2,187.63	-	-	(732.33)	101.76
1.7	Other Income	254.72	195.42	0.01	-	30.31	84.10
	<b>(A)</b>	<b>14,788.47</b>	<b>28,764.52</b>	<b>217.71</b>	<b>16.33</b>	<b>(1,282.97)</b>	<b>10,812.79</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	3,727.61	3,086.16	14.49	1.68	956.21	980.49
2.3	Goods & Services Tax on Management Fees	670.97	555.51	2.61	0.30	172.12	176.49
2.4	Transfer Agent's Fees & Expenses	301.49	198.86	4.49	0.45	42.50	53.76
2.5	Custodian Fees	14.38	9.78	0.21	0.01	2.56	3.60
2.6	Trusteeship Fees	0.72	0.56	0.01	-	0.12	0.15
2.7	Commission to Agents	3,193.27	2,281.42	114.46	7.20	456.37	593.76
2.8	Marketing & Distribution Expenses	61.28	20.68	1.15	0.06	20.09	6.19
2.9	Audit Fees	1.84	1.78	0.25	0.02	0.95	0.95
2.10	Other Operating Expenses	205.78	126.85	3.71	0.61	27.24	36.75
	<b>(B)</b>	<b>8,177.34</b>	<b>6,281.60</b>	<b>141.38</b>	<b>10.33</b>	<b>1,678.16</b>	<b>1,852.14</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>6,611.13</b>	<b>22,482.92</b>	<b>76.33</b>	<b>6.00</b>	<b>(2,961.13)</b>	<b>8,960.65</b>
4	Change in Unrealised Depreciation in value of Investments (D)	173,119.07	-	1,984.38	-	13,871.96	8,454.87
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>(166,507.94)</b>	<b>22,482.92</b>	<b>(1,908.05)</b>	<b>6.00</b>	<b>(16,833.09)</b>	<b>505.78</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	7,412.68	-	169.04	-	-
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(166,507.94)</b>	<b>29,895.60</b>	<b>(1,908.05)</b>	<b>175.04</b>	<b>(16,833.09)</b>	<b>505.78</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	3,592.86	-	169.04	-	-	6,038.76
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	3,592.86	-	169.04	-	-
7.3	Add/(Less) : Equalisation	136,403.83	36,442.27	(32.29)	0.32	(10,904.99)	7,755.71
7.4	Surplus/(Deficit) brought forward	244,824.15	183,730.60	6.32	-	49,287.94	34,987.55
<b>8</b>	<b>Total</b>	<b>218,312.90</b>	<b>246,475.61</b>	<b>(1,764.98)</b>	<b>6.32</b>	<b>21,549.86</b>	<b>49,287.80</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	3,043.46	1,462.21	-	-	631.45	(0.14)
9.2	Tax on Income distributed during the year/period	393.89	189.25	-	-	81.72	-
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>214,875.55</b>	<b>244,824.15</b>	<b>(1,764.98)</b>	<b>6.32</b>	<b>20,836.69</b>	<b>49,287.94</b>
	<b>Fund Manager</b>	<b>Sankaran Naren and Rajat Chandak</b>		<b>Mrinal Singh, Ashwin Jain, Manish Banthia and Anuj Tagra</b>		<b>Sankaran Naren, Ashwin Jain and Priyanka Khandelwal</b>	

Annexure I- Notes to Accounts

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential Sensex Index Fund		ICICI Prudential Focused Equity Fund		ICICI Prudential Technology Fund	
		Year Ended		Year Ended		Year Ended	
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2019
<b>1</b>	<b>INCOME</b>						
1.1	Dividend	44.39	7.96	1,135.78	1,225.93	954.42	973.49
1.2	Interest	4.45	1.25	407.24	311.08	172.85	261.26
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	-	-	-	-	(115.75)	1.66
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	-	-	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/Redemption of Investments	(12.58)	14.98	4,329.60	2,969.75	1,062.46	1,681.89
1.6	Realised Gains/(Losses) on Derivative Transactions	-	-	832.42	-	-	(3.38)
1.7	Other Income	0.01	-	39.94	16.70	12.15	45.54
	<b>(A)</b>	<b>36.27</b>	<b>24.19</b>	<b>6,744.98</b>	<b>4,523.46</b>	<b>2,086.13</b>	<b>2,960.46</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>						
2.1	Interest on Borrowing	-	-	-	-	-	-
2.2	Management Fees	-	0.29	805.66	758.55	632.11	620.05
2.3	Goods & Services Tax on Management Fees	-	0.05	145.02	136.54	113.78	111.61
2.4	Transfer Agent's Fees & Expenses	0.97	0.72	43.52	39.50	28.28	29.53
2.5	Custodian Fees	0.10	0.07	1.98	1.90	1.76	1.57
2.6	Trusteeship Fees	-	-	0.11	0.11	0.07	0.08
2.7	Commission to Agents	2.30	0.63	530.24	483.40	233.15	318.34
2.8	Marketing & Distribution Expenses	0.06	0.01	5.25	3.99	3.07	3.16
2.9	Audit Fees	-	0.06	0.95	0.95	0.45	0.47
2.10	Other Operating Expenses	2.59	1.11	30.68	25.89	24.26	21.92
	<b>(B)</b>	<b>6.02</b>	<b>2.94</b>	<b>1,563.41</b>	<b>1,450.83</b>	<b>1,036.93</b>	<b>1,106.73</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>30.25</b>	<b>21.25</b>	<b>5,181.57</b>	<b>3,072.63</b>	<b>1,049.20</b>	<b>1,853.73</b>
4	Change in Unrealised Depreciation in value of Investments (D)	1,667.53	-	21,455.80	-	9,618.21	-
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>(1,637.28)</b>	<b>21.25</b>	<b>(16,274.23)</b>	<b>3,072.63</b>	<b>(8,569.01)</b>	<b>1,853.73</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	98.00	-	2,073.80	-	4,421.14
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>(1,637.28)</b>	<b>119.25</b>	<b>(16,274.23)</b>	<b>5,146.43</b>	<b>(8,569.01)</b>	<b>6,274.87</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	96.04	-	890.97	-	12,419.97	7,998.82
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	-	96.04	-	890.97	2,801.76	12,419.97
7.3	Add/(Less) : Equalisation	(5.83)	24.09	(413.39)	3,468.37	(1,863.49)	1,395.69
7.4	Surplus/(Deficit) brought forward	51.58	4.28	34,588.75	26,864.93	10,841.64	8,393.45
<b>8</b>	<b>Total</b>	<b>(1,495.49)</b>	<b>51.58</b>	<b>18,792.10</b>	<b>34,588.76</b>	<b>10,027.35</b>	<b>11,642.86</b>
<b>9</b>	<b>Dividend Appropriation</b>						
9.1	Income Distributed during the year/period	-	-	761.63	0.01	-	709.41
9.2	Tax on Income distributed during the year/period	-	-	98.57	-	-	91.81
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>(1,495.49)</b>	<b>51.58</b>	<b>17,931.90</b>	<b>34,588.75</b>	<b>10,027.35</b>	<b>10,841.64</b>
	<b>Fund Manager</b>	<b>Kayzad Eghlim</b>		<b>Mrinal Singh and Mittul Kalawadia</b>		<b>Sankaran Naren, Ashwin Jain and Priyanka Khandelwal</b>	

Annexure I- Notes to Accounts

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Abridged Revenue Account for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

(₹ in Lakhs)

		ICICI Prudential US Bluechip Equity Fund		ICICI Prudential Bharat Consumption Fund#	ICICI Prudential MNC Fund#	ICICI Prudential Commodities Fund#
		Year Ended		Period Ended	Period Ended	Period Ended
		31-Mar-2020	31-Mar-2019	31-Mar-2020	31-Mar-2020	31-Mar-2020
<b>1</b>	<b>INCOME</b>					
1.1	Dividend	534.49	327.80	499.01	786.01	14.15
1.2	Interest	97.77	59.11	338.41	450.15	4.49
1.3	Realised Gains/(Losses) on Foreign Exchange Transactions	3,353.75	661.83	-	(0.28)	-
1.4	Realised Gains/(Losses) on Interscheme sale of Investments	-	-	-	-	-
1.5	Realised Gains/(Losses) on External Sale/ Redemption of Investments	83.25	1,114.31	207.40	3,572.71	(44.52)
1.6	Realised Gains/(Losses) on Derivative Transactions	-	-	555.19	(2,158.01)	13.47
1.7	Other Income	24.10	27.25	172.28	115.24	4.03
	<b>(A)</b>	<b>4,093.36</b>	<b>2,190.30</b>	<b>1,772.29</b>	<b>2,765.82</b>	<b>(8.38)</b>
<b>2</b>	<b>EXPENSES &amp; LOSSES (Note 7)</b>					
2.1	Interest on Borrowing	-	-	-	-	-
2.2	Management Fees	430.01	185.20	387.35	424.26	5.36
2.3	Goods & Services Tax on Management Fees	77.40	33.34	69.72	76.37	0.96
2.4	Transfer Agent's Fees & Expenses	28.99	14.78	26.85	28.31	0.59
2.5	Custodian Fees	5.78	6.19	2.01	1.76	0.03
2.6	Trusteeship Fees	0.06	0.04	0.09	0.08	-
2.7	Commission to Agents	215.58	134.75	835.98	692.50	9.33
2.8	Marketing & Distribution Expenses	3.66	1.73	4.05	4.73	0.10
2.9	Audit Fees	0.46	0.48	0.94	0.94	0.18
2.10	Other Operating Expenses	30.57	13.12	17.28	20.17	0.54
	<b>(B)</b>	<b>792.51</b>	<b>389.63</b>	<b>1,344.27</b>	<b>1,249.12</b>	<b>17.09</b>
<b>3</b>	<b>Net Realised Gains / (Losses) for the Year / Period (A-B = C)</b>	<b>3,300.85</b>	<b>1,800.67</b>	<b>428.02</b>	<b>1,516.70</b>	<b>(25.47)</b>
4	Change in Unrealised Depreciation in value of Investments (D)	2,982.92	-	7,584.87	12,299.08	820.72
<b>5</b>	<b>Net Gains / (Losses) for the Year/Period (E = C - D)</b>	<b>317.93</b>	<b>1,800.67</b>	<b>(7,156.85)</b>	<b>(10,782.38)</b>	<b>(846.19)</b>
6	Change in Unrealised Appreciation in value of Investments (F)	-	964.92	-	-	-
<b>7</b>	<b>Net Surplus/ (Deficit) for the year/period (G = E + F)</b>	<b>317.93</b>	<b>2,765.59</b>	<b>(7,156.85)</b>	<b>(10,782.38)</b>	<b>(846.19)</b>
7.1	Add: Balance Transfer from Unrealised Appreciation Reserve	1,075.00	15.99	-	-	-
7.2	Less: Balance Transfer to Unrealised Appreciation Reserve	712.33	1,075.00	-	-	-
7.3	Add/(Less) : Equalisation	10,113.36	3,763.05	214.59	60.97	(103.36)
7.4	Surplus/(Deficit) brought forward	13,452.54	7,982.92	-	-	-
<b>8</b>	<b>Total</b>	<b>24,246.50</b>	<b>13,452.54</b>	<b>(6,942.26)</b>	<b>(10,721.41)</b>	<b>(949.55)</b>
<b>9</b>	<b>Dividend Appropriation</b>					
9.1	Income Distributed during the year/period	-	-	-	-	-
9.2	Tax on Income distributed during the year/period	-	-	-	-	-
<b>10</b>	<b>Retained Surplus/ (Deficit) Carried forward to Balance Sheet</b>	<b>24,246.50</b>	<b>13,452.54</b>	<b>(6,942.26)</b>	<b>(10,721.41)</b>	<b>(949.55)</b>
	<b>Fund Manager</b>	<b>Priyanka Khandelwal and Rohan Maru</b>		<b>Dharmesh Kakkad and Rajat Chandak</b>	<b>Anish Tawakley and Priyanka Khandelwal</b>	<b>Sankaran Naren and Mittul Kalawadia</b>

Annexure I- Notes to Accounts

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**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Notes to Accounts – Annexure I to the Abridged Balance Sheet and Revenue Account for the Year ended March 31, 2020 for the following schemes:**

Scheme Code	Scheme Name
BAFIN	ICICI Prudential Banking and Financial Services Fund
BHACON	ICICI Prudential Bharat Consumption Fund
DIVYLD	ICICI Prudential Dividend Yield Equity Fund
EQDINO	ICICI Prudential Equity - Arbitrage Fund
EQDWTO	ICICI Prudential Balanced Advantage Fund
ESTAR	ICICI Prudential Midcap Fund
FMCG	ICICI Prudential FMCG Fund
GIFT	ICICI Prudential Child Care Fund (Gift Plan)
GROWTH	ICICI Prudential Large & Mid Cap Fund
INASIA	ICICI Prudential Smallcap Fund
INDEX	ICICI Prudential Nifty Index Fund
INDOPP	ICICI Prudential India Opportunities Fund
LTWEF	ICICI Prudential Long Term Wealth Enhancement Fund
MGFINDIA	ICICI Prudential Manufacture in India Fund
MNCFUND	ICICI Prudential MNC Fund
NIFJUN	ICICI Prudential Nifty Next 50 Index Fund
PHARMA	ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund
POWER	ICICI Prudential Multicap Fund
RETIREEQ	ICICI Prudential Retirement Fund - Pure Equity Plan
SERVE	ICICI Prudential Exports and Services Fund
SINDEX	ICICI Prudential Sensex Index Fund
TARGET	ICICI Prudential Focused Equity Fund
TECH	ICICI Prudential Technology Fund
USBLUE	ICICI Prudential US Bluechip Equity Fund
COMFUND	ICICI Prudential Commodities Fund

**1 Investments**

1.1 All investments of the scheme except Government Securities and Treasury Bills are registered in the name of the Trustees for the benefits of the Schemes Unitholders. All investments in Government Securities and Treasury Bills are held in an SGL account in the name of "ICICI Prudential Mutual Fund".

**1.2 Open Positions of derivatives:**

Scheme Code	As on March 31, 2020				As on March 31, 2019			
	Long Positions (₹ in Lakhs)	% to Net Assets	Short Positions (₹ in Lakhs)	% to Net Assets	Long Positions (₹ in Lakhs)	% to Net Assets	Short Positions (₹ in Lakhs)	% to Net Assets
BAFIN	7,324.49	2.89%	-	-	-	-	13,358.11	4.40%
DIVYLD	-	-	-	-	350.40	1.85%	-	-
EQDINO	-	-	481,287.30	67.27%	-	-	581,095.93	73.72%
EQDWTO	1,98,156.59	8.67%	1,954.54	0.09%	25,000.00	0.86%	874,150.50	29.91%
ESTAR	-	-	63.35	0.05%	-	-	12,803.59	7.58%
GROWTH	-	-	3,088.45	1.28%	8,142.00	2.66%	167.10	0.05%
INASIA	190.40	0.22%	-	-	-	-	-	-
INDEX	-	-	-	-	-	-	-	-
INDOPP	7,707.54	3.94%	-	-	-	-	-	-
COMFUND	50.31	2.44%	103.29	5.02%	-	-	-	-
MGFINDIA	-	-	1,405.44	2.37%	12,255.14	9.86%	15,665.55	12.60%
MNCFUND	1,137.97	2.38%	285.09	0.60%	-	-	-	-
POWER	12,359.81	2.97%	237.57	0.06%	-	-	-	-
PHARMA	-	-	-	-	-	-	16,948.11	11.32%

Disclosure as required under SEBI Circular -Cir/ IMD/ DF/ 11/ 2010 dated August 18, 2010 – Annexure I(b) for the year 2019-20

a Hedging Positions through Futures as on March 31, 2020:

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
POWER	TATA CONSUMER PRODUCTS LIMITED	(Short)	280.20	293.30	86.12
GROWTH	TATA CONSUMER PRODUCTS LIMITED	(Short)	284.36	293.30	1,119.62
ESTAR	TATA CONSUMER PRODUCTS LIMITED	(Short)	277.10	293.30	22.97
EQDINO	Vedanta Limited	(Short)	65.42	65.15	1,091.42
EQDINO	Tata Motors Ltd.	(Short)	75.01	71.15	545.91
EQDINO	Cholamandalam Investment and Finance Company Ltd.	(Short)	152.19	154.00	22.78
EQDINO	Muthoot Finance Ltd	(Short)	567.08	614.10	458.74
EQDINO	Container Corporation of India Ltd.	(Short)	299.50	332.60	97.50
EQDINO	Coal India Ltd.	(Short)	124.38	140.50	981.70
EQDINO	Manappuram Finance Limited	(Short)	87.52	95.15	317.07
EQDINO	Apollo Hospitals Enterprise Ltd.	(Short)	1,169.08	1,135.90	270.20
EQDINO	BERGER PAINTS INDIA LIMITED	(Short)	423.12	498.45	119.35
EQDINO	Exide Industries Ltd.	(Short)	134.81	132.00	48.42
EQDINO	Power Grid Corporation of India Ltd.	(Short)	157.57	159.60	1,801.62
EQDINO	Oil & Natural Gas Corporation Ltd	(Short)	62.28	68.00	204.33
EQDINO	Steel Authority of India Ltd.	(Short)	22.35	23.05	149.10
EQDINO	NCC LIMITED	(Short)	18.87	18.85	168.34
EQDINO	Mahindra & Mahindra Financial Services Ltd.	(Short)	170.60	147.70	465.45
EQDINO	State Bank of India	(Short)	203.27	196.60	3,361.25
EQDINO	Cipla Ltd.	(Short)	390.37	424.90	1,733.75
EQDINO	GAIL (India) Ltd.	(Short)	72.38	76.95	1,162.20
EQDINO	Glenmark Pharmaceuticals Ltd.	(Short)	203.03	205.75	114.02
EQDINO	Tata Steel Ltd.	(Short)	263.75	269.80	2.95
EQDINO	Torrent Power Ltd	(Short)	276.98	279.35	4.66
EQDINO	Hindustan Petroleum Corporation Ltd.	(Short)	172.70	190.90	25.99
EQDINO	Bank of Baroda	(Short)	53.34	53.40	8.64
EQDINO	HDFC Standard Life Insurance Company Ltd	(Short)	456.12	441.60	965.06
EQDINO	UPL Limited	(Short)	310.03	327.15	883.12
EQDINO	Ultratech Cement Ltd.	(Short)	3,237.56	3,248.70	1,650.36
EQDINO	Adani Enterprises Ltd.	(Short)	141.63	138.30	92.48
EQDINO	ESCORTS LIMITED	(Short)	672.62	661.30	77.09
EQDINO	Vodafone Idea Ltd	(Short)	3.35	3.10	711.55
EQDINO	Punjab National Bank	(Short)	34.69	32.20	96.83
EQDINO	Britannia Industries Ltd	(Short)	2,460.77	2,688.75	247.08
EQDINO	BAJAJ FINSERV LIMITED	(Short)	6,444.48	4,616.55	259.77
EQDINO	CESC Ltd.	(Short)	398.61	408.90	185.72
EQDINO	Dabur India Ltd.	(Short)	418.46	451.40	1,765.88
EQDINO	Divis Laboratories Ltd.	(Short)	1,944.45	1,997.25	1,331.43
EQDINO	MAHANAGAR GAS LIMITED	(Short)	810.64	822.50	448.81
EQDINO	NMDC Ltd.	(Short)	68.67	80.40	206.80
EQDINO	Ujjivan Financial Services LTD	(Short)	157.29	147.80	94.87
EQDINO	Info Edge (India) Ltd.	(Short)	1,982.92	2,037.95	752.18
EQDINO	Dr. Reddys Laboratories Ltd.	(Short)	2,918.27	3,135.50	1,258.78
EQDINO	Infosys Ltd.	(Short)	592.02	643.75	8,707.12
EQDINO	LIC Housing Finance Ltd.	(Short)	246.91	236.05	507.51
EQDINO	Mahindra & Mahindra Ltd.	(Short)	291.08	285.80	300.25
EQDINO	United Spirits Ltd.	(Short)	482.83	486.25	1,821.79
EQDINO	Motherson Sumi Systems Ltd.	(Short)	63.63	61.35	1,221.49
EQDINO	SRF LIMITED	(Short)	2,806.35	2,794.70	862.45
EQDINO	Sun Pharmaceuticals Industries Ltd.	(Short)	350.01	351.80	7,225.58
EQDINO	Tata Consultancy Services Ltd.	(Short)	1,772.68	1,827.45	9,920.10
EQDINO	DLF Ltd.	(Short)	127.26	137.15	1,346.93
EQDINO	Godrej Consumer Products Ltd.	(Short)	476.14	524.25	1,328.00
EQDINO	Grasim Industries Ltd.	(Short)	436.72	478.30	3,690.68
EQDINO	Ambuja Cements Ltd.	(Short)	144.41	153.70	1,157.41
EQDINO	GMR Infrastructure Ltd.	(Short)	15.98	16.35	360.31
EQDINO	Hindalco Industries Ltd.	(Short)	114.56	95.70	1,341.06

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
EQDINO	Hindustan Unilever Ltd.	(Short)	2,109.42	2,296.25	1,815.72
EQDINO	HAVELLS INDIA LIMITED	(Short)	573.30	478.85	1.28
EQDINO	JSW Steel Ltd.	(Short)	152.46	146.95	641.43
EQDINO	Maruti Suzuki India Ltd.	(Short)	4,972.55	4,285.90	2,692.91
EQDINO	Adani Ports and Special Economic Zone Limited	(Short)	223.25	251.40	1,818.84
EQDINO	Nestle India Ltd.	(Short)	14,568.27	16,339.90	792.45
EQDINO	NTPC Ltd.	(Short)	81.94	84.55	806.25
EQDINO	Pidilite Industries Ltd.	(Short)	1,369.90	1,354.85	948.63
EQDINO	Petronet LNG Ltd.	(Short)	192.33	200.70	20.92
EQDINO	Siemens Ltd.	(Short)	1,086.60	1,118.60	134.80
EQDINO	LUPIN LIMITED	(Short)	579.79	589.95	958.99
EQDINO	Bosch Ltd.	(Short)	9,573.59	9,432.15	169.53
EQDINO	Tata Chemicals Ltd.	(Short)	225.92	224.05	45.25
EQDINO	Tata Power Company Ltd.	(Short)	34.00	33.00	1,025.11
EQDINO	TATA CONSUMER PRODUCTS LIMITED	(Short)	248.88	293.30	198.09
EQDINO	TITAN COMPANY LTD	(Short)	919.03	935.70	23.34
EQDINO	Wipro Ltd.	(Short)	177.21	197.60	106.81
EQDINO	Housing Development Finance Corporation Ltd.	(Short)	1,680.26	1,637.05	17,997.81
EQDINO	ACC Ltd.	(Short)	930.43	971.45	333.19
EQDINO	Hero MotoCorp Ltd.	(Short)	1,707.47	1,599.80	1,290.26
EQDINO	ITC Ltd.	(Short)	149.15	171.65	3,611.07
EQDINO	Larsen & Toubro Ltd.	(Short)	845.25	805.75	1,711.87
EQDINO	ADANI POWER LIMITED	(Short)	28.08	27.90	28.09
EQDINO	Asian Paints Ltd.	(Short)	1,616.03	1,670.65	4,136.67
EQDINO	Bharti Airtel Ltd.	(Short)	439.56	442.45	9,032.43
EQDINO	CENTURY TEXTILES AND INDUSTRIES	(Short)	292.70	297.45	422.58
EQDINO	HCL Technologies Ltd.	(Short)	442.66	438.55	3,430.36
EQDINO	Tech Mahindra Ltd.	(Short)	538.85	564.65	2,585.95
EQDINO	Zee Entertainment Enterprises Ltd.	(Short)	137.54	124.55	775.53
EQDINO	HDFC Bank Ltd.	(Short)	927.22	864.40	5,632.75
EQDINO	ICICI BANK LIMITED	(Short)	355.77	323.00	611.13
EQDINO	Marico Ltd.	(Short)	257.17	274.20	1,463.15
EQDINO	SUN TV NETWORK LIMITED	(Short)	300.93	286.50	379.84
EQDINO	Axis Bank Ltd.	(Short)	360.29	380.80	9,716.35
EQDINO	Reliance Industries Ltd.	(Short)	1,202.88	1,117.95	11,528.01
EQDINO	Aurobindo Pharma Ltd.	(Short)	353.99	412.30	877.61
EQDINO	Bajaj Auto Ltd.	(Short)	2,055.43	2,027.15	793.78
EQDINO	Federal Bank Ltd.	(Short)	51.85	41.20	875.22
EQDINO	Power Finance Corporation Ltd.	(Short)	83.61	92.45	90.74
EQDINO	Shriram Transport Finance Company Ltd.	(Short)	591.91	656.80	217.54
EQDINO	Apollo Tyres Ltd.	(Short)	87.03	80.15	6.95
EQDINO	Amara Raja Batteries Ltd.	(Short)	453.14	478.00	8.79
EQDINO	Bharat Heavy Electricals Ltd.	(Short)	22.58	20.85	68.73
EQDINO	Bharat Forge Ltd.	(Short)	298.55	236.00	198.39
EQDINO	Bharat Petroleum Corporation Ltd.	(Short)	290.16	315.85	1,115.38
EQDINO	Biocon Ltd.	(Short)	280.21	271.95	858.99
EQDINO	EICHER MOTORS LIMITED	(Short)	14,501.54	13,115.65	778.66
EQDINO	EQUITAS HOLDINGS LTD	(Short)	50.10	42.70	75.51
EQDINO	Indian Oil Corporation Ltd.	(Short)	77.18	81.95	1,237.06
EQDINO	IndusInd Bank Ltd.	(Short)	365.92	353.45	1,676.00
EQDINO	MRF LIMITED	(Short)	59,121.05	57,660.20	40.87
EQDINO	Rural Electrification Corporation Ltd.	(Short)	86.41	89.05	237.21
EQDWTO	Cipla Ltd.	(Short)	419.68	424.90	482.27
MGFINDIA	TATA CONSUMER PRODUCTS LIMITED	(Short)	280.14	293.30	413.40
MNCFUND	TATA CONSUMER PRODUCTS LIMITED	(Short)	280.26	293.30	103.35
COMFUND	Tata Steel Ltd.	(Short)	287.77	269.80	11.81
COMFUND	Ultratech Cement Ltd.	(Short)	3,266.70	3,248.70	10.66
COMFUND	Vedanta Limited	(Short)	64.76	65.15	11.82
COMFUND	SHREE CEMENT LIMITED	(Short)	17,682.00	17,564.05	2.41

b Other than hedging Positions through Futures as on March 31, 2020:

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
EQDWTO	NIFTY	Long	8,559.13	8,620.95	21,434.99
MGFINDIA	NIFTY	(Short)	8,744.30	8,620.95	63.79
MNCFUND	NIFTY	Long	8,709.39	8,620.95	274.59
POWER	Hindalco Industries Ltd.	Long	116.85	95.70	681.45
POWER	HDFC Bank Ltd.	Long	901.55	864.40	3,540.31
EQDWTO	Biocon Ltd.	Long	280.45	271.95	846.56
EQDWTO	LUPIN LIMITED	Long	566.85	589.95	660.28
EQDWTO	HCL Technologies Ltd.	Long	434.70	438.55	1,184.98
EQDWTO	Tata Consultancy Services Ltd.	Long	1,787.70	1,827.45	4,733.61
EQDWTO	Asian Paints Ltd.	Long	1,622.18	1,670.65	3,366.51
EQDWTO	Kotak Mahindra Bank Ltd.	Long	1,341.97	1,292.90	3,033.27
EQDWTO	Marico Ltd.	Long	255.77	274.20	333.89
EQDWTO	Bharti Airtel Ltd.	Long	441.20	442.45	3,393.47
EQDWTO	Housing Development Finance Corporation Ltd.	Long	1,614.54	1,637.05	3,103.30
EQDWTO	HDFC Bank Ltd.	Long	873.45	864.40	3,180.35
INASIA	EQUITAS HOLDINGS LTD	Long	42.30	42.70	102.64
BAFIN	EQUITAS HOLDINGS LTD	Long	44.57	42.70	338.38
BAFIN	IndusInd Bank Ltd.	Long	439.93	353.45	695.12
BAFIN	LIC Housing Finance Ltd.	Long	258.35	236.05	615.69
BAFIN	State Bank of India	Long	193.20	196.60	422.25
BAFIN	Federal Bank Ltd.	Long	49.77	41.20	1,346.16
INDOPP	Federal Bank Ltd.	Long	45.30	41.20	719.64
INDOPP	Tata Power Company Ltd.	Long	33.85	33.00	1,061.75
INDOPP	INTERGLOBE AVIATION LTD.	Long	995.99	1,054.20	765.60
COMFUND	Reliance Industries Ltd.	Long	1,100.48	1,117.95	19.26

c Hedging Positions through Put Options as on March 31, 2020 is Nil.

d Hedging Positions through Call Options as on March 31, 2020 Nil.

e Other than Hedging Position through Options as on March 31, 2020 is Nil.

f For the year/period ended March 31, 2020, the following transactions through futures have been squared off/ expired:

Scheme Code	Hedging Transactions					Total % of existing Assets hedged through Futures
	Transactions Where futures were bought		Transactions Where futures were Sold		Combined Hedged Net Profit/(Loss) (₹ in Lakhs)	
	No of Contract	Gross Notional Value (₹ in Lakhs)	No of Contract *	Gross Notional Value (₹ in Lakhs)		
BAFIN	-	-	5,410.00	42,882.76	(249.03)	-
COMFUND	-	-	130.00	727.27	25.45	5.02%
DIVYLD	-	-	34.00	327.26	63.95	-
EQDINO	-	-	1,545,372.50	9,930,269.25	316,823.34	67.27%
EQDWTO	-	-	908,565.00	5,976,952.86	78,770.05	^ ^
ESTAR	-	-	20,372.00	125,940.76	4,077.83	^ ^
GIFT	-	-	472.00	4,402.99	(5.28)	-
GROWTH	-	-	5,134.00	33,495.91	(163.18)	1.28%
INDOPP	-	-	108.00	1,088.11	25.53	-
MGFINDIA	-	-	15,762.00	89,638.42	(1,249.29)	1.92%
MNCFUND	-	-	21,521.00	135,175.91	(1,692.11)	0.60%
PHARMA	-	-	10,312.00	65,415.31	2,397.30	-
POWER	-	-	770.00	4,856.02	(2.07)	^ ^
TARGET	-	-	400.00	3,730.94	(178.08)	-
BHACON	-	-	6,538.00	39,001.32	543.87	-

\* Number of contracts have been arrived using the applicable lot size as on the date of original purchase.

^ ^ Amount less than Rs. 0.005 lakhs or 0.005%

Other than Hedging Transactions						
Scheme Code	Transactions Where futures were bought		Transactions Where futures were Sold		Combined Non Hedged Net Profit/(Loss) (₹ in Lakhs)	Total exposure due to Futures (non hedging positions) as a % of Net Assets
	No of Contract *	Gross Notional Value (₹ in Lakhs)	No of Contract *	Gross Notional Value (₹ in Lakhs)		
BAFIN	7,509.00	44,768.93	4,376.00	26,191.30	(7,883.78)	2.89%
BHACON	1,785.00	15,408.44	-	-	11.33	-
COMFUND	22.00	160.17	-	-	(11.98)	2.44%
DIVYLD	40.00	348.63	-	-	5.68	-
EQDINO	6,982.00	47,397.89	32.00	139.30	39.50	-
EQDWTO	162,061.00	1,329,073.90	295,917.00	2,645,538.85	(31,719.08)	7.36%
ESTAR	4,738.00	38,174.73	-	-	(658.53)	-
GROWTH	3,786.00	25,688.60	400.00	3,499.86	(93.30)	-
INDOPP	7,191.00	36,498.03	-	-	(3,592.78)	3.94%
MGFINDIA	3,069.00	27,079.37	3,206.00	28,506.76	628.86	^ ^
MNCFUND	514.00	4,075.84	-	-	(465.49)	2.38%
NIFJUN	36.00	366.73	-	-	40.65	-
POWER	17,288.00	105,102.19	-	-	(7,025.69)	2.97%
SERVE	704.00	4,147.93	-	-	(732.29)	-
TARGET	-	-	4,116.00	37,020.89	1,012.36	-
INASIA	91.00	398.12	-	-	(212.49)	^ ^

\* Number of contracts have been arrived using the applicable lot size as on the date of original purchase.

^ ^ Amount less than Rs. 0.005 lakhs or 0.005%

g Hedging transaction through Options were transacted during the year ended March 31, 2020 is Nil

h For the year/period ended March 31, 2020 with regard to non-hedging transactions through options which have already been exercised/expired:

Scheme	Call/Put	Underlying Security Name	Strike price	Number of contracts *	Gross Notional Value (in ₹ in Lakhs)	Net Profit/(Loss) (in ₹ in Lakhs)
POWER	Call	BANKEK	29,000.00	12	1.85	1.53
BAFIN	Call	HDFC Bank Ltd.	1,220.00	150	21.66	3.73

\* Number of contracts have been arrived using the applicable lot size as on the date of original purchase.

i Hedging Positions through swap as on March 31, 2020:

Scheme	Long / (Short) Positions	Underlying Security	Notional Value (₹ in Lakhs)	Maturity Date *
EQDWTO	Long	GE SHIPPING 8.70% NCD MD 31-May-2025	5,000.00	03-Apr-20
	(Short)		(5,000.00)	12-Sep-24
EQDWTO	Long	7.57% GOI - MD - 17-Jun-2033	10,000.00	03-Apr-20
	(Short)		(10,000.00)	14-Mar-24
EQDWTO	Long	Zydus Wellness Ltd NCD 9.14% - TRANCHE II - MD - 16-Jan-2023	5,000.00	03-Apr-20
	(Short)		(5,000.00)	12-Sep-22
EQDWTO	Long	ICICI Bank Ltd 9.15% Perp NCD - Call 20-Jun-23 - MD - 31-Dec-2099	10,000.00	03-Apr-20
	(Short)		(10,000.00)	12-Sep-22

\* Long position represents the floating leg of IRS contract and the maturity date is considered as next interest fixing date.

\* Short position represents the fixed leg of IRS contract and the maturity date is considered as the maturity date of IRS contract.

j Investment in repo transaction of corporate debt securities during the year ended March 31, 2020 is Nil.

Disclosure as required under SEBI Circular -Cir/ IMD/ DF/ 11/ 2010 dated August 18, 2010 – Annexure I(b) for the year 2018-19

a Hedging Positions through Futures as on March 31, 2019:

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
GROWTH	ACC Ltd.	(Short)	1,608.47	1,670.95	28.68
ESTAR	Bank of Baroda	(Short)	121.00	129.65	96.80
ESTAR	CASTROL INDIA LIMITED	(Short)	163.94	167.80	248.51
ESTAR	Jindal Steel & Power Ltd.	(Short)	162.90	181.15	49.20
ESTAR	JUBILANT FOODWORKS LIMITED	(Short)	1,461.15	1,456.55	168.36
ESTAR	LIC Housing Finance Ltd.	(Short)	533.03	536.75	96.01
ESTAR	MAX FINANCIAL SERVICES LIMITED	(Short)	444.97	438.65	233.55
ESTAR	MindTree Ltd.	(Short)	955.21	952.45	33.08
ESTAR	BHARAT FINANCIAL INCLUSION LTD	(Short)	1,071.75	1,133.00	269.54
ESTAR	Union Bank of India	(Short)	91.85	96.30	75.08
ESTAR	Tata Communications Ltd.	(Short)	596.94	617.35	53.97

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
ESTAR	Mahindra & Mahindra Financial Services Ltd.	(Short)	428.45	424.55	647.31
ESTAR	Muthoot Finance Ltd	(Short)	601.81	619.90	325.03
EQDINO	Union Bank of India	(Short)	91.44	96.30	641.08
EQDINO	Wipro Ltd.	(Short)	257.75	256.95	14.48
EQDINO	Hindalco Industries Ltd.	(Short)	207.36	207.10	852.97
EQDINO	Mahindra & Mahindra Ltd.	(Short)	676.97	677.40	3,140.66
EQDINO	Bharat Electronics Ltd.	(Short)	94.40	94.05	-
EQDINO	Glenmark Pharmaceuticals Ltd.	(Short)	656.26	652.65	-
EQDINO	Punjab National Bank	(Short)	91.61	96.25	572.11
EQDINO	MindTree Ltd.	(Short)	948.16	952.45	210.52
EQDINO	Ashok Leyland Ltd.	(Short)	88.28	92.10	218.29
EQDINO	Asian Paints Ltd.	(Short)	1,476.71	1,501.50	1,449.45
EQDINO	Infosys Ltd.	(Short)	741.68	747.25	3,747.46
EQDINO	State Bank of India	(Short)	301.19	322.05	5,685.60
EQDINO	ADANI POWER LIMITED	(Short)	48.20	49.10	238.78
EQDINO	Bajaj Finance Ltd.	(Short)	2,925.93	3,046.45	1,585.77
EQDINO	Bank of Baroda	(Short)	120.83	129.65	1,307.81
EQDINO	Bharat Forge Ltd.	(Short)	494.64	516.45	547.42
EQDINO	Reliance Infrastructure Ltd.	(Short)	131.70	138.35	284.49
EQDINO	Bharti Airtel Ltd.	(Short)	331.84	335.20	2,715.23
EQDINO	BAJAJ FINSERV LIMITED	(Short)	7,033.87	7,079.60	2,927.74
EQDINO	BHARTI INFRA TEL LIMITED	(Short)	311.57	315.20	286.92
EQDINO	CENTURY TEXTILES AND INDUSTRIES	(Short)	913.21	940.30	725.47
EQDINO	Cadila Healthcare Ltd.	(Short)	335.31	349.40	108.89
EQDINO	Cholamandalam Investment and Finance Company Ltd.	(Short)	1,337.10	1,459.90	27.04
EQDINO	Canara Bank	(Short)	270.74	293.80	101.48
EQDINO	Divis Laboratories Ltd.	(Short)	1,712.63	1,716.70	191.56
EQDINO	Exide Industries Ltd.	(Short)	220.61	220.65	28.26
EQDINO	Godrej Consumer Products Ltd.	(Short)	695.99	689.10	40.63
EQDINO	Grasim Industries Ltd.	(Short)	820.89	863.10	196.78
EQDINO	Ambuja Cements Ltd.	(Short)	231.48	237.35	1,567.69
EQDINO	Hero MotoCorp Ltd.	(Short)	2,595.15	2,574.45	815.83
EQDINO	IRB INFRASTRUCTURE DEVELOPERS LTD	(Short)	141.40	146.05	100.54
EQDINO	Kotak Mahindra Bank Ltd.	(Short)	1,336.85	1,342.60	1,884.28
EQDINO	The Karnataka Bank Limited	(Short)	128.95	134.60	340.62
EQDINO	INDIABULLS HOUSING FINANCE LTD	(Short)	729.58	860.90	2,842.70
EQDINO	LIC Housing Finance Ltd.	(Short)	536.86	536.75	647.06
EQDINO	Adani Ports and Special Economic Zone Limited	(Short)	365.33	380.05	1,258.73
EQDINO	Manappuram Finance Limited	(Short)	120.73	125.90	122.13
EQDINO	Nestle India Ltd.	(Short)	10,489.33	11,044.75	55.93
EQDINO	NCC LIMITED	(Short)	110.17	113.55	426.10
EQDINO	Shriram Transport Finance Company Ltd.	(Short)	1,208.16	1,281.90	298.43
EQDINO	SUZLON ENERGY LIMITED	(Short)	6.56	6.25	603.42
EQDINO	SUN TV NETWORK LIMITED	(Short)	598.13	631.90	929.46
EQDINO	BHARAT FINANCIAL INCLUSION LTD	(Short)	1,097.19	1,133.00	1,608.16
EQDINO	Tata Power Company Ltd.	(Short)	72.31	74.35	782.80
EQDINO	TITAN COMPANY LTD	(Short)	1,107.48	1,145.05	2,270.54
EQDINO	WOCKHARDT LIMITED	(Short)	436.67	446.70	51.18
EQDINO	UPL Limited	(Short)	909.39	962.35	4,890.14
EQDINO	Aurobindo Pharma Ltd.	(Short)	781.22	790.80	3,455.93
EQDINO	ITC Ltd.	(Short)	296.88	299.00	542.75
EQDINO	Hindustan Unilever Ltd.	(Short)	1,707.81	1,714.70	195.62
EQDINO	TV18 Broadcast Ltd	(Short)	35.49	35.75	267.10
EQDINO	HCL Technologies Ltd.	(Short)	1,023.80	1,094.70	601.89
EQDINO	LUPIN LIMITED	(Short)	733.37	745.70	823.99
EQDINO	Reliance Power Ltd.	(Short)	11.01	11.50	60.56
EQDINO	Tata Motors Ltd.	(Short)	175.92	175.65	2.87
EQDINO	Tata Steel Ltd.	(Short)	519.58	524.95	2,885.79



Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
EQDINO	Axis Bank Ltd.	(Short)	767.83	780.30	553.11
EQDINO	Tata Consultancy Services Ltd.	(Short)	1,999.13	2,014.60	3,296.84
EQDINO	Britannia Industries Ltd	(Short)	3,098.87	3,102.25	195.13
EQDINO	Biocon Ltd.	(Short)	615.96	615.75	79.56
EQDINO	Federal Bank Ltd.	(Short)	91.43	97.05	843.05
EQDINO	IFCI LIMITED	(Short)	13.47	13.95	73.12
EQDINO	IDFC Ltd.	(Short)	44.97	46.85	870.55
EQDINO	JUBILANT FOODWORKS LIMITED	(Short)	1,465.22	1,456.55	694.16
EQDINO	Cummins India Ltd.	(Short)	745.76	752.95	437.98
EQDINO	United Spirits Ltd.	(Short)	556.41	557.00	204.54
EQDINO	RAYMOND LIMITED	(Short)	811.08	817.15	138.88
EQDINO	Steel Authority of India Ltd.	(Short)	50.71	54.15	835.04
EQDINO	Tata Motors Ltd.	(Short)	87.00	86.25	351.36
EQDINO	Tata Chemicals Ltd.	(Short)	583.92	594.20	216.72
EQDINO	Yes Bank Ltd.	(Short)	250.59	276.95	5,870.11
EQDINO	Reliance Industries Ltd.	(Short)	1,361.89	1,372.60	9,608.69
EQDINO	Mahindra & Mahindra Financial Services Ltd.	(Short)	420.66	424.55	733.11
EQDINO	Housing Development Finance Corporation Ltd.	(Short)	1,986.51	1,976.60	12,864.76
EQDINO	Maruti Suzuki India Ltd.	(Short)	6,781.82	6,719.40	1,353.60
EQDINO	Cipla Ltd.	(Short)	531.74	532.70	383.32
EQDINO	Dr. Reddys Laboratories Ltd.	(Short)	2,783.96	2,796.15	3,610.08
EQDINO	GUJARAT STATE FERTILIZERS AND CHEMICALS LTD	(Short)	102.03	105.20	270.36
EQDINO	NTPC Ltd.	(Short)	134.63	135.60	-
EQDINO	NMDC Ltd.	(Short)	105.12	105.25	-
EQDINO	Oil & Natural Gas Corporation Ltd	(Short)	157.70	160.75	-
EQDINO	Power Finance Corporation Ltd.	(Short)	123.65	124.05	-
EQDINO	Ultratech Cement Ltd.	(Short)	4,017.23	4,031.55	-
EQDINO	JSW Steel Ltd.	(Short)	285.00	294.90	825.13
EQDINO	Sun Pharmaceuticals Industries Ltd.	(Short)	470.61	481.70	4,975.99
EQDWTO	Apollo Tyres Ltd.	(Short)	213.58	223.35	957.95
EQDWTO	CASTROL INDIA LIMITED	(Short)	161.44	167.80	241.55
EQDWTO	Dabur India Ltd.	(Short)	422.57	412.25	719.27
EQDWTO	EICHER MOTORS LIMITED	(Short)	21,035.02	20,473.45	1,221.22
EQDWTO	HDFC Bank Ltd.	(Short)	2,288.46	2,329.40	6,088.75
EQDWTO	Hindustan Unilever Ltd.	(Short)	1,679.75	1,714.70	507.89
EQDWTO	HCL Technologies Ltd.	(Short)	1,032.82	1,094.70	5,796.71
EQDWTO	ITC Ltd.	(Short)	295.38	299.00	1,800.65
EQDWTO	ICICI BANK LIMITED	(Short)	388.92	400.50	3,944.03
EQDWTO	Larsen & Toubro Ltd.	(Short)	1,387.60	1,391.50	3,361.46
EQDWTO	Bosch Ltd.	(Short)	18,253.48	18,324.60	1,117.74
EQDWTO	Maruti Suzuki India Ltd.	(Short)	6,561.96	6,719.40	2,360.23
EQDWTO	Motherson Sumi Systems Ltd.	(Short)	145.88	150.00	630.14
EQDWTO	Power Grid Corporation of India Ltd.	(Short)	203.15	199.25	703.65
EQDWTO	PAGE INDUSTRIES LIMITED	(Short)	23,929.08	24,985.95	109.75
EQDWTO	Petronet LNG Ltd.	(Short)	240.32	252.40	222.65
EQDWTO	Tata Motors Ltd.	(Short)	173.10	175.65	2,005.10
EQDWTO	Wipro Ltd.	(Short)	258.69	256.95	2,639.36
EQDWTO	Yes Bank Ltd.	(Short)	252.10	276.95	5,277.51
EQDWTO	Zee Entertainment Enterprises Ltd.	(Short)	424.51	447.20	2,491.42
EQDWTO	Tech Mahindra Ltd.	(Short)	783.27	779.45	6,613.11
EQDWTO	UNITED BREWERIES LIMITED	(Short)	1,335.70	1,405.90	264.19
EQDWTO	Axis Bank Ltd.	(Short)	750.85	780.30	7,706.97
EQDWTO	Sun Pharmaceuticals Industries Ltd.	(Short)	471.10	481.70	4,797.58
EQDWTO	Bajaj Finance Ltd.	(Short)	2,907.88	3,046.45	923.04
EQDWTO	Bharat Electronics Ltd.	(Short)	93.35	94.05	153.05
EQDWTO	Britannia Industries Ltd	(Short)	3,112.82	3,102.25	3,955.25
EQDWTO	Cipla Ltd.	(Short)	532.03	532.70	2,119.93
EQDWTO	Housing Development Finance Corporation Ltd.	(Short)	1,954.01	1,976.60	11,355.32
EQDWTO	Infosys Ltd.	(Short)	729.45	747.25	11,321.02

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
EQDWTO	LUPIN LIMITED	(Short)	741.94	745.70	1,099.85
EQDWTO	MAX FINANCIAL SERVICES LIMITED	(Short)	444.00	438.65	132.66
EQDWTO	Oil & Natural Gas Corporation Ltd	(Short)	159.42	160.75	1,041.68
EQDWTO	PVR LIMITED	(Short)	1,576.00	1,658.75	136.23
EQDWTO	State Bank of India	(Short)	306.78	322.05	4,978.29
EQDWTO	Mahindra & Mahindra Financial Services Ltd.	(Short)	423.44	424.55	80.08
EQDWTO	Reliance Industries Ltd.	(Short)	1,375.15	1,372.60	1,103.59
EQDWTO	TITAN COMPANY LTD	(Short)	1,120.72	1,145.05	3,033.41
EQDWTO	Tata Consultancy Services Ltd.	(Short)	2,002.22	2,014.60	185.53
EQDWTO	Ultratech Cement Ltd.	(Short)	4,003.32	4,031.55	211.00
EQDWTO	Ashok Leyland Ltd.	(Short)	86.89	92.10	1,841.95
EQDWTO	Asian Paints Ltd.	(Short)	1,493.71	1,501.50	476.77
EQDWTO	Bank of Baroda	(Short)	123.46	129.65	2,175.74
EQDWTO	Bharti Airtel Ltd.	(Short)	328.86	335.20	2,331.32
EQDWTO	Colgate Palmolive (India) Ltd.	(Short)	1,265.02	1,262.80	607.42
EQDWTO	Hindalco Industries Ltd.	(Short)	210.76	207.10	596.32
EQDWTO	Kotak Mahindra Bank Ltd.	(Short)	1,348.46	1,342.60	2,969.17
EQDWTO	Mahindra & Mahindra Ltd.	(Short)	662.11	677.40	1,124.58
EQDWTO	Tata Steel Ltd.	(Short)	516.48	524.95	2,126.17
EQDWTO	Dr. Reddys Laboratories Ltd.	(Short)	2,747.76	2,796.15	1,035.24
PHARMA	Biocon Ltd.	(Short)	617.08	615.75	205.70
PHARMA	Cadila Healthcare Ltd.	(Short)	337.47	349.40	696.30
PHARMA	Torrent Pharmaceuticals Ltd.	(Short)	1,909.66	1,962.60	554.06
PHARMA	Dr. Reddys Laboratories Ltd.	(Short)	2,747.80	2,796.15	1,472.03
MGFINDIA	Maruti Suzuki India Ltd.	(Short)	6,558.04	6,719.40	1,238.53
MGFINDIA	Hindustan Unilever Ltd.	(Short)	1,704.06	1,714.70	1,493.17

b Other than hedging Positions through Futures as on March 31, 2019:

Scheme Code	Underlying Security Name	Long/(Short)	Future price when purchased (in ₹)	Current price of the contract (in ₹)	Margin Maintained (₹ in Lakhs)
GROWTH	Oil & Natural Gas Corporation Ltd	Long	158.92	160.75	159.04
GROWTH	NTPC Ltd.	Long	137.06	135.60	1,372.39
EQDWTO	NIFTY	(Short)	11,608.79	11,679.90	21,135.53
BAFIN	BANKEX	(Short)	30,263.36	30,525.85	1,507.26
DIVYLD	NIFTY	Long	11,620.95	11,679.90	39.66
MGFINDIA	NIFTY	Long	11,607.35	11,679.90	1,386.95

c Hedging Positions through Put Options as on March 31, 2019 is Nil.

d Hedging Positions through Call Options as on March 31, 2019 Nil.

e Other than Hedging Position through Options as on March 31, 2019 is Nil.

f For the year/period ended March 31, 2019, the following transactions through futures have been squared off/ expired:

Scheme Code	Hedging Transactions					Total % of existing Assets hedged through Futures
	Transactions Where futures were bought		Transactions Where futures were Sold		Combined Hedged Net Profit/(Loss) (₹ in Lakhs)	
	No of Contract	Gross Notional Value (₹ in Lakhs)	No of Contract *	Gross Notional Value (₹ in Lakhs)		
BAFIN	-	-	361.00	3,270.72	(79.40)	-
DIVYLD	-	-	364.00	2,281.92	6.57	-
EQDINO	-	-	1,148,215.78	7,398,097.10	24,700.15	73.29%
EQDWTO	-	-	1,208,008.00	8,533,047.67	(38,542.49)	22.16%
ESTAR	-	-	15,664.50	90,263.80	933.94	7.52%
GIFT	-	-	640.00	5,496.32	82.75	-
GROWTH	-	-	12,922.00	73,556.41	2,550.10	0.05%
INASIA	-	-	230.00	2,030.52	50.34	-
MGFINDIA	-	-	28,998.00	169,714.20	(1,568.25)	12.53%
PHARMA	-	-	32,839.00	202,841.54	(2,352.72)	11.25%
POWER	-	-	2,692.00	17,701.29	716.52	-
SERVE	-	-	283.00	1,811.40	101.76	-

Other than Hedging Transactions						
Scheme Code	Transactions Where futures were bought		Transactions Where futures were Sold		Combined Non Hedged Net Profit/(Loss) (₹ in Lakhs)	Total exposure due to Futures (non hedging positions) as a % of Net Assets
	No of Contract *	Gross Notional Value (₹ in Lakhs)	No of Contract *	Gross Notional Value (₹ in Lakhs)		
BAFIN	19,805.00	152,062.87	6,722.00	43,440.98	3,129.11	4.40%
DIVYLD	80.00	646.42	409.00	3,792.99	60.85	1.85%
EQDINO	4,278.00	26,433.85	750.00	3,345.52	437.69	-
EQDWTO	214,404.00	1,688,874.75	205,269.00	1,699,098.56	3,758.68	7.64%
ESTAR	2,186.00	14,938.71	741.00	6,024.04	-45.71	-
GIFT	4,179.00	28,142.42	-	0.00	139.32	-
GROWTH	7,239.40	48,916.15	300.00	1,642.41	260.61	2.66%
INDOPP	90.00	451.16	-	0.00	37.62	-
MGFINDIA	11,429.00	90,626.53	-	0.00	1,023.17	9.86%
NIFJUN	13.00	68.83	-	0.00	1.06	-
POWER	7,765.00	40,417.37	-	0.00	1,471.11	-
TECH	89.00	699.53	-	0.00	-3.38	-
FMCG	175.00	1,162.62	-	-	(21.12)	-
INASIA	429.67	3,072.39	-	-	(32.24)	-
INDEX	193.00	1,587.29	-	-	1.61	-

\* Number of contracts have been arrived using the applicable lot size as on the date of original purchase.

g Hedging transaction through Options were transacted during the year ended March 31, 2019 is Nil.

h For the year/period ended March 31, 2019, with regard to non-hedging transactions through options which have already been exercised/expired is Nil

i Hedging Positions through swap as on March 31, 2019:

Scheme	Long / (Short) Positions	Underlying Security	Notional Value (₹ in Lakhs)	Maturity Date *
EQDWTO	Long	GE SHIPPING 8.70% NCD MD 31-May-2025	5,000.00	02-Apr-19
	(Short)		(5,000.00)	14-Mar-24
EQDWTO	Long	8.18% TN SDL - MD - 19-Dec-2028 8.30% GJ SDL - MD - 13-Mar-2029 8.41% Housing and Urban Develop Corp NCD - GOI Svcd - MD - 15-Mar-2029 GE SHIPPING 8.70% NCD MD 31-May-2025	10,000.00	02-Apr-19
	(Short)		(10,000.00)	14-Mar-24
EQDWTO	Long	*8.18% TN SDL - MD - 19-Dec-2028 8.32% KA SDL - MD - 13-Mar-2029*	10,000.00	02-Apr-19
	(Short)		(10,000.00)	14-Mar-24

\* Long position represents the floating leg of IRS contract and the maturity date is considered as next interest fixing date.

\* Short position represents the fixed leg of IRS contract and the maturity date is considered as the maturity date of IRS contract.

j Investment in repo transaction of corporate debt securities during the year ended March 31, 2019 is Nil.

1.3 Investments made by schemes in Sponsor/Associates/Group Companies:

(₹ in Lakhs)

Scheme Code	Name of Sponsor/ Associate/Group Company	Nature of Investment	2019-20	2018-19
			Market value of Investment by Scheme	Market value of Investment by Scheme
BAFIN	ICICI Bank Limited	Equity Shares	31,833.62	40,720.26
BAFIN	ICICI Lombard General Insurance Company Limited &	Equity Shares	6,171.77	4,580.45
BAFIN	ICICI Prudential Life Insurance Company Limited &	Equity Shares	7,794.45	7,675.03
BAFIN	ICICI Securities Ltd. &	Equity Shares	4,933.01	-
EQDINO	GMR Infrastructure Ltd \$	Equity Shares	1,236.06	-
EQDINO	ICICI Bank Limited	Equity Shares	1,638.18	-
EQDWTO	Brigade Enterprises Limited \$	Equity Shares	3,127.11	3,605.00
EQDWTO	ICICI Bank Limited	Debentures and Bonds	72,044.78	76,642.86
EQDWTO	ICICI Bank Limited	Equity Shares	80,605.39	61,320.43
EQDWTO	ICICI Lombard General Insurance Company Limited &	Equity Shares	9,326.48	6,835.02
EQDWTO	ICICI Prudential Life Insurance Company Limited &	Equity Shares	-	1,287.09
EQDWTO	ICICI Securities Ltd. &	Equity Shares	7,974.44	6,980.70
ESTAR	Brigade Enterprises Limited \$	Equity Shares	-	41.65
ESTAR	Gammon Infrastructure Projects Limited	Equity Shares	-	70.98
ESTAR	ICICI Lombard General Insurance Company Limited &	Equity Shares	1,474.51	1,513.42
GIFT	Birla Corporation Limited \$	Equity Shares	171.60	-
GIFT	ICICI Bank Limited	Equity Shares	1,501.69	-
GIFT	ICICI Securities Ltd &	Equity Shares	204.11	-
GROWTH	ICICI Bank Limited	Equity Shares	15,356.84	11,179.97

Scheme Code	Name of Sponsor/ Associate/Group Company	Nature of Investment	2019-20	2018-19
			Market value of Investment by Scheme	Market value of Investment by Scheme
GROWTH	ICICI Lombard General Insurance Company Limited &	Equity Shares	3,744.65	4,509.30
INASIA	Brigade Enterprises Limited \$	Equity Shares	1,577.19	798.24
INASIA	ICICI Bank Limited	Equity Shares	1,176.18	-
INASIA	Aster DM Healthcare Limited \$	Equity Shares	1,502.04	-
INASIA	Birla Corporation Limited \$	Equity Shares	729.71	-
INDEX	ICICI Bank Limited	Equity Shares	4,087.79	2,203.51
INDOPP	ICICI Bank Limited	Equity Shares	3,237.50	5,553.37
INDOPP	ICICI Prudential Life Insurance Company Limited &	Equity Shares	-	2,267.07
LTWFEF	ICICI Bank Limited	Equity Shares	121.68	81.78
NIFJUN	ICICI Lombard General Insurance Company Limited &	Equity Shares	2,249.16	1,020.04
NIFJUN	ICICI Prudential Life Insurance Company Limited &	Equity Shares	1,290.23	650.83
PHARMA	Aster DM Healthcare Limited \$	Equity Shares	1,607.23	2,014.00
POWER	Brigade Enterprises Limited \$	Equity Shares	-	342.98
POWER	ICICI Bank Limited	Equity Shares	24,873.95	10,012.50
POWER	ICICI Lombard General Insurance Company Limited &	Equity Shares	8,126.21	-
POWER	ICICI Prudential Life Insurance Company Limited &	Equity Shares	-	6,142.61
RETIREEQ	ICICI Bank Limited	Equity Shares	189.93	175.23
SERVE	ICICI Bank Limited	Equity Shares	4,208.75	5,416.03
COMFUND	Birla Corporation Limited \$	Equity Shares	3.78	-
SINDEX	ICICI Bank Limited	Equity Shares	552.20	79.29

(₹ in Lakhs)

Name of Sponsor/ Associate/Group Company	Nature of Investment	2019-20	2018-19
		Aggregate Market Value of Investment by all Schemes	Aggregate Market Value of Investment by all Schemes
Aster DM Healthcare Limited \$	Equity Shares	3,203.48	2,144.63
Birla Corporation Limited \$	Equity Shares	1,257.82	0.09
Brigade Enterprises Limited \$	Equity Shares	7,711.08	14,184.22
Shriram City Union Finance Limited \$	Equity Shares	7.54	-
Gammon Infrastructure Projects Limited	Equity Shares	-	70.98
GMR Infrastructure Ltd \$	Equity Shares	1,252.43	0.27
ICICI Bank Limited	Debentures and Bonds	225,706.15	318,759.50
ICICI Bank Limited	Equity Shares	529,508.11	579,737.79
ICICI Home Finance Company Limited &	Debentures and Bonds	14,093.20	9,924.08
ICICI Lombard General Insurance Company Limited &	Equity Shares	45,622.13	29,382.91
ICICI Prudential Life Insurance Company Limited &	Equity Shares	15,857.57	23,562.82
ICICI Securities Ltd. &	Equity Shares	26,113.17	18,624.99

The above investments are in normal course and are in accordance with the investment objective of relevant scheme.

\$ The disclosure has been made as director (s) of the AMC is/ are also on the board of the aforesaid companies.

& The disclosure has been made as Group company and as director (s) of the AMC is/ are also on the board of the aforesaid companies.

**1.4 Subscription by scheme (excluding Secondary Market Purchases) in the issues lead managed by the associate company during 2019-20:**

(₹ in Lakhs)

Name of the Issuer	Instrument	Scheme Code	Amount
Bharti Airtel Limited	Equity Share	EQDWTO	756.11
Bharti Airtel Limited	Equity Share	ESTAR	11.00
Bharti Airtel Limited	Equity Share	GIFT	54.84
Bharti Airtel Limited	Equity Share	GROWTH	205.88
Bharti Airtel Limited	Equity Share	INDOPP	272.96
Bharti Airtel Limited	Equity Share	POWER	421.12
Bharti Airtel Limited	Equity Share	RETIREEQ	6.33
Bharti Airtel Limited	Equity Share	SERVE	127.89
IndiaMart InterMESH Ltd	Equity Share	GROWTH	5,999.86
IndiaMart InterMESH Ltd	Equity Share	INASIA	350.28
IndiaMart InterMESH Ltd	Equity Share	TECH	200.24
RBL BANK LIMITED	Equity Share	ESTAR	2,500.00
RBL BANK LIMITED	Equity Share	GROWTH	3,000.00

**Subscription by scheme (excluding Secondary Market Purchases) in the issues lead managed by the associate company during 2018-19:**

(₹ in Lakhs)

Name of the Issuer	Instrument	Scheme Code	Amount
Aavas Financiers Limited	Equity Share	BAFIN	799.93
Aavas Financiers Limited	Equity Share	ESTAR	199.95
Creditaccess Grameen Limited	Equity Share	BAFIN	3,999.86
HDFC Asset Management Company Limited	Equity Share	BAFIN	7,999.99
HDFC Asset Management Company Limited	Equity Share	GROWTH	6,133.56

**1.5 Subscription to any issue of equity or debt on private placement basis where the sponsor or its associate companies have acted as arranger or manager during 2019-20:**

(₹ in Lakhs)

Name of the Issuer	Instrument	Scheme Code	Amount
LIC Housing Finance Limited	Non Convertible Debentures	EQDWTO	5,000.00
Rural Electrification Corporation Limited	Non Convertible Debentures	EQDWTO	7,500.00

**Subscription to any issue of equity or debt on private placement basis where the sponsor or its associate companies have acted as arranger or manager during 2018-19:**

(₹ in Lakhs)

Name of the Issuer	Instrument	Scheme Code	Amount
Housing and Urban Development Corporation Limited	Non Convertible Debentures	EQDWTO	25,000.00
National Bank for Agriculture and Rural Development	Non Convertible Debentures	EQDWTO	20,047.15
Rural Electrification Corporation Limited	Non Convertible Debentures	EQDWTO	8,500.00

1.6 Open Position of securities borrowed : Nil (Previous Year - Nil)

Open Position of securities lend : Nil (Previous Year - Nil)

1.7 Details of Securities classified as below investment grade or default:

Aggregate market value : Nil (Previous Year - Nil)

Provision thereof : Nil (Previous Year - Nil)

**1.8 Aggregate Unrealised Gain / Loss as at the end of the Financial Year and percentage to net assets:**

Scheme Code	2019-20		2018-19	
	Unrealised Gain / (Loss) (₹ in Lakhs)	% of Net Assets	Unrealised Gain / (Loss) (₹ in Lakhs)	% of Net Assets
BAFIN	(99,671.25)	-39.31%	46,919.29	15.46%
BHACON	(7,584.87)	-19.46%	-	-
COMFUND	(820.73)	-42.51%	-	-
DIVYLD	(7,367.90)	-60.38%	(426.34)	-2.25%
EQDINO	(119,826.04)	-16.80%	4,830.62	0.61%
EQDWTO	(345,220.58)	-15.11%	186,987.31	6.40%
ESTAR	(72,208.33)	-54.82%	(2,236.08)	-1.32%
FMCG	(1,826.56)	-3.96%	9,984.71	18.16%
GIFT	(11,645.50)	-22.74%	1,408.00	2.23%
GROWTH	(93,048.37)	-38.49%	3,109.44	1.02%
INASIA	(37,142.36)	-42.15%	131.64	0.60%
INDEX	(11,511.10)	-16.51%	7,843.95	19.43%
INDOPP	(80,975.76)	-41.41%	10,334.72	6.66%
LTWEF	(872.27)	-29.14%	279.64	6.84%
MGFINDIA	(32,454.60)	-54.77%	4,123.31	3.32%
MNCFUND	(12,299.08)	-25.76%	-	-
NIFJUN	(15,255.33)	-25.53%	321.82	0.74%
PHARMA	(16,579.74)	-15.44%	(576.40)	-0.38%
POWER	(169,526.21)	-40.82%	3,592.86	1.02%
RETIREEQ	(1,815.34)	-36.55%	169.04	3.72%
SERVE	(16,288.07)	-36.29%	(2,416.11)	-3.00%
SINDEX	(1,571.49)	-18.78%	96.04	7.71%
TARGET	(20,564.83)	-42.77%	890.97	1.34%
TECH	2,801.76	8.77%	12,419.97	26.21%
USBLUE	712.33	1.40%	1,075.00	4.07%

**1.9 Aggregate Value of Purchase and Sale with Percentage to average assets :**

Scheme Code	2019-20					2018-19				
	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets
<b>Equity Securities</b>										
BAFIN	329,512.97	218,829.76	66.41%	130,883.12	39.72%	263,817.16	191,575.09	72.62%	154,161.10	58.43%
DIVYLD	17,057.95	9,147.46	53.63%	7,338.05	43.02%	19,267.01	8,812.48	45.74%	11,915.10	61.84%
EQDINO	1,178,023.67	1,866,938.23	158.48%	1,681,949.82	142.78%	836,029.85	1,071,105.28	128.12%	1,107,442.21	132.46%

Scheme Code	2019-20					2018-19				
	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets
EQDWTO	2,808,236.91	1,197,200.03	42.63%	1,138,040.99	40.53%	2,830,339.24	816,450.81	28.85%	801,047.75	28.30%
ESTAR	175,108.15	91,009.46	51.97%	59,363.59	33.90%	152,003.62	108,582.12	71.43%	75,759.52	49.84%
FMCG	51,303.20	27,673.54	53.94%	27,680.16	53.95%	46,597.84	28,619.33	61.42%	16,695.44	35.83%
GIFT	63,211.05	42,059.64	66.54%	31,751.37	50.23%	58,153.91	49,631.33	85.34%	45,545.24	78.32%
GROWTH	329,283.33	263,584.31	80.05%	212,353.09	64.49%	303,307.95	285,581.34	94.16%	311,760.13	102.79%
INASIA	57,947.34	130,334.79	224.92%	34,096.71	58.84%	17,281.02	20,403.69	118.07%	12,682.91	73.39%
INDEX	45,496.24	66,116.88	145.32%	20,965.31	46.08%	35,471.77	17,587.72	49.58%	12,963.48	36.55%
INDOPP	197,425.34	236,446.73	119.77%	117,625.94	59.58%	139,718.23	135,970.66	97.32%	336.58	0.24%
LTWFEF	4,129.23	541.84	13.12%	198.49	4.81%	3,953.06	1,340.16	33.90%	905.25	22.90%
MGFINDIA	103,767.40	36,931.91	35.59%	55,824.51	53.80%	124,107.33	148,995.23	120.05%	49,351.05	39.76%
NIFJUN	59,246.51	65,539.99	110.62%	32,190.68	54.33%	29,862.93	43,383.63	145.28%	18,685.73	62.57%
PHARMA	132,893.60	30,088.39	22.64%	49,771.12	37.45%	142,211.96	158,291.97	111.31%	31,252.59	21.98%
POWER	430,082.30	463,634.32	107.80%	229,558.46	53.38%	296,637.32	519,532.09	175.14%	489,178.50	164.91%
RETIREEQ	5,725.84	4,009.35	70.02%	1,122.91	19.61%	4,336.82	3,513.78	81.02%	-	-
RIGHT	4,424.15	309.41	6.99%	4,291.74	97.01%	4,813.42	332.80	6.91%	1,052.55	21.87%
SERVE	69,668.39	56,880.04	81.64%	72,247.32	103.70%	78,335.69	105,057.05	134.11%	88,968.98	113.57%
SINDEX	3,587.76	9,545.67	266.06%	881.45	24.57%	718.87	1,445.79	201.12%	690.92	96.11%
TARGET	64,003.55	28,511.97	44.55%	28,026.14	43.79%	58,561.91	23,947.75	40.89%	18,567.04	31.70%
TECH	42,803.22	12,840.34	30.00%	15,801.02	36.92%	43,553.16	16,844.12	38.67%	9,771.33	22.44%
BHACON	54,775.90	78,923.75	144.08%	34,003.49	62.08%	-	-	-	-	-
MNCFUND	63,176.16	86,051.44	136.21%	34,342.10	54.36%	-	-	-	-	-
COMFUND	1,767.69	3,054.93	172.82%	280.23	15.85%	-	-	-	-	-
USBLUE	36,184.16	44,565.81	123.16%	22,496.93	62.17%	20,768.59	22,178.74	106.79%	13,534.70	65.17%
<b>Other than Equity Securities</b>										
BAFIN	329,512.97	21,063.75	6.39%	18,811.72	5.71%	263,817.16	10,175.00	3.86%	39,475.00	14.96%
DIVYLD	17,057.95	970.64	5.69%	1,737.15	10.18%	19,267.01	1,001.92	5.20%	283.90	1.47%
EQDINO	1,178,023.67	1,013,289.51	86.02%	957,075.03	81.24%	836,029.85	462,129.85	55.28%	521,028.99	62.32%
EQDWTO	2,808,236.91	1,199,058.20	42.70%	1,469,106.71	52.31%	2,830,339.24	1,903,778.60	67.26%	1,863,649.24	65.85%
ESTAR	175,108.15	9,646.50	5.51%	10,248.29	5.85%	152,003.62	4,613.19	3.03%	2,925.00	1.92%
FMCG	51,303.20	-	-	40.57	0.08%	46,597.84	-	-	-	-
GIFT	63,211.05	14,685.20	23.23%	10,159.99	16.07%	58,153.91	12,645.67	21.75%	13,674.80	23.51%
GROWTH	329,283.33	35,095.81	10.66%	41,072.68	12.47%	303,307.95	28,460.93	9.38%	25,393.06	8.37%
INASIA	57,947.34	-	-	-	-	17,281.02	249.50	1.44%	2,862.25	16.56%
INDEX	45,496.24	-	-	3.77	0.01%	35,471.77	-	-	-	-
INDOPP	197,425.34	25,964.53	13.15%	22,415.70	11.35%	139,718.23	1,615.94	1.16%	1,743.66	1.25%
LTWFEF	4,129.23	-	-	1.77	0.04%	3,953.06	-	-	-	-
MGFINDIA	103,767.40	8,537.00	8.23%	14,124.99	13.61%	124,107.33	18,042.38	14.54%	12,501.23	10.07%
NIFJUN	59,246.51	-	-	-	-	29,862.93	-	-	-	-
PHARMA	132,893.60	6,498.00	4.89%	11,498.00	8.65%	142,211.96	24,935.54	17.53%	19,974.37	14.05%
POWER	430,082.30	32,076.11	7.46%	37,667.56	8.76%	296,637.32	31,984.95	10.78%	24,640.39	8.31%
RETIREEQ	5,725.84	346.37	6.05%	322.77	5.64%	4,336.82	-	-	-	-
RIGHT	4,424.15	-	-	3.23	0.07%	4,813.42	-	-	-	-
SERVE	69,668.39	1,240.71	1.78%	1,055.11	1.51%	78,335.69	1,974.35	2.52%	2,090.86	2.67%
SINDEX	3,587.76	-	-	-	-	718.87	-	-	-	-
TARGET	64,003.55	2,032.00	3.17%	2,041.02	3.19%	58,561.91	-	-	-	-
TECH	42,803.22	1,996.80	4.67%	1,996.80	4.67%	43,553.16	-	-	-	-
BHACON	54,775.90	8,921.97	16.29%	8,959.63	16.36%	-	-	-	-	-
MNCFUND	63,176.16	13,502.13	21.37%	11,536.10	18.26%	-	-	-	-	-
COMFUND	1,767.69	24.85	1.41%	25.00	1.41%	-	-	-	-	-
USBLUE	36,184.16	-	-	-	-	20,768.59	-	-	-	-
<b>Total</b>										
BAFIN	329,512.97	239,893.51	72.80%	149,694.84	45.43%	263,817.16	201,750.09	76.47%	193,636.10	73.40%
DIVYLD	17,057.95	10,118.10	59.32%	9,075.19	53.20%	19,267.01	9,814.41	50.94%	12,199.00	63.32%
EQDINO	1,178,023.67	2,880,227.74	244.50%	2,639,024.85	224.02%	836,029.85	1,533,235.13	183.39%	1,628,471.20	194.79%
EQDWTO	2,808,236.91	2,396,258.23	85.33%	2,607,147.70	92.84%	2,830,339.24	2,720,229.41	96.11%	2,664,697.00	94.15%
ESTAR	175,108.15	100,655.97	57.48%	69,611.88	39.75%	152,003.62	113,195.31	74.47%	78,684.52	51.76%
FMCG	51,303.20	27,673.54	53.94%	27,720.73	54.03%	46,597.84	28,619.33	61.42%	16,695.44	35.83%
GIFT	63,211.05	56,744.84	89.77%	41,911.35	66.30%	58,153.91	62,277.00	107.09%	59,220.04	101.83%
GROWTH	329,283.33	298,680.12	90.71%	253,425.77	76.96%	303,307.95	314,042.27	103.54%	337,153.19	111.16%
INASIA	57,947.34	130,334.79	224.92%	34,096.71	58.84%	17,281.02	20,653.19	119.51%	15,545.15	89.96%
INDEX	45,496.24	66,116.88	145.32%	20,969.08	46.09%	35,471.77	17,587.72	49.58%	12,963.48	36.55%
INDOPP	197,425.34	262,411.26	132.92%	140,041.64	70.93%	139,718.23	137,586.60	98.47%	2,080.24	1.49%
LTWFEF	4,129.23	541.84	13.12%	200.26	4.85%	3,953.06	1,340.16	33.90%	905.25	22.90%

Scheme Code	2019-20					2018-19				
	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets	Average Net Assets (₹ in Lakhs)	Purchases (₹ in Lakhs)	% to Average Net Assets	Sales (₹ in Lakhs)	% to Average Net Assets
MGFINDIA	103,767.40	45,468.91	43.82%	69,949.50	67.41%	124,107.33	167,037.61	134.59%	61,852.28	49.84%
NIFJUN	59,246.51	65,539.99	110.62%	32,190.68	54.23%	29,862.93	43,383.63	145.28%	18,685.73	62.57%
PHARMA	132,893.60	36,586.39	27.53%	61,269.12	46.10%	142,211.96	183,227.51	128.84%	51,226.96	36.02%
POWER	430,082.30	495,710.44	115.26%	267,226.02	62.13%	296,637.32	551,517.05	185.92%	513,818.88	173.21%
RETIREEQ	5,725.84	4,355.72	76.07%	1,445.69	25.25%	4,336.82	3,513.78	81.02%	-	-
RIGHT	4,424.15	309.41	6.99%	4,294.97	97.08%	4,813.42	332.80	6.91%	1,052.55	21.87%
SERVE	69,668.39	58,120.75	83.42%	73,302.43	105.22%	78,335.69	107,031.40	136.63%	91,059.84	116.24%
SINDEX	3,587.76	9,545.67	266.06%	881.45	24.57%	718.87	1,445.79	201.12%	690.92	96.11%
TARGET	64,003.55	30,543.97	47.72%	30,067.15	46.98%	58,561.91	23,947.75	40.89%	18,567.04	31.70%
TECH	42,803.22	14,837.14	34.66%	17,797.82	41.58%	43,553.16	16,844.12	38.67%	9,771.33	22.44%
BHACON	54,775.90	87,845.72	160.37%	42,963.12	78.43%	-	-	-	-	-
MNCFUND	63,176.16	99,553.57	157.58%	45,878.20	72.62%	-	-	-	-	-
COMFUND	1,767.69	3,079.78	174.23%	305.23	17.27%	-	-	-	-	-
USBLUE	36,184.16	44,565.81	123.16%	22,496.93	62.17%	20,768.59	22,178.74	106.79%	13,534.70	65.17%

Excludes transactions in futures & options, Interest Rate Swaps, Collateralised Lending, Reverse Repo and Tri-Party Repo

Includes Units of Mutual Funds, debt and money market and Fixed Deposits.

#### 1.10 Non-Traded securities in the portfolio:

Scheme Code	Particulars	As at March 31, 2020		As at March 31, 2019	
		Amount (₹ in Lakhs)	% of Net Assets Value	Amount (₹ in Lakhs)	% of Net Assets Value
DIVYLD	Aggregate value of non traded Units of Mutual Fund	-	-	682.84	3.60%
EQDINO	Aggregate value of non traded Debt	14,977.32	2.10%	17,841.93	2.26%
EQDINO	Aggregate value of non traded Money Market Instruments	65,420.12	9.17%	23,629.77	3.00%
EQDWTO	Aggregate value of non traded Debt	469,891.17	20.57%	522,988.65	17.90%
EQDWTO	Aggregate value of non traded Money Market Instruments	40,436.72	1.77%	59,011.60	2.02%
EQDWTO	Aggregate value of non traded Units of Mutual Fund	33,764.00	1.48%	62,715.45	2.15%
GIFT	Aggregate value of non traded Debt	8,024.31	15.67%	3,321.25	5.25%
GROWTH	Aggregate value of non traded Units of Mutual Fund	-	-	5,502.21	1.80%
POWER	Aggregate value of non traded Units of Mutual Fund	-	-	8,659.82	2.46%
MGFINDIA	Aggregate value of non traded Units of Mutual Fund	-	-	585.10	0.47%

#### 2 Details of Transaction with Associates under regulation 25(8).

Brokerage paid to associates/related parties/group companies of Sponsor/AMC

Name	Nature of Association/ Nature of relation	Scheme Code	2019-20				2018-19			
			Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Brokerage (₹ in Lakhs)	% of the total brokerage related to scheme	Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Brokerage (₹ in Lakhs)	% of the total brokerage related to scheme
ICICI Securities Limited	Group Company	BAFIN	37,399.59	7.42%	19.49	9.18%	52,660.81	7.28%	25.58	9.89%
		BHACON	6,507.19	4.78%	3.76	6.83%	-	-	-	-
		DIVYLD	1,282.11	7.95%	0.60	7.56%	1,924.18	5.97%	0.92	6.95%
		EQDINO	631,445.25	3.80%	55.99	2.63%	327,484.63	1.94%	35.98	1.55%
		EQDWTO	333,111.86	2.33%	92.96	3.27%	1,243,684.10	4.91%	218.53	4.93%
		ESTAR	9,507.29	3.84%	4.82	6.04%	25,508.73	6.37%	12.05	10.14%
		FMCG	2,928.38	5.42%	1.60	5.57%	6,747.94	14.53%	3.99	14.35%
		GIFT	7,721.20	9.58%	3.82	10.53%	12,356.73	7.69%	7.87	10.82%
		GROWTH	21,104.57	4.26%	10.69	4.24%	66,234.67	8.15%	36.85	8.31%
		INASIA	7,063.16	4.74%	3.45	4.37%	4,694.83	11.02%	2.17	11.49%
		INDOPP	12,016.26	3.08%	5.84	3.02%	332.24	0.24%	0.20	0.20%
		LTWEF	172.80	23.30%	0.08	20.66%	782.64	34.87%	0.46	34.76%
		MGFINDIA	8,762.95	3.72%	4.73	7.66%	21,820.77	3.00%	9.56	6.18%
		MNCFUND	4,574.76	1.85%	2.22	3.44%	-	-	-	-
		PHARMA	8,224.44	9.73%	4.20	9.93%	84,230.88	14.45%	22.55	13.42%
		POWER	58,301.57	7.09%	27.85	7.31%	91,436.60	8.45%	48.69	6.97%
		RETIREEQ	441.11	9.68%	0.22	9.64%	-	-	-	-
		SERVE	11,506.48	8.88%	6.11	9.01%	16,632.60	8.49%	8.75	7.51%
TARGET	6,394.36	4.70%	3.37	8.54%	3,654.18	8.60%	2.11	8.44%		
COMFUND	216.70	4.20%	0.09	5.25%	-	-	-	-		
TECH	1,336.15	4.70%	0.64	4.49%	5,057.48	18.06%	2.96	18.55%		

Commission paid to associates/related parties/group companies of Sponsor/AMC

Name	Nature of Association/ Nature of relation	Scheme Code	2019-20				2018-19			
			Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme	Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme
ICICI Bank Limited @	Sponsor	BAFIN	4,613.80	3.75%	54.04	2.64%	3,664.00	4.88%	54.50	3.17%
		BHACON	44,065.10	65.46%	548.19	60.88%	-	-	-	-
		DIVYLD	2,880.39	52.77%	27.67	26.53%	995.82	26.45%	12.18	10.33%
		EQDINO	19,602.45	1.86%	85.16	3.45%	13,279.03	1.81%	73.74	4.34%
		EQDWTO	115,181.54	16.44%	1,393.47	11.39%	335,347.66	32.39%	6,002.53	26.03%
		ESTAR	5,313.95	8.60%	58.33	4.48%	3,080.34	6.10%	59.05	4.93%
		FMCG	239.00	2.22%	6.33	2.20%	392.74	2.28%	8.40	2.81%
		GIFT	42.49	0.62%	10.02	2.29%	901.05	5.94%	13.45	3.44%
		GROWTH	12,777.94	16.73%	138.94	8.16%	12,432.58	12.45%	226.58	10.61%
		INASIA	2,865.81	3.15%	20.55	3.11%	69.10	0.78%	9.18	6.43%
		INDEX	839.06	2.61%	3.84	5.10%	187.42	3.15%	3.51	4.60%
		INDOPP	15,271.36	13.64%	1,020.23	35.57%	69,121.10	51.32%	243.35	52.26%
		LTWFE	8.32	2.47%	0.12	0.75%	2.12	0.42%	0.44	0.57%
		MGFINDIA	1,951.83	24.50%	490.47	52.64%	83,660.73	64.11%	1,158.27	61.52%
		MNCFUND	40,269.94	55.00%	380.55	52.77%	-	-	-	-
		NIFJUN	218.10	0.70%	2.24	3.03%	288.61	1.23%	2.20	5.14%
		PHARMA	1,712.77	9.54%	227.87	24.07%	79,914.57	50.65%	1,217.21	51.45%
		POWER	29,363.11	14.24%	239.72	7.61%	9,208.62	8.07%	140.93	6.51%
		RETIREEQ	1,015.35	27.72%	40.48	38.67%	1,901.18	44.33%	3.43	45.37%
		SERVE	740.97	9.35%	33.52	9.45%	10,350.80	38.44%	148.66	25.60%
SINDEX	102.27	1.39%	0.09	5.81%	29.20	4.55%	0.03	4.69%		
TARGET	2,718.33	12.88%	32.26	6.50%	1,422.75	6.91%	22.61	4.86%		
TECH	363.74	4.99%	9.59	4.34%	808.78	4.64%	13.80	4.77%		
COMFUND	5.46	0.22%	0.02	0.20%	-	-	-	-		
USBLUE	1,447.91	6.03%	15.52	8.16%	388.73	4.60%	8.83	7.21%		
ICICI Securities Limited	Group Company	BAFIN	12,262.87	9.98%	293.56	14.33%	6,350.41	8.47%	240.68	14.00%
		BHACON	918.72	1.36%	7.55	0.84%	-	-	-	-
		DIVYLD	85.05	1.56%	2.03	1.95%	105.44	2.80%	3.76	3.19%
		EQDINO	9,517.23	0.90%	37.59	1.52%	7,504.27	1.02%	46.52	2.74%
		EQDWTO	28,567.18	4.08%	573.07	4.68%	42,667.99	4.12%	907.80	3.94%
		ESTAR	3,745.12	6.06%	35.26	2.71%	1,144.49	2.27%	21.65	1.81%
		FMCG	709.33	6.58%	22.00	7.65%	883.91	5.12%	20.13	6.74%
		GIFT	275.34	4.00%	13.55	3.10%	1,485.60	9.79%	14.51	3.71%
		GROWTH	1,356.81	1.78%	37.56	2.20%	1,630.01	1.63%	37.25	1.74%
		INASIA	715.85	0.79%	6.91	1.04%	103.03	1.16%	2.34	1.64%
		INDEX	1,755.36	5.47%	5.77	7.67%	555.69	9.33%	4.45	5.83%
		INDOPP	799.43	0.71%	21.73	0.76%	1,416.31	1.05%	4.38	0.94%
		LTWFE	13.08	3.88%	6.05	37.88%	174.68	34.22%	29.68	38.27%
		MGFINDIA	445.80	5.60%	12.12	1.30%	1,614.98	1.24%	22.33	1.19%
		NIFJUN	1,212.98	3.89%	8.38	11.35%	1,714.80	7.28%	6.32	14.76%
		MNCFUND	2,134.03	2.91%	19.18	2.66%	-	-	-	-
		PHARMA	878.85	4.90%	19.48	2.06%	2,435.12	1.54%	19.61	0.83%
		POWER	2,724.30	1.32%	72.94	2.32%	2,885.06	2.53%	76.71	3.54%
		RETIREEQ	39.47	1.08%	0.73	0.70%	35.50	0.83%	0.05	0.66%
		SERVE	306.27	3.86%	18.54	5.23%	540.70	2.01%	22.77	3.92%
SINDEX	382.52	5.19%	0.35	22.58%	79.99	12.47%	0.21	32.81%		
TARGET	300.75	1.42%	6.18	1.24%	511.02	2.48%	4.89	1.05%		
TECH	469.95	6.45%	22.28	10.09%	1,849.47	10.62%	23.67	8.18%		
COMFUND	24.34	0.96%	0.09	0.90%	-	-	-	-		
USBLUE	1,612.43	6.72%	15.15	7.96%	829.08	9.82%	7.44	6.07%		
Jagdish Thacker \$	H Distributor is a relative of an employee of the AMC	INASIA	-	-	^ ^	^ ^	-	-	^ ^	^ ^



Name	Nature of Association/ Nature of relation	Scheme Code	2019-20				2018-19			
			Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme	Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme
Shaila Badar \$ ###	Distributor is a relative of an employee of the AMC	BAFIN	-	-	-	-	0.12	^^	^^	^^
Rudra Pratap Singh \$	Distributor is a relative of an employee of the AMC	INDOPP	-	-	^^	^^	0.05	^^	^^	^^
		POWER	1.14	^^	0.02	^^	0.76	^^	0.01	^^
Ramamoorthy Setty Muthuram \$	Distributor is a relative of an employee of the AMC	BAFIN	-	-	^^	^^	-	-	^^	^^
		EQDWTO	-	-	^^	^^	-	-	0.01	^^
		GROWTH	0.36	^^	0.01	^^	0.36	^^	0.01	^^
		INASIA	0.35	^^	^^	^^	-	-	-	-
		MGFINDIA	-	-	^^	^^	0.20	^^	^^	^^
		PHARMA	0.19	^^	0.02	^^	3.58	^^	0.09	^^
		POWER	1.95	^^	0.02	^^	-	-	-	-
Nuti Amit Thakkar \$ ****	Distributor is a relative of an employee of the AMC	BAFIN	11.46	0.01%	0.67	0.03%	-	-	-	-
		BHACON	-	-	0.47	0.05%	-	-	-	-
		EQDWTO	0.73	^^	0.01	^^	-	-	-	-
		ESTAR	0.65	^^	0.06	^^	-	-	-	-
		FMCG	2.04	0.02%	0.35	0.12%	-	-	-	-
		GIFT	14.32	0.21%	0.40	0.09%	-	-	-	-
		GROWTH	-	-	^^	^^	-	-	-	-
		INASIA	87.85	0.10%	0.39	0.06%	-	-	-	-
		INDOPP	5.69	0.01%	2.16	0.08%	-	-	-	-
		LTWFEF	-	-	0.75	4.70%	-	-	-	-
		MGFINDIA	-	-	0.65	0.07%	-	-	-	-
		MNCFUND	-	-	0.55	0.08%	-	-	-	-
		PHARMA	-	-	0.22	0.02%	-	-	-	-
		RETIREEQ	44.90	1.23%	0.31	0.30%	-	-	-	-
		SERVE	3.10	0.04%	0.23	0.06%	-	-	-	-
		TECH	3.20	0.04%	0.16	0.07%	-	-	-	-
USBLUE	-	-	^^	^^	-	-	-	-		
COMFUND	1.42	0.06%	^^	^^	-	-	-	-		
Abinash Bardhan \$ ##	Distributor is a relative of an employee of the AMC	BAFIN	-	-	-	-	1.11	^^	0.02	^^
		EQDWTO	-	-	-	-	9.95	^^	0.25	^^
		ESTAR	-	-	-	-	0.47	^^	0.01	^^
		GROWTH	-	-	-	-	0.26	^^	^^	^^
		LTWFEF	-	-	-	-	-	-	0.01	0.01%
		PHARMA	-	-	-	-	0.25	^^	^^	^^
		POWER	-	-	-	-	0.09	^^	0.01	^^
		SERVE	-	-	-	-	0.10	^^	^^	^^
Vatsal Shah \$	Distributor is a relative of an employee of the AMC	BAFIN	8.50	0.01%	0.17	0.01%	-	-	0.34	0.02%
		EQDWTO	-	-	^^	^^	-	-	^^	^^
		ESTAR	0.30	^^	0.01	^^	0.60	^^	0.01	^^
		FMCG	0.06	^^	^^	^^	0.12	^^	^^	^^
		GIFT	-	-	0.08	0.02%	5.82	0.04%	0.14	0.04%
		GROWTH	-	-	0.05	^^	-	-	0.12	0.01%
		INASIA	-	-	^^	^^	-	-	^^	^^
		NIFJUN	0.60	^^	^^	^^	0.60	^^	^^	^^
		INDOPP	3.00	^^	0.01	^^	-	-	-	-
		POWER	4.69	^^	0.10	^^	10.71	0.01%	0.10	^^
		SERVE	2.91	0.04%	0.11	0.03%	9.13	0.03%	0.25	0.04%
		TARGET	-	-	0.01	^^	2.00	0.01%	0.02	^^
TECH	-	-	^^	^^	-	-	^^	^^		

Name	Nature of Association/ Nature of relation	Scheme Code	2019-20				2018-19			
			Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme	Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme
Agarwal Investments \$ #	Distributor is a relative of an employee of the AMC	BAFIN	2.18	^^	0.18	0.01%	4.86	0.01%	0.36	0.02%
		EQDWTO	1.50	^^	0.60	^^	5.60	^^	2.00	0.01%
		ESTAR	6.46	0.01%	0.62	0.05%	13.40	0.03%	0.64	0.05%
		GIFT	-	-	0.05	0.01%	0.31	^^	0.10	0.03%
		GROWTH	-	-	0.21	0.01%	0.44	^^	0.79	0.04%
		INASIA	0.25	^^	0.02	^^	-	-	0.03	0.02%
		POWER	1.93	^^	1.06	0.03%	4.28	^^	3.96	0.18%
		SERVE	0.35	^^	0.04	0.01%	-	-	0.07	0.01%
		TARGET	-	-	0.16	0.03%	-	-	0.70	0.15%
Crescita Wealth \$	Distributor is a relative of an employee of the AMC	EQDWTO	374.07	0.05%	1.79	0.01%	166.00	0.02%	1.86	0.01%
Shyam Sunder Gupta \$ #	Distributor is a relative of an employee of the AMC	BAFIN	0.25	^^	0.01	^^	0.40	^^	^^	^^
		EQDWTO	0.30	^^	0.31	^^	41.25	^^	0.56	^^
		ESTAR	0.30	^^	0.01	^^	0.55	^^	0.01	^^
		INASIA	277.69	0.30%	2.96	0.45%	-	-	-	-
		INDOPP	106.88	0.10%	1.30	0.05%	1.00	^^	^^	^^
		MGFINDIA	-	-	0.11	0.01%	15.35	0.01%	0.29	0.02%
		PHARMA	0.28	^^	0.18	0.02%	63.58	0.04%	0.93	0.04%
		POWER	15.68	0.01%	0.27	0.01%	27.95	0.02%	0.20	0.01%
		SERVE	-	-	0.09	0.03%	15.81	0.06%	0.33	0.06%
Anil Khera \$ ##	Distributor is a relative of an employee of the AMC	BAFIN	5.15	^^	0.27	0.01%	1.20	^^	0.39	0.02%
		EQDINO	10.51	^^	0.03	^^	-	-	0.01	^^
		EQDWTO	53.83	0.01%	3.68	0.03%	315.62	0.03%	6.95	0.03%
		ESTAR	3.17	0.01%	0.09	0.01%	0.24	^^	0.08	0.01%
		FMCG	-	-	0.02	0.01%	-	-	0.02	0.01%
		GROWTH	-	-	0.04	^^	-	-	0.04	^^
		INASIA	2.25	^^	0.01	^^	-	-	-	-
		INDOPP	-	-	0.05	^^	5.00	^^	0.01	^^
		PHARMA	-	-	0.13	0.01%	12.00	0.01%	0.09	^^
		POWER	-	-	0.08	^^	6.33	0.01%	0.04	^^
		SERVE	-	-	^^	^^	-	-	0.01	^^
		TARGET	1.69	0.01%	0.01	^^	-	-	0.13	0.03%
				TECH	-	-	0.02	0.01%	-	-
Ganesh Kumar Sivasubramanian \$	Distributor is a relative of an employee of the AMC	BAFIN	0.96	^^	0.01	^^	1.32	^^	0.01	^^
		EQDWTO	-	-	0.01	^^	4.00	^^	0.08	^^
		ESTAR	1.00	^^	0.01	^^	-	-	-	-
		INASIA	1.50	^^	0.03	^^	-	-	-	-
		POWER	1.00	^^	^^	^^	-	-	-	-
		TARGET	-	-	^^	^^	1.00	^^	0.01	^^
Shubh Nivesh Financial Services \$ **** #####	Distributor is a relative of an employee of the AMC	BAFIN	10.32	0.01%	0.02	^^	-	-	-	-
		DIVYLD	0.48	0.01%	0.07	0.07%	-	-	-	-
		EQDWTO	-	-	0.01	^^	-	-	-	-
		ESTAR	0.47	^^	^^	^^	-	-	-	-
		GROWTH	0.18	^^	^^	^^	-	-	-	-
		INASIA	1.39	^^	0.09	0.01%	-	-	-	-
		INDEX	-	-	^^	^^	-	-	-	-
		INDOPP	11.74	0.01%	0.14	^^	-	-	-	-
		MGFINDIA	-	-	0.01	^^	-	-	-	-
		MNCFUND	-	-	0.01	^^	-	-	-	-
		PHARMA	0.42	^^	0.02	^^	-	-	-	-
POWER	2.21	^^	^^	^^	-	-	-	-		
		TARGET	0.30	^^	^^	^^	-	-	-	

Name	Nature of Association/ Nature of relation	Scheme Code	2019-20				2018-19			
			Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme	Value of transaction (₹ in Lakhs)	% of total value of transaction of the fund	Commission (₹ in Lakhs)	% of the total commission related to scheme
Amit Natvarlal Thakkar Limited \$ ****	Distributor is a relative of an employee of the AMC	BAFIN	13.45	0.01%	2.53	0.12%	-	-	-	-
		BHACON	-	-	0.02	^^	-	-	-	-
		DIVYLD	-	-	0.01	0.01%	-	-	-	-
		EQDWTO	0.02	^^	0.18	^^	-	-	-	-
		ESTAR	-	-	^^	^^	-	-	-	-
		FMCG	-	-	0.05	0.02%	-	-	-	-
		GIFT	7.37	0.11%	0.92	0.21%	-	-	-	-
		GROWTH	-	-	^^	^^	-	-	-	-
		INDOPP	-	-	0.03	^^	-	-	-	-
		MGFINDIA	-	-	0.01	^^	-	-	-	-
		MNCFUND	-	-	0.02	^^	-	-	-	-
		NIFJUN	-	-	^^	^^	-	-	-	-
		POWER	-	-	0.02	^^	-	-	-	-
		SERVE	5.00	0.06%	0.19	0.05%	-	-	-	-
TECH	0.24	^^	0.14	0.06%	-	-	-	-		
USBLUE	-	-	0.05	0.03%	-	-	-	-		
KPW Insurance & Investments Consultants P Ltd \$ ###	Distributor is a relative of an employee of the AMC	BAFIN	-	-	-	-	-	-	0.01	^^
		EQDINO	0.77	^^	0.14	0.01%	188.19	0.03%	0.37	0.02%
		EQDWTO	348.43	0.05%	1.42	0.01%	85.72	0.01%	2.49	0.01%
		ESTAR	0.08	^^	0.02	^^	1.75	^^	0.01	^^
		GROWTH	9.78	0.01%	0.14	0.01%	7.04	0.01%	0.15	0.01%
		INDEX	-	-	-	-	0.01	^^	^^	^^
		NIFJUN	0.24	^^	^^	^^	0.08	^^	^^	^^
		POWER	17.56	0.01%	0.42	0.01%	10.23	0.01%	0.56	0.03%
TARGET	0.13	^^	^^	^^	-	-	0.03	0.01%		
Nakul Dev Pandit \$	Distributor is a relative of an employee of the AMC	EQDWTO	0.03	^^	^^	^^	1.01	^^	0.01	^^
		DIVYLD	0.03	^^	^^	^^	-	-	-	-
		ESTAR	0.06	^^	^^	^^	-	-	-	-
		GROWTH	0.03	^^	^^	^^	-	-	-	-
		INDOPP	-	-	0.02	^^	1.03	^^	^^	^^
		MGFINDIA	-	0.00%	0.01	^^	0.50	^^	^^	^^
		POWER	7.31	^^	0.10	^^	0.63	^^	0.02	^^
		TARGET	0.24	^^	0.01	^^	0.16	^^	^^	^^
Suman Tiwari \$	Distributor is a relative of an employee of the AMC	ESTAR	0.78	^^	^^	^^	0.05	^^	^^	^^
		INDEX	0.03	^^	^^	^^	-	-	-	-
		INDOPP	0.10	^^	^^	^^	-	-	-	-
		POWER	0.12	^^	^^	^^	0.05	^^	^^	^^
Suraj Dinesh Sharma \$	Distributor is a relative of an employee of the AMC	INDOPP	0.30	^^	^^	^^	-	-	-	-

**Other Charges paid to associates/related parties/group companies of Sponsor/AMC**

Entity	Nature of Association/ Nature of relation	Nature of Payment	Scheme Code	Amount (₹ in Lakhs)	
				2019-20	2018-19
ICICI Bank Limited @	Sponsor	Bank Charges **	BAFIN	14.36	17.62
			BHACON	2.62	-
			DIVYLD	4.19	2.40
			EQDINO	234.95	234.08
			EQDWTO	255.06	406.63
			ESTAR	20.97	16.88
			FMCG	1.49	1.46
			GIFT	2.14	2.85
			GROWTH	25.94	22.72
			INASIA	6.73	2.18
			INDEX	0.49	0.32

Entity	Nature of Association/ Nature of relation	Nature of Payment	Scheme Code	Amount (₹ in Lakhs)	
				2019-20	2018-19
ICICI Bank Limited @	Sponsor	Bank Charges **	INDOPP	24.02	3.43
			LTWEF	^ ^	0.02
			MGFINDIA	6.47	10.36
			MNCFUND	6.11	-
			NIFJUN	1.56	1.33
			PHARMA	6.30	12.47
			POWER	51.13	18.43
			RETREEQ	0.96	0.31
			SERVE	2.09	2.54
			SINDEX	0.07	0.09
			TARGET	6.20	2.91
			TECH	0.76	1.01
			USBLUE	1.00	0.61
			COMFUND	0.05	-
ICICI Bank Limited @	Sponsor	Investor Service Charges	BAFIN	-	0.01
			DIVYLD	-	^ ^
			EQDINO	-	^ ^
			EQDWTO	-	0.01
			ESTAR	-	0.01
			FMCG	-	^ ^
			GIFT	-	^ ^
			GROWTH	-	0.01
			INASIA	-	^ ^
			INDEX	-	^ ^
			NIFJUN	-	^ ^
			POWER	-	^ ^
			SERVE	-	^ ^
			SINDEX	-	^ ^
			TARGET	-	^ ^
TECH	-	^ ^			
USBLUE	-	^ ^			
ICICI Lombard General Insurance Company Ltd	Group Company	Investor Service Charges	GIFT	24.63	20.49
MF Utilities India Pvt Ltd	Employee of AMC on board	Investor Service Charges	BAFIN	4.00	-
			BHACON	1.32	-
			DIVYLD	0.08	-
			EQDINO	1.66	-
			EQDWTO	7.73	-
			ESTAR	1.62	-
			FMCG	0.80	-
			GIFT	0.17	-
			GROWTH	1.13	-
			INASIA	2.03	-
			INDEX	0.90	-
			INDOPP	2.39	-
			LTWEF	-	-
			MGFINDIA	0.15	-
			MNCFUND	0.24	-
			NIFJUN	4.70	-
			PHARMA	0.86	-
			POWER	5.46	-
			RETREEQ	0.19	-
			SERVE	0.37	-
			SINDEX	0.32	-
TARGET	0.50	-			
TECH	1.03	-			
USBLUE	1.35	-			
COMFUND	0.01	-			

\*\*Bank charges paid include transaction charges paid to ICICI Bank Limited (Professional clearing member) for futures & options transactions

^ ^ Amount less than Rs. 0.005 lakhs or 0.005%

@ Holding by a major shareholder of AMC, viz, ICICI Bank Limited whether directly or indirectly

\$ The disclosure has been made as Distributor is a relative of an employee of the AMC.

& Commission paid to distributor not disclosed for previous year as the relative of the distributor has joined as an employee of the AMC during the FY 2019-20

\*\*\*\*Commission paid to distributor not disclosed for previous year as distributor became an associate during the FY 2019-20

# Relative of Distributor ceased to be an employee of the AMC from 4th April, 2019.

## Relative of Distributor ceased to be an employee of the AMC from 29th February, 2020.

### Relative of Distributor ceased to be an employee of the AMC from 3rd October, 2019.

#### Relative of Distributor ceased to be an employee of the AMC from 24th February, 2020.

Commission disclosed above is net off recovery, if any.

Note : The schemes have paid Management Fees and Trustee ship fees to ICICI Prudential Asset Management Company Limited and ICICI Prudential Trust Limited respectively as disclosed in Revenue Account.

3 Investors holding units in the Scheme over 25% of the NAV as on March 31, 2020 are Nil. (Previous year – Nil)

4 **Unit Capital movement during the year/period ended :**

2019-20						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
BAFIN	Direct Dividend Option	7,978,066	2,822,546	(3,808,890)	6,991,722	699.17
BAFIN	Direct Growth Option	89,141,155	149,560,301	(41,815,745)	196,885,711	19,688.57
BAFIN	Dividend Option	60,957,161	16,306,462	(22,636,584)	54,627,038	5,462.70
BAFIN	Growth Option	329,715,191	149,667,550	(98,110,670)	381,272,071	38,127.21
<b>BAFIN</b>	<b>Total</b>	<b>487,791,572</b>	<b>318,356,860</b>	<b>(166,371,889)</b>	<b>639,776,543</b>	<b>63,977.65</b>
BHACON#	Direct Dividend Option	-	577,593	(50,648)	526,945	52.69
BHACON#	Direct Growth Option	-	11,234,351	(1,622,999)	9,611,352	961.14
BHACON#	Dividend Option	-	26,830,924	(11,324,738)	15,506,186	1,550.62
BHACON#	Growth Option	-	627,222,639	(188,816,330)	438,406,309	43,840.63
<b>BHACON#</b>	<b>Total</b>	<b>-</b>	<b>665,865,506</b>	<b>(201,814,715)</b>	<b>464,050,791</b>	<b>46,405.08</b>
COMFUND#	Direct Dividend Option	-	230,378	(96,325)	134,052	13.41
COMFUND#	Direct Growth Option	-	10,487,669	(2,740,931)	7,746,739	774.67
COMFUND#	Dividend Option	-	3,539,587	(29,761)	3,509,825	350.98
COMFUND#	Growth Option	-	19,995,143	(2,800,881)	17,194,262	1,719.43
<b>COMFUND#</b>	<b>Total</b>	<b>-</b>	<b>34,252,777</b>	<b>(5,667,899)</b>	<b>28,584,878</b>	<b>2,858.49</b>
DIVYLD	Direct Dividend Option	4,467,122	733,377	(2,002,029)	3,198,471	319.85
DIVYLD	Direct Growth Option	5,925,153	2,078,536	(1,773,077)	6,230,612	623.06
DIVYLD	Dividend Option	38,155,806	5,090,139	(10,842,088)	32,403,857	3,240.39
DIVYLD	Growth Option	74,643,083	30,125,304	(28,883,431)	75,884,957	7,588.50
<b>DIVYLD</b>	<b>Total</b>	<b>123,191,165</b>	<b>38,027,356</b>	<b>(43,500,625)</b>	<b>117,717,896</b>	<b>11,771.79</b>
EQDINO	Direct Bonus Option	103,698	(0)	(16,335)	87,363	8.74
EQDINO	Direct Dividend Option	2,328,141,433	4,320,979,840	(5,582,573,446)	1,066,547,827	106,654.78
EQDINO	Direct Growth Option	425,383,464	1,141,396,467	(718,557,537)	848,222,394	84,822.24
EQDINO	Dividend Option	1,873,834,858	2,725,026,269	(3,365,964,533)	1,232,896,593	123,289.66
EQDINO	Growth Option	361,665,230	753,264,142	(496,398,961)	618,530,411	61,853.04
EQDINO	Institutional Growth Option	76	(0)	-	76	0.01
<b>EQDINO</b>	<b>Total</b>	<b>4,989,128,759</b>	<b>8,940,666,718</b>	<b>(10,163,510,812)</b>	<b>3,766,284,664</b>	<b>376,628.47</b>
EQDWTO	Direct Dividend Option	89,014,101	33,955,834	(33,828,805)	89,141,130	8,914.11
EQDWTO	Direct Growth Option	585,368,539	307,865,113	(159,201,286)	734,032,366	73,403.24
EQDWTO	Direct Monthly Dividend Option	166,197,271	2,603,111	(121,465,231)	47,335,151	4,733.52
EQDWTO	Direct Quarterly Dividend Option	152,396	0	-	152,396	15.24
EQDWTO	Dividend Option	1,070,482,429	276,477,613	(380,303,015)	966,657,027	96,665.70
EQDWTO	Growth Option	5,784,148,875	1,476,360,641	(1,698,147,445)	5,562,362,070	556,236.21
EQDWTO	Monthly Dividend Option	3,104,612,615	12,377,904	(1,394,034,981)	1,722,955,538	172,295.55
EQDWTO	Quarterly Dividend Option	10,776,349	21,041	(1,968,150)	8,829,241	882.92
<b>EQDWTO</b>	<b>Total</b>	<b>10,810,752,576</b>	<b>2,109,661,257</b>	<b>(3,788,948,913)</b>	<b>9,131,464,920</b>	<b>913,146.49</b>
ESTAR	Direct Dividend Option	4,768,958	981,288	(1,356,568)	4,393,678	439.37
ESTAR	Direct Growth Option	19,735,862	15,829,562	(8,633,325)	26,932,099	2,693.21
ESTAR	Dividend Option	64,668,999	9,165,716	(12,591,501)	61,243,214	6,124.32

2019-20						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
ESTAR	Growth Option	137,126,533	56,820,233	(33,009,145)	160,937,620	16,093.76
<b>ESTAR</b>	<b>Total</b>	<b>226,300,352</b>	<b>82,796,799</b>	<b>(55,590,540)</b>	<b>253,506,611</b>	<b>25,350.66</b>
FMCG	Direct Dividend Option	553,233	322,562	(311,868)	563,927	56.39
FMCG	Direct Growth Option	5,417,300	3,173,603	(3,482,822)	5,108,081	510.81
FMCG	Dividend Option	6,218,343	713,111	(1,676,600)	5,254,854	525.49
FMCG	Growth Option	15,213,645	3,116,826	(3,514,673)	14,815,798	1,481.58
<b>FMCG</b>	<b>Total</b>	<b>27,402,522</b>	<b>7,326,101</b>	<b>(8,985,964)</b>	<b>25,742,659</b>	<b>2,574.27</b>
GIFT	Direct Growth Option	3,635,044	330,704	(690,564)	3,275,184	327.52
GIFT	Growth Option	40,723,336	4,418,883	(3,128,812)	42,013,407	4,201.34
<b>GIFT</b>	<b>Total</b>	<b>44,358,380</b>	<b>4,749,586</b>	<b>(3,819,376)</b>	<b>45,288,591</b>	<b>4,528.86</b>
GROWTH	Direct Dividend Option	9,655,854	1,847,171	(3,196,236)	8,306,789	830.68
GROWTH	Direct Growth Option	13,503,133	36,263,238	(18,040,098)	31,726,273	3,172.63
GROWTH	Dividend Option	164,143,291	19,431,244	(57,475,027)	126,099,508	12,609.95
GROWTH	Growth Option	70,209,440	15,330,248	(22,003,044)	63,536,644	6,353.66
<b>GROWTH</b>	<b>Total</b>	<b>257,511,718</b>	<b>72,871,902</b>	<b>(100,714,405)</b>	<b>229,669,215</b>	<b>22,966.92</b>
INASIA	Direct Dividend Option	204,570	5,957,780	(297,199)	5,865,151	586.52
INASIA	Direct Growth Option	7,827,955	90,542,850	(13,604,644)	84,766,161	8,476.62
INASIA	Dividend Option	19,299,826	13,242,110	(3,149,004)	29,392,931	2,939.29
INASIA	Growth Option	68,211,983	339,219,612	(27,110,345)	380,321,250	38,032.13
INASIA	Institutional Growth Option	322	0	-	322	0.03
<b>INASIA</b>	<b>Total</b>	<b>95,544,655</b>	<b>448,962,352</b>	<b>(44,161,191)</b>	<b>500,345,815</b>	<b>50,034.58</b>
INDEX	Direct Dividend Option	3,364,538	5,980,324	(2,807,218)	6,537,644	653.76
INDEX	Direct Growth Option	18,174,396	60,930,608	(24,271,621)	54,833,382	5,483.34
INDEX	Dividend Option	3,471,360	2,280,307	(190,367)	5,561,299	556.13
INDEX	Growth Option	16,494,336	12,962,925	(4,067,105)	25,390,157	2,539.02
<b>INDEX</b>	<b>Total</b>	<b>41,504,630</b>	<b>82,154,164</b>	<b>(31,336,312)</b>	<b>92,322,482</b>	<b>9,232.25</b>
INDOPP	Direct Dividend Option	3,787,832	4,634,290	(1,635,723)	6,786,398	678.64
INDOPP	Direct Growth Option	166,569,898	714,181,652	(102,730,527)	778,021,023	77,802.10
INDOPP	Dividend Option	74,937,369	40,738,005	(22,463,843)	93,211,530	9,321.15
INDOPP	Growth Option	1,189,874,132	1,036,848,439	(387,382,828)	1,839,339,743	183,933.97
<b>INDOPP</b>	<b>Total</b>	<b>1,435,169,231</b>	<b>1,796,402,386</b>	<b>(514,212,922)</b>	<b>2,717,358,695</b>	<b>271,735.87</b>
LWFEF	Direct Dividend Option	269,450	-	-	269,450	26.95
LWFEF	Direct Growth Option	1,769,450	-	-	1,769,450	176.95
LWFEF	Dividend Option	7,194,490	-	-	7,194,490	719.45
LWFEF	Growth Option	28,938,100	-	-	28,938,100	2,893.81
<b>LWFEF</b>	<b>Total</b>	<b>38,171,490</b>	<b>-</b>	<b>-</b>	<b>38,171,490</b>	<b>3,817.15</b>
MGFINDIA	Direct Dividend Option	4,431,939	215,993	(1,362,228)	3,285,705	328.57
MGFINDIA	Direct Growth Option	24,895,813	4,188,421	(8,110,019)	20,974,216	2,097.42
MGFINDIA	Dividend Option	62,831,251	2,719,747	(24,560,500)	40,990,498	4,099.05
MGFINDIA	Growth Option	1,088,551,006	39,725,724	(372,864,132)	755,412,598	75,541.26
<b>MGFINDIA</b>	<b>Total</b>	<b>1,180,710,010</b>	<b>46,849,885</b>	<b>(406,896,879)</b>	<b>820,663,016</b>	<b>82,066.30</b>
MNCFUND#	Direct Dividend Option	-	927,249	(48,001)	879,248	87.92
MNCFUND#	Direct Growth Option	-	20,798,455	(2,346,657)	18,451,799	1,845.18
MNCFUND#	Dividend Option	-	32,601,078	(7,739,430)	24,861,648	2,486.16
MNCFUND#	Growth Option	-	678,789,348	(136,409,225)	542,380,123	54,238.01
<b>MNCFUND#</b>	<b>Total</b>	<b>-</b>	<b>733,116,131</b>	<b>(146,543,313)</b>	<b>586,572,818</b>	<b>58,657.28</b>
NIFJUN	Direct Dividend Option	2,711,321	54,459,157	(52,399,603)	4,770,874	477.09
NIFJUN	Direct Growth Option	109,290,678	176,295,524	(72,302,301)	213,283,901	21,328.39
NIFJUN	Dividend Option	4,060,903	1,215,540	(3,363,062)	1,913,381	191.34
NIFJUN	Growth Option	55,451,369	64,042,762	(26,698,767)	92,795,364	9,279.54
<b>NIFJUN</b>	<b>Total</b>	<b>171,514,271</b>	<b>296,012,983</b>	<b>(154,763,733)</b>	<b>312,763,521</b>	<b>31,276.35</b>
PHARMA	Direct Dividend Option	8,038,688	1,572,454	(3,968,730)	5,642,411	564.24
PHARMA	Direct Growth Option	100,439,956	42,999,747	(42,335,748)	101,103,956	10,110.40
PHARMA	Dividend Option	79,437,322	8,302,484	(30,861,411)	56,878,395	5,687.84
PHARMA	Growth Option	1,288,127,083	131,448,640	(460,245,813)	959,329,910	95,932.99
<b>PHARMA</b>	<b>Total</b>	<b>1,476,043,048</b>	<b>184,323,325</b>	<b>(537,411,702)</b>	<b>1,122,954,672</b>	<b>112,295.47</b>

2019-20						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
POWER	Direct Dividend Option	16,494,634	4,737,767	(12,140,694)	9,091,707	909.17
POWER	Direct Growth Option	18,563,334	42,338,345	(2,417,029)	58,484,651	5,848.47
POWER	Dividend Option	134,267,567	31,210,458	(35,243,847)	130,234,178	13,023.42
POWER	Growth Option	87,289,897	64,693,978	(22,738,554)	129,245,321	12,924.53
<b>POWER</b>	<b>Total</b>	<b>256,615,432</b>	<b>142,980,549</b>	<b>(72,540,124)</b>	<b>327,055,857</b>	<b>32,705.59</b>
RETIREEQ	Direct Dividend Option	20,469	47,832	(8,148)	60,154	6.02
RETIREEQ	Direct Growth Option	1,536,273	1,706,419	(487,338)	2,755,354	275.54
RETIREEQ	Dividend Option	1,233,459	220,073	(278,888)	1,174,644	117.46
RETIREEQ	Growth Option	40,879,778	33,184,184	(11,391,197)	62,672,765	6,267.28
<b>RETIREEQ</b>	<b>Total</b>	<b>43,669,979</b>	<b>35,158,508</b>	<b>(12,165,571)</b>	<b>66,662,916</b>	<b>6,666.29</b>
SERVE	Direct Dividend Option	1,574,647	198,264	(547,487)	1,225,424	122.54
SERVE	Direct Growth Option	17,055,630	1,794,743	(6,193,435)	12,656,938	1,265.69
SERVE	Dividend Option	36,580,761	3,334,175	(7,536,581)	32,378,355	3,237.84
SERVE	Growth Option	105,230,689	10,718,778	(36,368,931)	79,580,536	7,958.05
<b>SERVE</b>	<b>Total</b>	<b>160,441,726</b>	<b>16,045,960</b>	<b>(50,646,434)</b>	<b>125,841,253</b>	<b>12,584.13</b>
SINDEX	Direct Dividend Option	201,570	569,356	(363,155)	407,771	40.78
SINDEX	Direct Growth Option	6,387,559	76,338,895	(22,594,165)	60,132,290	6,013.23
SINDEX	Dividend Option	181,552	291,975	(53,533)	419,994	42.00
SINDEX	Growth Option	3,608,316	33,066,934	(7,550,365)	29,124,885	2,912.49
<b>SINDEX</b>	<b>Total</b>	<b>10,378,998</b>	<b>110,267,160</b>	<b>(30,561,218)</b>	<b>90,084,940</b>	<b>9,008.49</b>
TARGET	Direct Dividend Option	3,057,129	813,481	(2,387,745)	1,482,865	148.29
TARGET	Direct Growth Option	30,350,630	8,535,116	(7,364,005)	31,521,740	3,152.17
TARGET	Dividend Option	38,988,126	8,111,248	(13,100,708)	33,998,666	3,399.87
TARGET	Growth Option	161,438,442	61,077,026	(62,256,522)	160,258,946	16,025.89
<b>TARGET</b>	<b>Total</b>	<b>233,834,326</b>	<b>78,536,871</b>	<b>(85,108,980)</b>	<b>227,262,217</b>	<b>22,726.22</b>
TECH	Direct Dividend Option	2,496,150	450,619	(2,034,786)	911,983	91.20
TECH	Direct Growth Option	15,993,973	7,346,347	(8,440,369)	14,899,952	1,490.00
TECH	Dividend Option	20,873,476	690,750	(3,201,478)	18,362,749	1,836.27
TECH	Growth Option	49,365,021	9,124,674	(16,963,913)	41,525,782	4,152.58
<b>TECH</b>	<b>Total</b>	<b>88,728,620</b>	<b>17,612,390</b>	<b>(30,640,545)</b>	<b>75,700,465</b>	<b>7,570.05</b>
USBLUE	Direct Dividend Option	1,387,624	1,940,810	(1,268,760)	2,059,674	205.97
USBLUE	Direct Growth Option	25,231,677	56,344,676	(14,908,354)	66,667,999	6,666.80
USBLUE	Dividend Option	5,307,612	2,257,324	(1,236,152)	6,328,784	632.88
USBLUE	Growth Option	65,643,599	55,726,186	(21,717,057)	99,652,728	9,965.27
<b>USBLUE</b>	<b>Total</b>	<b>97,570,512</b>	<b>116,268,996</b>	<b>(39,130,323)</b>	<b>174,709,185</b>	<b>17,470.92</b>

2018-19						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
BAFIN	Direct Dividend Option	8,656,575	2,275,839	(2,954,349)	7,978,066	797.81
BAFIN	Direct Growth Option	75,953,465	35,192,528	(22,004,839)	89,141,155	8,914.12
BAFIN	Dividend Option	66,715,092	15,610,675	(21,368,607)	60,957,161	6,095.72
BAFIN	Growth Option	321,497,976	97,599,987	(89,382,773)	329,715,191	32,971.52
<b>BAFIN</b>	<b>Total</b>	<b>472,823,109</b>	<b>150,679,030</b>	<b>(135,710,567)</b>	<b>487,791,572</b>	<b>48,779.16</b>
DIVYLD	Direct Dividend Option	4,274,030	906,740	(713,647)	4,467,122	446.71
DIVYLD	Direct Growth Option	6,764,202	2,010,241	(2,849,290)	5,925,153	592.52
DIVYLD	Dividend Option	45,904,422	3,830,076	(11,578,692)	38,155,806	3,815.58
DIVYLD	Growth Option	74,546,520	18,606,326	(18,509,763)	74,643,083	7,464.31
<b>DIVYLD</b>	<b>Total</b>	<b>131,489,174</b>	<b>25,353,383</b>	<b>(33,651,393)</b>	<b>123,191,165</b>	<b>12,319.12</b>
EQDINO	Direct Bonus Option	142,044	-	(38,346)	103,698	10.37
EQDINO	Direct Dividend Option	3,161,375,674	2,800,367,437	(3,633,601,679)	2,328,141,433	232,814.14
EQDINO	Direct Growth Option	255,765,156	418,194,046	(248,575,738)	425,383,464	42,538.35

2018-19						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
EQDINO	Dividend Option	2,081,147,833	1,632,296,897	(1,839,609,872)	1,873,834,858	187,383.49
EQDINO	Growth Option	331,166,143	359,006,388	(328,507,301)	361,665,230	36,166.52
EQDINO	Institutional Growth Option	76	-	-	76	0.01
<b>EQDINO</b>	<b>Total</b>	<b>5,829,596,927</b>	<b>5,209,864,767</b>	<b>(6,050,332,936)</b>	<b>4,989,128,759</b>	<b>498,912.88</b>
EQDWTO	Direct Dividend Option	105,346,738	9,986,970	(26,319,608)	89,014,101	8,901.41
EQDWTO	Direct Growth Option	457,150,773	223,226,737	(95,008,971)	585,368,539	58,536.85
EQDWTO	Direct Monthly Dividend Option	200,389,913	24,276,701	(58,469,342)	166,197,271	16,619.73
EQDWTO	Direct Quarterly Dividend Option	257,516	-	(105,120)	152,396	15.24
EQDWTO	Dividend Option	1,256,510,300	233,358,211	(419,386,083)	1,070,482,429	107,048.24
EQDWTO	Growth Option	5,020,668,093	2,214,650,950	(1,451,170,168)	5,784,148,875	578,414.89
EQDWTO	Monthly Dividend Option	3,740,156,411	933,952,251	(1,569,496,047)	3,104,612,615	310,461.26
EQDWTO	Quarterly Dividend Option	14,489,572	28,497	(3,741,720)	10,776,349	1,077.63
<b>EQDWTO</b>	<b>Total</b>	<b>10,794,969,317</b>	<b>3,639,480,318</b>	<b>(3,623,697,059)</b>	<b>10,810,752,576</b>	<b>1,081,075.26</b>
ESTAR	Direct Dividend Option	4,533,635	1,612,556	(1,377,232)	4,768,958	476.90
ESTAR	Direct Growth Option	13,877,535	9,329,008	(3,470,682)	19,735,862	1,973.59
ESTAR	Dividend Option	70,776,003	8,766,416	(14,873,419)	64,668,999	6,466.90
ESTAR	Growth Option	113,549,034	47,031,681	(23,454,183)	137,126,533	13,712.65
<b>ESTAR</b>	<b>Total</b>	<b>202,736,207</b>	<b>66,739,661</b>	<b>(43,175,516)</b>	<b>226,300,352</b>	<b>22,630.04</b>
FMCG	Direct Dividend Option	465,568	214,872	(127,207)	553,233	55.32
FMCG	Direct Growth Option	2,106,454	4,264,505	(953,659)	5,417,300	541.73
FMCG	Dividend Option	7,141,647	1,609,365	(2,532,669)	6,218,343	621.83
FMCG	Growth Option	11,893,762	5,686,678	(2,366,794)	15,213,645	1,521.36
<b>FMCG</b>	<b>Total</b>	<b>21,607,432</b>	<b>11,775,419</b>	<b>(5,980,329)</b>	<b>27,402,522</b>	<b>2,740.25</b>
GIFT	Direct Growth Option	2,119,756	3,141,797	(1,626,509)	3,635,044	363.50
GIFT	Growth Option	32,508,746	11,134,728	(2,920,138)	40,723,336	4,072.33
<b>GIFT</b>	<b>Total</b>	<b>34,628,502</b>	<b>14,276,525</b>	<b>(4,546,646)</b>	<b>44,358,380</b>	<b>4,435.84</b>
GROWTH	Direct Dividend Option	40,148,834	12,303,725	(42,796,705)	9,655,854	965.59
GROWTH	Direct Growth Option	13,083,326	4,637,823	(4,218,017)	13,503,133	1,350.31
GROWTH	Dividend Option	207,240,663	32,027,988	(75,125,360)	164,143,291	16,414.33
GROWTH	Growth Option	69,976,668	18,960,257	(18,727,485)	70,209,440	7,020.94
<b>GROWTH</b>	<b>Total</b>	<b>330,449,490</b>	<b>67,929,794</b>	<b>(140,867,567)</b>	<b>257,511,718</b>	<b>25,751.17</b>
INASIA	Direct Dividend Option	605,393	69,432	(470,256)	204,570	20.46
INASIA	Direct Growth Option	3,456,295	5,660,121	(1,288,461)	7,827,955	782.80
INASIA	Dividend Option	18,777,753	2,831,937	(2,309,864)	19,299,826	1,929.98
INASIA	Growth Option	46,136,215	31,380,122	(9,304,355)	68,211,983	6,821.20
INASIA	Institutional Growth Option	322	-	-	322	0.03
<b>INASIA</b>	<b>Total</b>	<b>68,975,978</b>	<b>39,941,613</b>	<b>(13,372,936)</b>	<b>95,544,655</b>	<b>9,554.47</b>
INDEX	Direct Dividend Option	3,529,889	36,803,310	(36,968,660)	3,364,538	336.45
INDEX	Direct Growth Option	14,947,026	10,519,973	(7,292,603)	18,174,396	1,817.44
INDEX	Dividend Option	3,069,295	1,247,191	(845,126)	3,471,360	347.14
INDEX	Growth Option	16,784,164	4,525,334	(4,815,162)	16,494,336	1,649.43
<b>INDEX</b>	<b>Total</b>	<b>38,330,374</b>	<b>53,095,808</b>	<b>(49,921,552)</b>	<b>41,504,630</b>	<b>4,150.46</b>
LTWFE	Direct Dividend Option	269,450	-	-	269,450	26.95
LTWFE	Direct Growth Option	1,769,450	-	-	1,769,450	176.95
LTWFE	Dividend Option	7,445,540	-	(251,050)	7,194,490	719.45
LTWFE	Growth Option	28,938,100	-	-	28,938,100	2,893.81
<b>LTWFE</b>	<b>Total</b>	<b>38,422,540</b>	<b>-</b>	<b>(251,050)</b>	<b>38,171,490</b>	<b>3,817.15</b>
NIFJUN	Direct Dividend Option	2,028,837	3,010,896	(2,328,412)	2,711,321	271.13
NIFJUN	Direct Growth Option	41,162,025	137,422,597	(69,293,944)	109,290,678	10,929.07
NIFJUN	Dividend Option	2,000,821	3,123,685	(1,063,602)	4,060,903	406.09



2018-19						
Scheme Code	Plan	Balance at the beginning of the year/Initial Subscription	Issued during the year/period	Repurchased during the year/period	Balance at close of the year	Face Value of Units at the close of the year
		Units	Units	Units	Units	Amount (₹ in Lakhs)
NIFJUN	Growth Option	26,696,832	47,692,340	(18,937,803)	55,451,369	5,545.14
<b>NIFJUN</b>	<b>Total</b>	<b>71,888,514</b>	<b>191,249,518</b>	<b>(91,623,761)</b>	<b>171,514,271</b>	<b>17,151.43</b>
POWER	Direct Dividend Option	6,980,653	11,538,565	(2,024,583)	16,494,634	1,649.46
POWER	Direct Growth Option	17,436,657	6,177,288	(5,050,611)	18,563,334	1,856.33
POWER	Dividend Option	167,859,686	21,884,418	(55,476,537)	134,267,567	13,426.76
POWER	Growth Option	70,933,243	33,220,398	(16,863,744)	87,289,897	8,728.99
<b>POWER</b>	<b>Total</b>	<b>263,210,239</b>	<b>72,820,669</b>	<b>(79,415,475)</b>	<b>256,615,432</b>	<b>25,661.54</b>
SERVE	Direct Dividend Option	4,081,975	261,436	(2,768,764)	1,574,647	157.46
SERVE	Direct Growth Option	19,063,146	11,839,930	(13,847,446)	17,055,630	1,705.56
SERVE	Dividend Option	38,136,331	6,157,026	(7,712,597)	36,580,761	3,658.08
SERVE	Growth Option	82,315,983	41,989,599	(19,074,893)	105,230,689	10,523.07
<b>SERVE</b>	<b>Total</b>	<b>143,597,436</b>	<b>60,247,991</b>	<b>(43,403,700)</b>	<b>160,441,726</b>	<b>16,044.17</b>
SINDEX	Direct Dividend Option	515,082	668,378	(981,890)	201,570	20.16
SINDEX	Direct Growth Option	1,583,194	12,968,612	(8,164,246)	6,387,559	638.76
SINDEX	Dividend Option	163,547	341,066	(323,061)	181,552	18.16
SINDEX	Growth Option	1,333,317	4,103,370	(1,828,370)	3,608,316	360.83
<b>SINDEX</b>	<b>Total</b>	<b>3,595,139</b>	<b>18,081,425</b>	<b>(11,297,566)</b>	<b>10,378,998</b>	<b>1,037.90</b>
TARGET	Direct Dividend Option	2,639,584	1,609,499	(1,191,954)	3,057,129	305.71
TARGET	Direct Growth Option	18,095,565	19,195,728	(6,940,663)	30,350,630	3,035.06
TARGET	Dividend Option	47,776,232	6,908,799	(15,696,905)	38,988,126	3,898.81
TARGET	Growth Option	145,232,375	53,596,951	(37,390,884)	161,438,442	16,143.84
<b>TARGET</b>	<b>Total</b>	<b>213,743,755</b>	<b>81,310,976</b>	<b>(61,220,405)</b>	<b>233,834,326</b>	<b>23,383.43</b>
TECH	Direct Dividend Option	909,016	2,024,997	(437,864)	2,496,150	249.61
TECH	Direct Growth Option	9,888,975	12,518,120	(6,413,122)	15,993,973	1,599.40
TECH	Dividend Option	20,168,224	3,723,577	(3,018,325)	20,873,476	2,087.35
TECH	Growth Option	42,530,623	22,390,579	(15,556,181)	49,365,021	4,936.50
<b>TECH</b>	<b>Total</b>	<b>73,496,838</b>	<b>40,657,274</b>	<b>(25,425,492)</b>	<b>88,728,620</b>	<b>8,872.86</b>
USBLUE	Direct Dividend Option	675,064	944,991	(232,431)	1,387,624	138.76
USBLUE	Direct Growth Option	9,022,532	22,119,809	(5,910,663)	25,231,677	2,523.17
USBLUE	Dividend Option	5,391,490	1,301,562	(1,385,440)	5,307,612	530.76
USBLUE	Growth Option	50,071,703	24,023,417	(8,451,521)	65,643,599	6,564.36
<b>USBLUE</b>	<b>Total</b>	<b>65,160,788</b>	<b>48,389,779</b>	<b>(15,980,055)</b>	<b>97,570,513</b>	<b>9,757.05</b>
INDOPP#	Direct Dividend Option	-	3,795,117	(7,285)	3,787,832	378.78
INDOPP#	Direct Growth Option	-	173,194,034	(6,624,136)	166,569,898	16,656.99
INDOPP#	Dividend Option	-	79,265,260	(4,327,891)	74,937,369	7,493.74
INDOPP#	Growth Option	-	1,257,893,786	(68,019,654)	1,189,874,132	118,987.41
<b>INDOPP#</b>	<b>Total</b>	<b>-</b>	<b>1,514,148,196</b>	<b>(78,978,965)</b>	<b>1,435,169,231</b>	<b>143,516.92</b>
MGFINDIA#	Direct Dividend Option	-	4,446,282	(14,343)	4,431,939	443.19
MGFINDIA#	Direct Growth Option	-	26,220,002	(1,324,189)	24,895,813	2,489.58
MGFINDIA#	Dividend Option	-	70,091,196	(7,259,945)	62,831,251	6,283.13
MGFINDIA#	Growth Option	-	1,221,100,994	(132,549,988)	1,088,551,006	108,855.10
<b>MGFINDIA#</b>	<b>Total</b>	<b>-</b>	<b>1,321,858,474</b>	<b>(141,148,464)</b>	<b>1,180,710,010</b>	<b>118,071.00</b>
PHARMA#	Direct Dividend Option	-	8,338,427	(299,740)	8,038,688	803.87
PHARMA#	Direct Growth Option	-	121,188,606	(20,748,650)	100,439,956	10,044.00
PHARMA#	Dividend Option	-	94,395,319	(14,957,998)	79,437,322	7,943.73
PHARMA#	Growth Option	-	1,424,652,149	(136,525,066)	1,288,127,083	128,812.71
<b>PHARMA#</b>	<b>Total</b>	<b>-</b>	<b>1,648,574,502</b>	<b>(172,531,454)</b>	<b>1,476,043,048</b>	<b>147,604.30</b>
RETIREEQ#	Direct Dividend Option	-	20,469	-	20,469	2.05
RETIREEQ#	Direct Growth Option	-	1,536,273	-	1,536,273	153.63
RETIREEQ#	Dividend Option	-	1,241,103	(7,644)	1,233,459	123.35
RETIREEQ#	Growth Option	-	41,440,845	(561,067)	40,879,778	4,087.98
<b>RETIREEQ#</b>	<b>Total</b>	<b>-</b>	<b>44,238,690</b>	<b>(568,711)</b>	<b>43,669,979</b>	<b>4,367.00</b>

"Balance at the beginning of the year" includes units allotted during NFO as initial capital.

# indicates the scheme for which units have been allotted during the respective financial year. Initial capital has been disclosed for the schemes which have been allotted during the respective financial year under "Issued during the year/period".

5 Contingent Liability as at March 31, 2020: Nil (Previous year – Nil)

6 **Movement of Investor Education Fund balance for ICICI Prudential Mutual Fund during the Financial Year ended March 31, 2020 is as follows:**

(₹ in Lakhs)

Particulars	2019-20	2018-19
Opening Balance	944.41	756.25
Accretion to Investor Education & Awareness Fund including income earned on investment	7,169.79	6,396.05
Less: Utilisation towards investor education and awareness initiatives	2,097.46	3,071.94
Less: Amount contributed to AMFI	3,538.48	3,135.94
Closing Balance	2,478.26	944.41

7 Expenses other than management fee are inclusive of Goods & Services Tax where applicable.

8 **Unclaimed Dividend & Unclaimed Redemption as at March 31, 2020 :**

Particulars	2019-20		2018-19	
	Count	Amount (₹ in Lakhs)	Count	Amount (₹ in Lakhs)
Unclaimed Dividend	33,427	731.95	33,269	595.18
Unclaimed Redemption	2,989	2,979.32	2,578	1,261.10

This disclosure has been carried out for all the schemes and the amount is set aside at a pool level.

Additionally, pursuant to SEBI circular no. SEBI/HO/IMD/DF2/CIR/P/2016/37 dated February 25, 2016 and an addendum issued by the AMC in this regard (dated May 18, 2016), the AMC had introduced separate plans under ICICI Prudential Liquid Fund for deployment of unclaimed amounts. Consequently, unclaimed amounts are deployed in respective investor's folios under the aforesaid plans, in accordance with the said addendum and hence disclosed separately on AMC's website.

9 The outbreak of COVID-19 virus continues to spread across the globe including India, resulting in significant volatility in financial markets and a significant decrease in global and India's economic activities. On March 11, 2020, this outbreak was declared a global pandemic by the World Health Organisation. On March 24, 2020, the Indian Government announced a lockdown across the nation to contain the spread of the virus.

In preparing the accompanying financial statements, the Fund's management is required to make judgements, estimates and assumptions that affect the application of policies and reported amounts of assets (including investments in debt securities) and income of the Scheme. These estimates and associated assumptions, especially for determining fair value of the Scheme's investments in debt securities, are based on historical experience and various other factors including the possible effects that may result from the pandemic, that are believed to be reasonable under the current circumstances. The valuation of such debt securities is carried out for each debt security separately by independent agencies as required by SEBI (Mutual Funds) Regulations 1996, as amended. Further, as required by the SEBI Regulations, the AMC is responsible for adjustments to the above-mentioned price to make it reflective of fair value as detailed in the valuation policy approved by the Board of Directors of the AMC and Trustees.

Given the dynamic nature of the pandemic situation, the valuation of the Scheme's investments in securities as at March 31, 2020, are subject to evolving uncertainties on account of severity of the outbreak and duration of the lockdown.

10 These Abridged Financial Statements have been derived from the Audited Financial Statements referred to in the Audit Report.

11 Previous year figures are regrouped wherever necessary.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential		ICICI Prudential		ICICI Prudential	
		Banking and Financial Services Fund		Dividend Yield Equity Fund		Equity - Arbitrage Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	13.7358	12.8784
	Direct Dividend Option	53.59	49.13	12.95	13.68	14.4902	14.4419
	Direct Growth Option	69.88	60.24	17.52	17.63	25.2462	23.6702
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	34.70	33.21	12.41	13.24	13.6200	13.6390
	Growth Option	65.47	57.06	16.92	17.17	24.3846	23.0005
	Institutional Growth Option	-	-	-	-	24.5956	23.2139
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	14.6802	13.7358
	Direct Dividend Option	58.63	55.71	13.11	14.43	14.8509	14.5480
	Direct Growth Option	76.45	69.88	17.74	18.61	26.9821	25.2462
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	37.69	37.49	12.56	13.97	13.7148	13.7040
	Growth Option	71.12	65.47	17.12	18.10	25.9118	24.3846
	Institutional Growth Option	-	-	-	-	26.2333	24.5956
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	13.7274	12.8742
	Direct Dividend Option	28.74	45.60	7.35	11.50	14.4628	14.4124
	Direct Growth Option	39.94	55.91	10.76	15.56	25.2307	23.6624
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	17.77	30.59	6.86	11.02	13.5616	13.5825
	Growth Option	37.09	52.64	10.31	15.03	24.3668	22.9911
	Institutional Growth Option	-	-	-	-	24.5785	23.2034
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	14.6802	13.7358
	Direct Dividend Option	31.45	53.59	8.19	12.95	14.5702	14.4902
	Direct Growth Option	43.70	69.88	11.99	17.52	26.9821	25.2462
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	19.44	34.70	7.64	12.41	13.6737	13.6200
	Growth Option	40.58	65.47	11.49	16.92	25.9118	24.3846
	Institutional Growth Option	-	-	-	-	26.2333	24.5956
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	253,572.10	303,577.90	12,202.83	18,976.02	713,133.57	788,222.52
	Average (AAuM)	329,512.97	263,817.16	17,057.95	19,267.01	1,178,023.67	836,029.85
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>4.58%</b>	<b>8.58%</b>	<b>9.39%</b>	<b>16.10%</b>	<b>17.32%</b>	<b>0.02%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	1.26%	1.13%	1.89%	1.80%	0.37%	0.35%
	Other than Direct Option	2.14%	2.22%	2.69%	2.77%	0.94%	0.95%
	Institutional Option	-	-	-	-	0.56%	1.00%
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	0.95%	0.84%	1.44%	1.41%	0.25%	0.23%
	Other than Direct Option	0.96%	1.03%	1.44%	1.55%	0.28%	0.33%
	Institutional Option	-	-	-	-	0.22%	0.21%

		ICICI Prudential Banking and Financial Services Fund		ICICI Prudential Dividend Yield Equity Fund		ICICI Prudential Equity - Arbitrage Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	2.65%	6.57%	6.77%	13.42%	16.73%	-0.59%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.45	0.73	0.53	0.51	2.24	1.83
	Portfolio Turnover Ratio – Equity	0.40	0.58	0.43	0.46	1.43	1.28
7	Total Dividend per unit distributed during the Year / Period (plan- wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	3.50	3.00	0.94	0.65	0.8923	0.8842
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	3.50	3.00	1.10	0.65	0.7746	0.8157
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-37.22%	15.96%	-31.35%	-0.62%	6.82%	6.64%
	Other than Direct Option	-37.78%	14.70%	-31.88%	-1.45%	6.21%	6.00%
	Benchmark	-25.13%	23.56%	-25.69%	10.06%	6.04%	5.35%
B	Since Inception Scheme						
	Direct Option	9.16%	19.37%	3.13%	12.20%	7.78%	7.94%
	Other than Direct Option	12.82%	19.38%	2.39%	11.40%	7.44%	7.55%
	Benchmark						
	Direct Option	9.46%	16.38%	3.35%	10.65%	6.57%	6.65%
	Other than Direct Option	13.02%	17.53%	3.35%	10.65%	@@	@@
	Benchmark Index	Nifty Financial Services Total Return Index		Nifty Dividend Opportunities 50 Total Return Index		Nifty 50 Arbitrage Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- Gross Income = Amount against (A) in the Revenue account i.e income
- Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- AAUM = Average daily net assets
- Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- Performance figures have been computed based on the last declared NAV.
- Plans closed during the year are not considered for above disclosures
- As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

**ICICI Prudential Mutual Fund**

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**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Balanced Advantage Fund		ICICI Prudential Midcap Fund		ICICI Prudential FMCG Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	19.95	19.04	29.30	30.61	106.49	101.39
	Direct Growth Option	37.96	35.19	102.22	103.12	251.01	224.58
	Direct Monthly Dividend Option	15.79	15.61	-	-	-	-
	Direct Quarterly Dividend Option	13.56	12.57	-	-	-	-
	Dividend Option	15.45	15.08	23.18	24.72	69.02	68.57
	Growth Option	35.34	33.11	96.55	98.49	239.89	216.86
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	14.33	14.41	-	-	-	-
	Quarterly Dividend Option	12.67	11.87	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	20.80	19.95	29.77	32.44	116.36	119.99
	Direct Growth Option	41.59	37.96	106.36	109.28	274.30	265.76
	Direct Monthly Dividend Option	16.55	15.89	-	-	-	-
	Direct Quarterly Dividend Option	14.86	13.56	-	-	-	-
	Dividend Option	16.07	15.64	23.52	26.17	74.98	80.84
	Growth Option	38.56	35.34	99.67	104.26	260.59	255.65
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	14.89	14.60	-	-	-	-
	Quarterly Dividend Option	13.83	12.67	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	14.98	18.62	17.01	26.15	81.93	101.21
	Direct Growth Option	30.35	34.73	64.40	89.92	193.13	224.22
	Direct Monthly Dividend Option	12.02	14.87	-	-	-	-
	Direct Quarterly Dividend Option	10.84	12.41	-	-	-	-
	Dividend Option	11.33	14.47	13.02	20.72	52.67	65.67
	Growth Option	28.12	32.46	60.25	85.34	183.06	215.45
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	10.76	13.52	-	-	-	-
	Quarterly Dividend Option	10.08	11.64	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	16.29	19.95	18.21	29.30	93.89	106.49
	Direct Growth Option	33.00	37.96	68.96	102.22	221.30	251.01
	Direct Monthly Dividend Option	13.07	15.79	-	-	-	-
	Direct Quarterly Dividend Option	11.79	13.56	-	-	-	-
	Dividend Option	12.31	15.45	13.94	23.18	60.35	69.02
	Growth Option	30.57	35.34	64.51	96.55	209.75	239.89
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	11.69	14.33	-	-	-	-
	Quarterly Dividend Option	10.96	12.67	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	2,284,620.27	2,922,138.73	131,727.10	168,935.86	46,081.17	54,970.56
	Average (AAuM)	2,808,236.91	2,830,339.24	175,108.15	152,003.62	51,303.20	46,597.84
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>7.77%</b>	<b>5.73%</b>	<b>5.91%</b>	<b>13.39%</b>	<b>12.50%</b>	<b>11.96%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	1.19%	1.02%	1.42%	1.31%	1.78%	1.69%
	Other than Direct Option	1.71%	2.08%	2.37%	2.43%	2.62%	2.78%
	Institutional Option	-	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	0.91%	0.79%	1.09%	0.98%	1.42%	1.32%
	Other than Direct Option	0.92%	0.93%	1.08%	1.11%	1.41%	1.50%
	Institutional Option	-	-	-	-	-	-

		ICICI Prudential Balanced Advantage Fund		ICICI Prudential Midcap Fund		ICICI Prudential FMCG Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	6.12%	3.74%	3.68%	11.09%	10.07%	9.41%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.85	0.94	0.40	0.52	0.54	0.36
	Portfolio Turnover Ratio – Equity	0.41	0.28	0.34	0.50	0.54	0.36
7	Total Dividend per unit distributed during the Year / Period (plan- wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	1.25	0.55	2.25	1.00	-	6.50
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	0.77	1.00	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	1.25	0.60	2.25	1.00	-	6.50
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	0.84	1.00	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-12.97%	7.85%	-32.32%	-0.87%	-11.75%	11.73%
	Other than Direct Option	-13.40%	6.72%	-32.96%	-1.96%	-12.47%	10.59%
	Benchmark	-7.25%	9.66%	-29.88%	-0.65%	-8.55%	17.68%
B	Since Inception Scheme						
	Direct Option	9.33%	13.43%	10.20%	19.23%	10.55%	14.64%
	Other than Direct Option	8.79%	10.85%	12.84%	17.02%	15.58%	17.21%
	Benchmark						
	Direct Option	8.31%	11.05%	8.55%	16.49%	9.76%	13.04%
	Other than Direct Option	8.38%	9.77%	@@	@@	12.66%	13.85%
	Benchmark Index	CRISIL Hybrid 50 + 50 - Moderate Index		Nifty Midcap 150 Total Return Index		Nifty FMCG Total Return Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- Gross Income = Amount against (A) in the Revenue account i.e income
- Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- AAUM = Average daily net assets
- Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- Performance figures have been computed based on the last declared NAV.
- Plans closed during the year are not considered for above disclosures
- As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Child Care Fund (Gift Plan)		ICICI Prudential Large & Mid Cap Fund		ICICI Prudential Smallcap Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	24.04	22.69	23.94	26.53
	Direct Growth Option	149.15	137.92	344.66	325.34	25.81	28.60
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	17.68	16.86	15.65	17.48
	Growth Option	142.04	132.47	325.01	310.01	24.90	27.82
	Institutional Growth Option	-	-	-	-	24.89	27.82
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	24.35	24.39	26.99	27.76
	Direct Growth Option	158.34	149.15	365.70	349.79	29.10	29.92
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	17.90	18.04	17.46	18.29
	Growth Option	149.74	142.04	342.59	331.69	27.78	29.09
	Institutional Growth Option	-	-	-	-	27.76	29.09
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	14.91	21.54	16.22	20.56
	Direct Growth Option	109.09	132.67	228.86	308.87	17.48	22.17
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	10.61	15.86	10.47	13.46
	Growth Option	103.10	126.80	214.12	291.51	16.66	21.41
	Institutional Growth Option	-	-	-	-	16.65	21.41
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	16.15	24.04	17.39	23.94
	Direct Growth Option	119.14	149.15	247.85	344.66	18.75	25.81
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	11.48	17.68	11.22	15.65
	Growth Option	112.60	142.04	231.85	325.01	17.86	24.90
	Institutional Growth Option	-	-	-	-	17.85	24.89
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	51,207.91	63,263.79	241,766.17	306,043.53	88,122.78	22,072.99
	Average (AAuM)	63,211.05	58,153.91	329,283.33	303,307.95	57,947.34	17,281.02
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>2.01%</b>	<b>6.41%</b>	<b>2.75%</b>	<b>9.12%</b>	<b>4.25%</b>	<b>3.47%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	1.74%	1.68%	1.31%	1.11%	1.12%	1.81%
	Other than Direct Option	2.52%	2.57%	2.10%	2.15%	2.49%	2.72%
	Institutional Option	-	-	-	-	2.58%	2.75%
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	1.35%	1.29%	1.01%	0.84%	0.78%	1.38%
	Other than Direct Option	1.35%	1.41%	1.00%	0.99%	0.83%	1.43%
	Institutional Option	-	-	-	-	0.95%	1.46%

		ICICI Prudential Child Care Fund (Gift Plan)		ICICI Prudential Large & Mid Cap Fund		ICICI Prudential Smallcap Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	-0.45%	3.93%	0.88%	7.14%	1.96%	0.82%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.66	1.02	0.77	1.04	0.59	0.90
	Portfolio Turnover Ratio – Equity	0.50	0.78	0.64	0.94	0.59	0.73
7	Total Dividend per unit distributed during the Year / Period (plan-wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	1.60	-	-	-
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	1.60	-	-	-
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-19.97%	8.12%	-27.89%	5.92%	-27.16%	-9.73%
	Other than Direct Option	-20.58%	7.20%	-28.47%	4.83%	-28.08%	-10.47%
	Benchmark	-12.78%	13.11%	-27.29%	9.10%	-39.97%	-7.76%
B	Since Inception Scheme						
	Direct Option	8.76%	14.29%	6.76%	13.74%	6.00%	12.63%
	Other than Direct Option	13.91%	16.29%	15.56%	18.28%	4.76%	8.29%
	Benchmark						
	Direct Option	7.56%	11.26%	5.10%	11.55%	-0.12%	8.45%
	Other than Direct Option	@@	@@	11.56%	13.91%	1.90%	6.76%
	Benchmark Index	NIFTY 50 Hybrid Composite Debt 65:35 Index		Nifty LargeMidcap 250 Total Return Index		Nifty Smallcap 250 Total Return Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- Gross Income = Amount against (A) in the Revenue account i.e income
- Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- AAUM = Average daily net assets
- Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- Performance figures have been computed based on the last declared NAV.
- Plans closed during the year are not considered for above disclosures
- As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.



**ICICI Prudential Mutual Fund**

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**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Nifty Index Fund		ICICI Prudential India Opportunities Fund		ICICI Prudential Long Term Wealth Enhancement Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	15.4376	13.3454	10.85	-	10.87	9.98
	Direct Growth Option	114.8009	99.2475	10.85	-	10.87	9.98
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	14.9107	12.9453	10.81	-	10.70	9.98
	Growth Option	111.9640	97.2227	10.81	-	10.70	9.98
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	16.5732	15.5485	11.14	10.85	12.19	11.06
	Direct Growth Option	123.2463	115.6319	11.14	10.85	12.19	11.06
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	15.9624	15.0555	11.09	10.81	11.95	10.99
	Growth Option	119.8332	113.0705	11.09	10.81	11.95	10.99
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	10.2489	13.3031	6.67	9.46	7.37	9.52
	Direct Growth Option	76.2124	98.9279	6.67	9.46	7.37	9.52
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	9.8647	12.8718	6.53	9.45	7.21	9.44
	Growth Option	74.0384	96.6679	6.53	9.45	7.21	9.44
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	11.5646	15.4376	7.30	10.85	8.00	10.87
	Direct Growth Option	85.9951	114.8009	7.30	10.85	8.00	10.87
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	11.1305	14.9107	7.15	10.81	7.83	10.70
	Growth Option	83.5334	111.9640	7.15	10.81	7.83	10.70
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	69,739.29	40,368.43	195,569.91	155,159.49	2,993.41	4,085.65
	Average (AAuM)	45,496.24	35,471.77	197,425.34	139,718.23	4,129.23	3,953.06
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>7.13%</b>	<b>8.07%</b>	<b>5.13%</b>	<b>5.76%</b>	<b>2.79%</b>	<b>2.21%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	0.11%	0.48%	0.44%	0.54%	0.87%	1.05%
	Other than Direct Option	0.46%	0.92%	2.16%	2.32%	1.37%	2.61%
	Institutional Option	-	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	0.01%	0.34%	0.25%	0.36%	0.68%	0.83%
	Other than Direct Option	0.01%	0.37%	0.27%	0.37%	0.71%	0.92%
	Institutional Option	-	-	-	-	-	-

		ICICI Prudential Nifty Index Fund		ICICI Prudential India Opportunities Fund		ICICI Prudential Long Term Wealth Enhancement Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	6.86%	7.36%	3.22%	3.63%	1.44%	-0.32%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.46	0.37	0.71	0.01	0.05	0.23
	Portfolio Turnover Ratio – Equity	0.46	0.37	0.60	0.00	0.05	0.23
7	Total Dividend per unit distributed during the Year / Period (plan-wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	-	-	-	-
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	-	-	-	-
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-24.92%	15.63%	-32.50%	NA	-26.22%	8.89%
	Other than Direct Option	-25.21%	15.12%	-33.63%	NA	-26.64%	7.19%
	Benchmark	-24.85%	16.40%	-26.44%	NA	-27.29%	6.44%
B	Since Inception Scheme						
	Direct Option	6.23%	12.36%	-22.93%	8.50%	-10.42%	8.53%
	Other than Direct Option	12.44%	15.18%	-24.24%	8.10%	-11.37%	6.86%
	Benchmark						
	Direct Option	6.53%	12.71%	-18.92%	5.78%	-11.82%	6.73%
	Other than Direct Option	13.15%	15.91%	-18.92%	5.78%	-11.82%	6.73%
	Benchmark Index	Nifty 50 Total Return Index		Nifty 500 Total Return Index		Nifty LargeMidcap 250 Total Return Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- 1 Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- 2 Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- 3 Gross Income = Amount against (A) in the Revenue account i.e income
- 4 Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- 5 Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- 6 AAUM = Average daily net assets
- 7 Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- 8 Performance figures have been computed based on the last declared NAV.
- 9 Plans closed during the year are not considered for above disclosures
- 10 As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- 11 The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- 12 As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- 13 The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- 14 The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- 15 The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Manufacture in India Fund		ICICI Prudential Nifty Next 50 Index Fund		ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	10.61	-	25.7159	25.8484	10.26	-
	Direct Growth Option	10.61	-	25.7223	25.8559	10.26	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	10.53	-	25.0253	25.2582	10.14	-
	Growth Option	10.53	-	25.0253	25.2582	10.14	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	10.95	10.61	26.6200	28.3124	11.42	11.02
	Direct Growth Option	10.95	10.61	26.6268	28.3218	11.42	11.02
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	10.73	10.53	25.8072	27.6181	11.15	11.00
	Growth Option	10.73	10.53	25.8072	27.6179	11.15	11.00
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	6.83	9.57	16.9479	23.0191	9.08	9.70
	Direct Growth Option	6.83	9.57	16.9518	23.0239	9.07	9.70
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	6.67	9.52	16.4190	22.4110	8.84	9.61
	Growth Option	6.67	9.52	16.4190	22.4110	8.84	9.61
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	7.39	10.61	19.2858	25.7159	9.79	10.26
	Direct Growth Option	7.39	10.61	19.2903	25.7223	9.79	10.26
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	7.22	10.53	18.6830	25.0253	9.54	10.14
	Growth Option	7.21	10.53	18.6830	25.0253	9.54	10.14
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	59,252.09	124,303.53	59,757.70	43,701.74	107,409.75	149,751.53
	Average (AAuM)	103,767.40	124,107.33	59,246.51	29,862.93	132,893.60	142,211.96
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>10.13%</b>	<b>6.12%</b>	<b>-0.27%</b>	<b>1.39%</b>	<b>9.26%</b>	<b>3.34%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	0.62%	0.68%	0.39%	0.43%	0.78%	0.67%
	Other than Direct Option	2.20%	2.35%	0.84%	0.85%	2.20%	2.33%
	Institutional Option	-	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	0.44%	0.48%	0.22%	0.24%	0.57%	0.45%
	Other than Direct Option	0.98%	0.98%	0.21%	0.25%	0.97%	0.68%
	Institutional Option	-	-	-	-	-	-

		ICICI Prudential Manufacture in India Fund		ICICI Prudential Nifty Next 50 Index Fund		ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D) Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	<b>Net Income as % of AAUM *</b>	7.97%	3.81%	-0.80%	0.81%	7.17%	1.13%
6	<b>Portfolio Turnover Ratio - Aggregate for all Asset category</b>	0.44	0.50	0.54	0.63	0.28	0.36
7	<b>Portfolio Turnover Ratio – Equity</b>	0.36	0.40	0.54	0.63	0.23	0.22
	<b>Total Dividend per unit distributed during the Year / Period (plan- wise)</b>						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	-	-	-	-
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	-	-	-	-
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	<b>Returns</b>						
A	<b>Last One Year</b>						
	<b>Scheme</b>						
	Direct Option	-30.14%	NA	-24.83%	-0.52%	-4.54%	NA
	Other than Direct Option	-31.32%	NA	-25.17%	-0.92%	-5.87%	NA
	<b>Benchmark</b>	<b>-22.90%</b>	<b>NA</b>	<b>-24.20%</b>	<b>0.18%</b>	<b>-14.63%</b>	<b>NA</b>
B	<b>Since Inception</b>						
	<b>Scheme</b>						
	Direct Option	-18.58%	6.10%	8.11%	14.65%	-1.23%	2.60%
	Other than Direct Option	-19.94%	5.30%	6.60%	11.03%	-2.70%	1.40%
	<b>Benchmark</b>						
	Direct Option	-9.65%	13.07%	8.94%	15.51%	-7.27%	3.02%
	Other than Direct Option	-9.65%	13.07%	8.09%	12.59%	-7.27%	3.02%
	<b>Benchmark Index</b>	<b>S&amp;P BSE India Manufacturing Total Return Index</b>		<b>Nifty Next 50 Total Return Index</b>		<b>S&amp;P BSE Healthcare Total Return Index</b>	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- Gross Income = Amount against (A) in the Revenue account i.e income
- Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- AAUM = Average daily net assets
- Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- Performance figures have been computed based on the last declared NAV.
- Plans closed during the year are not considered for above disclosures
- As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

**ICICI Prudential Mutual Fund**

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**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Multicap Fund		ICICI Prudential Retirement Fund - Pure Equity Plan		ICICI Prudential Exports and Services Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	31.65	29.58	10.43	-	36.74	36.04
	Direct Growth Option	311.97	281.33	10.43	-	60.52	59.36
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	23.02	22.01	10.41	-	24.17	23.94
	Growth Option	295.61	269.19	10.41	-	57.61	57.06
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	32.44	33.68	11.03	10.43	36.89	38.69
	Direct Growth Option	327.20	320.30	11.03	10.43	64.33	63.73
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	23.55	24.95	10.83	10.41	24.24	25.59
	Growth Option	307.67	305.14	10.83	10.41	60.82	61.00
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	18.86	28.27	6.93	10.01	23.06	33.17
	Direct Growth Option	199.99	278.48	6.93	10.01	40.21	54.64
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	13.19	20.58	6.78	10.01	14.60	21.89
	Growth Option	187.76	264.29	6.78	10.01	37.99	52.17
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	20.69	31.65	7.62	10.43	25.67	36.74
	Direct Growth Option	219.45	311.97	7.62	10.43	44.75	60.52
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	14.47	23.02	7.44	10.41	16.25	24.17
	Growth Option	206.00	295.61	7.44	10.41	42.28	57.61
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	415,325.43	352,041.10	4,966.78	4,546.36	44,887.37	80,436.95
	Average (AAuM)	430,082.30	296,637.32	5,725.84	4,336.82	69,668.39	78,335.69
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>3.44%</b>	<b>9.70%</b>	<b>3.80%</b>	<b>4.29%</b>	<b>-1.84%</b>	<b>13.80%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	1.15%	1.32%	0.43%	0.74%	1.75%	1.53%
	Other than Direct Option	2.09%	2.29%	2.55%	2.79%	2.51%	2.56%
	Institutional Option	-	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	0.84%	1.02%	0.25%	0.37%	1.37%	1.19%
	Other than Direct Option	0.87%	1.05%	0.25%	0.45%	1.37%	1.27%
	Institutional Option	-	-	-	-	-	-

		ICICI Prudential Multicap Fund		ICICI Prudential Retirement Fund - Pure Equity Plan		ICICI Prudential Exports and Services Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	1.54%	7.58%	1.33%	1.58%	-4.25%	11.44%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.62	1.73	0.25	0.00	0.83	1.16
	Portfolio Turnover Ratio – Equity	0.53	1.65	0.20	0.00	0.82	1.14
7	Total Dividend per unit distributed during the Year / Period (plan-wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	2.25	1.10	-	-	1.90	-
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	2.25	1.10	-	-	1.90	-
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-29.45%	10.86%	-26.75%	NA	-25.88%	1.95%
	Other than Direct Option	-30.11%	9.79%	-28.33%	NA	-26.42%	0.96%
	Benchmark	-26.27%	9.64%	-26.44%	NA	-26.27%	21.03%
B	Since Inception Scheme						
	Direct Option	8.33%	16.11%	-22.06%	4.30%	12.29%	20.10%
	Other than Direct Option	12.59%	14.82%	-23.75%	4.10%	10.57%	14.03%
	Benchmark						
	Direct Option	6.69%	13.26%	-19.12%	8.12%	6.56%	15.24%
	Other than Direct Option	@@	0.00%	-19.12%	8.12%	9.62%	13.80%
	Benchmark Index	S&P BSE 500 Total Return Index		Nifty 500 Total Return Index		S&P BSE 500 Total Return Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

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- 6 AAUM = Average daily net assets
- 7 Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- 8 Performance figures have been computed based on the last declared NAV.
- 9 Plans closed during the year are not considered for above disclosures
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- 11 The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- 12 As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- 13 The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- 14 The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- 15 The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

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**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential Sensex Index Fund		ICICI Prudential Focused Equity Fund		ICICI Prudential Technology Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
<b>1</b>	<b>NAV PER UNIT (₹)</b>						
<b>A</b>	<b>Open</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	12.0181	10.2094	26.68	24.24	51.07	45.90
	Direct Growth Option	12.0181	10.2094	32.37	29.42	62.60	52.68
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	11.9706	10.1964	17.06	15.66	31.87	30.03
	Growth Option	11.9706	10.1964	30.43	27.94	59.56	50.61
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>B</b>	<b>High</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	13.1133	12.0694	27.19	27.95	53.72	57.04
	Direct Growth Option	13.1133	12.0694	32.99	33.91	65.86	65.47
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	13.0387	12.0392	17.35	17.98	33.31	37.16
	Growth Option	13.0387	12.0392	30.96	32.07	62.25	62.61
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>C</b>	<b>Low</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	8.2272	10.2221	16.98	23.79	34.88	46.04
	Direct Growth Option	8.2272	10.2221	22.33	28.86	42.75	52.84
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	8.1778	10.2086	10.24	15.26	21.61	30.12
	Growth Option	8.1778	10.2086	20.79	27.22	40.39	50.75
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>D</b>	<b>End</b>						
	Bonus Option	-	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	9.3077	12.0181	18.52	26.68	40.62	51.07
	Direct Growth Option	9.3077	12.0181	24.36	32.37	49.79	62.60
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	9.2514	11.9706	11.17	17.06	25.17	31.87
	Growth Option	9.2514	11.9706	22.67	30.43	47.05	59.56
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>						
	Closing	8,368.39	1,245.58	48,082.81	66,416.87	31,948.30	47,394.54
	Average (AAuM)	3,587.76	718.87	64,003.55	58,561.91	42,803.22	43,553.16
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>1.01%</b>	<b>3.37%</b>	<b>10.54%</b>	<b>7.72%</b>	<b>4.87%</b>	<b>6.80%</b>
<b>4</b>	<b>Expense Ratio</b>						
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>						
	Direct Option	0.10%	0.31%	1.61%	1.56%	1.87%	1.75%
	Other than Direct Option	0.31%	0.56%	2.61%	2.62%	2.60%	2.76%
	Institutional Option	-	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>						
	Direct Option	^ ^	0.02%	1.26%	1.21%	1.48%	1.36%
	Other than Direct Option	^ ^	0.06%	1.26%	1.31%	1.48%	1.44%
	Institutional Option	-	-	-	-	-	-

		ICICI Prudential Sensex Index Fund		ICICI Prudential Focused Equity Fund		ICICI Prudential Technology Fund	
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2019
5	Net Income as % of AAUM *	0.84%	2.96%	8.10%	5.25%	2.45%	4.26%
6	Portfolio Turnover Ratio - Aggregate for all Asset category	0.25	0.96	0.47	0.32	0.35	0.22
	Portfolio Turnover Ratio – Equity	0.25	0.96	0.44	0.32	0.30	0.22
7	Total Dividend per unit distributed during the Year / Period (plan-wise)						
	Direct Bonus Option	-	-	-	-	-	-
	Direct Dividend Option	-	-	2.05	-	-	3.50
	Direct Growth Option	-	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-	-
	Dividend Option	-	-	2.05	-	-	3.50
	Growth Option	-	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-	-
8	Returns						
A	Last One Year Scheme						
	Direct Option	-22.39%	17.66%	-24.57%	10.00%	-20.31%	18.77%
	Other than Direct Option	-22.55%	17.35%	-25.32%	8.89%	-20.85%	17.63%
	Benchmark	-22.69%	18.71%	-26.27%	10.24%	-13.96%	28.53%
B	Since Inception Scheme						
	Direct Option	-2.80%	12.88%	6.60%	12.75%	13.84%	20.60%
	Other than Direct Option	-3.03%	12.58%	7.84%	11.97%	8.01%	9.80%
	Benchmark						
	Direct Option	-2.58%	13.61%	5.91%	12.31%	14.12%	19.44%
	Other than Direct Option	-2.58%	13.61%	7.72%	11.99%	4.10%	5.15%
	Benchmark Index	S&P BSE SENSEX Total Return Index		S&P BSE 500 Total Return Index		S&P BSE Information Technology Total Return Index	

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- 1 Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- 2 Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- 3 Gross Income = Amount against (A) in the Revenue account i.e income
- 4 Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- 5 Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- 6 AAUM = Average daily net assets
- 7 Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- 8 Performance figures have been computed based on the last declared NAV.
- 9 Plans closed during the year are not considered for above disclosures
- 10 As TRI data is not available since inception of the ICICI Prudential Technology Fund, benchmark performance is calculated using composite CAGR of S&P BSE Information Technology PRI values from 03-Mar-00 to 23-Aug-2004 and TRI values since 23-Aug-2004.
- 11 The benchmark of ICICI Prudential Manufacture in India Fund is revised from S&P BSE 500 Total Return Index to S&P BSE India Manufacturing TRI Index w.e.f. May 08, 2019.
- 12 As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
- 13 The benchmark performance of the Scheme ICICI Prudential Focused Equity Fund has been calculated using values of S&P BSE 100 Index since inception till 27th May, 2018 and values of S&P BSE 500 TRI have been considered thereafter.
- 14 The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- 15 The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.



**ICICI Prudential Mutual Fund**

Address: 2nd Floor, Block B-2, Nirlon Knowledge Park, Western Express Highway, Goregaon, Mumbai – 400063

**Key Statistics (On the basis of closing units) for the year/period ended March 31, 2020 (figures as per Audited Financials)**

(As per format prescribed for Abridged schemewise Annual Report- SEBI/IMD/CIR No.8/132968/2008 dt 24/07/08)

		ICICI Prudential US Bluechip Equity Fund		ICICI Prudential Bharat Consumption Fund#	ICICI Prudential MNC Fund#	ICICI Prudential Commodities Fund#
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2020	March 31, 2020
<b>1</b>	<b>NAV PER UNIT (₹)</b>					
<b>A</b>	<b>Open</b>					
	Bonus Option	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-
	Direct Dividend Option	28.09	23.55	-	-	-
	Direct Growth Option	28.09	23.55	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-
	Dividend Option	26.69	22.58	-	-	-
	Growth Option	26.69	22.58	-	-	-
	Institutional Growth Option	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-
<b>B</b>	<b>High</b>					
	Bonus Option	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-
	Direct Dividend Option	35.38	29.05	11.23	10.90	10.80
	Direct Growth Option	35.38	29.05	11.23	10.90	10.80
	Direct Monthly Dividend Option	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-
	Dividend Option	33.33	27.71	11.09	10.80	10.76
	Growth Option	33.33	27.71	11.09	10.81	10.76
	Institutional Growth Option	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-
<b>C</b>	<b>Low</b>					
	Bonus Option	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-
	Direct Dividend Option	26.66	23.24	7.71	7.55	6.35
	Direct Growth Option	26.66	23.24	7.71	7.55	6.35
	Direct Monthly Dividend Option	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-
	Dividend Option	25.10	22.27	7.59	7.47	6.31
	Growth Option	25.10	22.27	7.59	7.47	6.31
	Institutional Growth Option	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-
<b>D</b>	<b>End</b>					
	Bonus Option	-	-	-	-	-
	Direct Bonus Option	-	-	-	-	-
	Direct Dividend Option	30.26	28.09	8.53	8.23	6.78
	Direct Growth Option	30.26	28.09	8.53	8.23	6.78
	Direct Monthly Dividend Option	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-
	Dividend Option	28.49	26.69	8.40	8.14	6.74
	Growth Option	28.49	26.69	8.40	8.14	6.74
	Institutional Growth Option	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-
<b>2</b>	<b>Assets Under Management (₹ in Lakhs)</b>					
	Closing	50,991.78	26,409.39	38,980.11	47,753.93	1,930.49
	Average (AAuM)	36,184.16	20,768.59	54,775.90	63,176.16	1,767.69
<b>3</b>	<b>Gross Income as % of AAuM *</b>	<b>11.31%</b>	<b>10.55%</b>	<b>3.35%</b>	<b>5.56%</b>	<b>-1.03%</b>
<b>4</b>	<b>Expense Ratio</b>					
<b>A</b>	<b>Total Expense as % of AAuM (plan wise) *</b>					
	Direct Option	1.62%	1.20%	0.95%	1.12%	0.96%
	Other than Direct Option	2.51%	2.08%	2.56%	2.55%	2.45%
	Institutional Option	-	-	-	-	-
<b>B</b>	<b>Management Fee as % of AAuM (plan wise) *</b>					
	Direct Option	1.22%	0.85%	0.72%	0.85%	0.65%
	Other than Direct Option	1.17%	0.91%	0.73%	0.85%	0.66%
	Institutional Option	-	-	-	-	-
<b>5</b>	<b>Net Income as % of AAuM *</b>	<b>9.12%</b>	<b>8.67%</b>	<b>0.81%</b>	<b>3.05%</b>	<b>-3.14%</b>

		ICICI Prudential US Bluechip Equity Fund		ICICI Prudential Bharat Consumption Fund#	ICICI Prudential MNC Fund#	ICICI Prudential Commodities Fund#
		March 31, 2020	March 31, 2019	March 31, 2020	March 31, 2020	March 31, 2020
6	<b>Portfolio Turnover Ratio - Aggregate for all Asset category</b>	0.62	0.65	0.78	0.73	0.17
7	<b>Portfolio Turnover Ratio – Equity</b>	0.62	0.65	0.62	0.54	0.16
	<b>Total Dividend per unit distributed during the Year / Period (plan- wise)</b>					
	Direct Bonus Option	-	-	-	-	-
	Direct Dividend Option	-	-	-	-	-
	Direct Growth Option	-	-	-	-	-
	Direct Monthly Dividend Option	-	-	-	-	-
	Direct Quarterly Dividend Option	-	-	-	-	-
	Dividend Option	-	-	-	-	-
	Growth Option	-	-	-	-	-
	Institutional Growth Option	-	-	-	-	-
	Monthly Dividend Option	-	-	-	-	-
	Quarterly Dividend Option	-	-	-	-	-
8	<b>Returns</b>					
A	<b>Last One Year Scheme</b>					
	Direct Option	7.66%	19.22%	NA	NA	NA
	Other than Direct Option	6.69%	18.15%	NA	NA	NA
	<b>Benchmark</b>	1.37%	18.00%	NA	NA	NA
B	<b>Since Inception Scheme</b>					
	Direct Option	15.00%	16.23%	-14.70%	-17.70%	-32.20%
	Other than Direct Option	14.48%	15.70%	-16.00%	-18.60%	-32.60%
	<b>Benchmark</b>					
	Direct Option	15.51%	17.98%	-15.51%	-13.24%	-29.76%
	Other than Direct Option	15.48%	17.76%	-15.51%	-13.24%	-29.76%
	<b>Benchmark Index</b>	<b>S&amp;P 500</b>		<b>Nifty India Consumption Total Return Index</b>	<b>NIFTY MNC Total Return Index</b>	<b>Nifty Commodities Total Return Index</b>

\* Annualised

# Schemes were launched after 31.03.2019, hence there are no previous year figures.

@@@ As these Schemes were launched before the launch of the benchmark index, benchmark index figures since inception or the required period are not provided.

Note:

- Scheme Returns and Benchmark Returns for schemes launched during the year are computed from date of allotment. These returns are shown on absolute basis. For the remaining schemes, Scheme Returns and Benchmark Returns are shown on CAGR basis.
- Returns have been calculated based on Growth option. In case where Growth option NAV is not available, Dividend option NAV is considered.
- Gross Income = Amount against (A) in the Revenue account i.e income
- Net Income = Amount against (C) in the Revenue account i.e Net realised Gains/(Losses) for the Year/Period
- Portfolio Turnover = Lower of sales or purchase divided by Average AUM for the Year/Period
- AAuM = Average daily net assets
- Performance for scheme options in which units are fully redeemed & were reissued at Face Value on fresh subscriptions (except in case of Direct Plan where NAV of corresponding 'Other than Direct' Plan is considered) made by the investors during the year are shown on absolute basis and accordingly corresponding previous year figures are not shown.
- Performance figures have been computed based on the last declared NAV.
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- As Total Return Index (TRI) data is not available since inception of ICICI Prudential Large & Mid Cap Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of Nifty 50 Index Price Return Index (PRI) values from 09-Jul-98 to 30-Jun-99 and TRI values since 30-Jun-99 to 27-May-2018 and values of the Nifty LargeMidcap 250 TRI have been considered thereafter.
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- The benchmark performance of the Scheme ICICI Prudential Smallcap Fund has been calculated using values of 65% Nifty 50 + 35% MSCI Asia ex-Japan Index since inception till 27th May, 2018 and values of Nifty Smallcap 250 TRI have been considered thereafter.
- The benchmark of ICICI Prudential Exports and Services Fund has been revised from Nifty Services Sector TRI to S&P BSE 500 TRI with effect from September 09, 2019. As TRI data is not available since inception of ICICI Prudential Exports and Services Fund, benchmark performance is calculated using composite Compounded Annual Growth Rate (CAGR) of S&P BSE 500 Price Return Index (PRI) values from 30-Nov-05 to 01-Aug-06 and TRI values since 01-Aug-06.

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**The Abridged Annual Report has been extracted from the Audited Balance Sheet, Revenue Account and Notes to Accounts. Full Annual Report is available on the website [www.icicipruamc.com](http://www.icicipruamc.com) Unitholders may refer to the full Annual Report displayed on the website w.r.t. general policies and procedures for exercising voting rights, the details of proxy voting exercised during the Financial Year 2019-20 along with scrutinizers' certification. For Unitholders of the Scheme, full Annual Report is available for inspection at the Corporate Office/Central Service Office of the AMC and a copy thereof shall be made available to the Unitholder on request on payment of nominal fees.**

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

#### **Disclaimer**

In the preparation of the material contained in this document, the Asset Management Company (AMC) has used information that is publicly available, including information developed in-house. Some of the material used in the document may have been obtained from members/persons other than the AMC and/or its affiliates and which may have been made available to the AMC and/or to its affiliates. Information gathered and material used in this document is believed to be from reliable sources. The AMC however does not warrant the accuracy, reasonableness and / or completeness of any information. We have included statements / opinions / recommendations in this document, which contain words, or phrases such as "will", "expect", "should", "believe" and similar expressions or variations of such expressions that are "forward looking statements". Actual results may differ materially from those suggested by the forward looking statements due to risk or uncertainties associated with our expectations with respect to, but not limited to, exposure to market risks, general economic and political conditions in India and other countries globally, which have an impact on our services and / or investments, the monetary and interest policies of India, inflation, deflation, unanticipated turbulence in interest rates, foreign exchange rates, equity prices or other rates or prices etc.

Investors are requested to refer the Scheme Information Document for Product Label assigned to various schemes in terms of risk associated with investing in such Products.

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***Be Eco-friendly, Go Green!!***

Thank you for your investment and continued patronage with ICICI Prudential Mutual Fund.

We, at ICICI Prudential Asset Management Company Limited, request you to join us in our initiatives to promote paperless communication in an effort to save the environment.

You can do your part by updating your current Email ID and mobile number to receive various investor communications digitally. To update your contact details through digital medium please use below link or submit physical request at your nearest branch:

<https://www.icicipruamc.com/update-contact>

Additionally, investors are also requested to update their PAN and complete all KYC requirements, in case not yet updated.

To update PAN/KYC, kindly submit a written request or PAN/KYC updation form along with updated PAN copy to our nearest branch. Further, if investors are not KYC compliant, they can submit KYC form along with the respective KYC documents at our branch.

You can download the requisite forms as follows:

To Update Contact Details in your Folio(s) download "Contact Details Updation Form" available at

<https://www.icicipruamc.com/Downloads/mutual-fund-application-form.aspx>

To Update PAN/KYC in your Folio(s) download "Common Transaction Request - Non Financial Transaction Form" available at <https://www.icicipruamc.com/Downloads/mutual-fund-application-form.aspx>

To process your KYC download "CKYC Individual" form available at  
<https://www.icicipruamc.com/Downloads/mutual-fund-application-form.aspx>

To locate nearest branch

<https://www.icicipruamc.com/ContactUs/BranchLocator.aspx>

**Please Note** - As some of our branches may still be non-operational due to Lock Down, to check the status of the branch you are planning to visit please contact our Customer Care helplines 1800 222 999 (from MTNL/BSNL) and 1800 200 6666 (Others) between 8 am to 8 pm, Monday to Saturday and 9 am to 7 pm on Sunday.

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# Ab market ke utar-chadhav ka darr kaisa?



A mutual fund that can keep you smiling through market ups and downs. Invests in both equity and debt as per the scheme asset allocation.

To invest, consult your Financial Advisor



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## ICICI Prudential **Balanced Advantage Fund**



ICICI Prudential Balanced Advantage Fund (An Open Ended Dynamic Asset Allocation Fund) is suitable for investors who are seeking\*:

- Long term wealth creation solution
- An equity fund that aims for growth by investing in equity and derivatives

\*Investors should consult their financial advisers if in doubt about whether the product is suitable for them.



#### RISKOMETER

Investors understand that their principal will be at moderately high risk

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.